

## ACCEPTED VERSION

Feng Shi, Frank Neumann, Jianxin Wang

### **Runtime analysis of randomized search heuristics for the dynamic weighted vertex cover problem**

Proceedings of the 2018 Genetic and Evolutionary Computation Conference (GECCO'18), 2018 / Aguirre, H.E., Takadama, K. (ed./s), pp.1515-1522

© 2018 Copyright held by the owner/author(s). Publication rights licensed to Association for Computing Machinery.

Definitive Version of Record: <http://dx.doi.org/10.1145/3205455.3205580>

#### **PERMISSIONS**

<https://authors.acm.org/author-services/author-rights>

### **ACM Author Rights**

#### **Post**

Otherwise known as "Self-Archiving" or "Posting Rights", all ACM published authors of magazine articles, journal articles, and conference papers retain the right to post the pre-submitted (also known as "pre-prints"), submitted, accepted, and peer-reviewed versions of their work in any and all of the following sites:

- Author's Homepage
- Author's Institutional Repository
- Any Repository legally mandated by the agency or funder funding the research on which the work is based
- Any Non-Commercial Repository or Aggregation that does not duplicate ACM tables of contents. Non-Commercial Repositories are defined as Repositories owned by non-profit organizations that do not charge a fee to access deposited articles and that do not sell advertising or otherwise profit from serving scholarly articles.

**12 November 2020**

<http://hdl.handle.net/2440/128925>

# Runtime Analysis of Randomized Search Heuristics for the Dynamic Weighted Vertex Cover Problem

Feng Shi

Central South University  
School of Info. Sci. and Engineering  
Changsha, China

Frank Neumann

The University of Adelaide  
School of Computer Science,  
Optimisation and Logistics,  
Adelaide, Australia

Jianxin Wang

Central South University  
School of Info. Sci. and Engineering  
Changsha, China

## ABSTRACT

Randomized search heuristics such as evolutionary algorithms are frequently applied to dynamic combinatorial optimization problems. Within this paper, we present a dynamic model of the classic Weighted Vertex Cover problem and analyze the performances of the two well-studied algorithms Randomized Local Search and (1+1) EA adapted to it, to contribute to the theoretical understanding of evolutionary computing for problems with dynamic changes. In our investigations, we use an edge-based representation based on the dual formulation of the problem and study the expected runtimes that the two algorithms require to maintain a 2-approximate solution when the given weighted graph is modified by an edge-editing or weight-editing operation. Considering the weights on the vertices may be exponentially large with respect to the size of the graph, the step size adaption strategy is incorporated. Our results show that both algorithms can recompute 2-approximate solutions for the studied dynamic changes efficiently.

## CCS CONCEPTS

• **Mathematics of computing** → Evolutionary algorithms; • **Theory of computation** → Random search heuristics; • **General and reference** → General conference proceedings;

## KEYWORDS

runtime analysis, dynamic weighted vertex cover problem, graph-editing operation, evolutionary algorithm, randomized local search

## 1 INTRODUCTION

Over the past decades, randomized search heuristics such as evolutionary algorithms and ant colony optimization have been applied successfully in various areas, including engineering and economics. To understand well the behaviors of evolutionary algorithms, many theoretical techniques for analyzing their expected runtimes are presented [1, 10, 16]. And using these techniques, evolutionary algorithms designed for some classic combinatorial optimization

problems have been studied. In particular, the Vertex Cover problem plays a crucial role in the area [6, 8, 11, 15, 20].

Consider an instance  $I$  of a given combinatorial optimization problem, and a solution (optimal or approximated) to  $I$ . If an operation on  $I$  results in a new instance  $I'$ , which is not very “far away” from  $I$  (the “distance” between the two instances depends on the operation), then an interesting problem arises: is the solution to  $I'$  “far away” from the original solution? In other words, how much runtime does a specific algorithm take to get the target solution starting with the original one? The setting is referred as dynamic combinatorial optimization problems.

Studying the performances of evolutionary algorithms for dynamic combinatorial optimization problems is an emerging field [7, 14, 17, 19, 21]. Within this paper, we present a dynamic model of the Weighted Vertex Cover problem (WVC), which is named Dynamic Weighted Vertex Cover problem (DWVC). Our goal is to analyze the behaviors of the well-studied algorithms Randomized Local Search (RLS) and (1+1) EA adapted to it. Specifically, we study the expected runtimes (the expected number of fitness evaluations) they need to recompute a 2-approximate solution when the given weighted graph is edited by a graph-editing operation, starting with a 2-approximate solution to the original graph.

For the Vertex Cover problem, it is well-known that under the unique games conjecture [12], there does not exist an approximation algorithm with a constant ratio  $r < 2$ , unless  $P = NP$  [13]. The best-known 2-approximation algorithm for the Vertex Cover problem is based on the maximal matching: construct a maximal matching by greedily adding edges, then let the vertex cover contain both endpoints of each edge in the maximal matching. For WVC, Hochbaum [9] presented the best-known approximation algorithm, who showed that a 2-approximate solution can be found by using the Linear Programming (LP) result of the Fractional WVC. Du et al. [5] found that a maximal solution to the dual form [22] of the LP formulation (simply called dual formulation) for the Fractional WVC also directly induces a 2-approximate solution. Using this conclusion, Bar-Yehuda and Evan [2] presented a linear-time 2-approximation algorithm for WVC. The essential difference between (the primal form of) the LP formulation and the dual formulation for the Fractional WVC is: the LP formulation considers the problem from the perspective of vertices; the dual formulation considers that from the perspective of edges.

Pourhassan et al. [19] presented a dynamic model of the Vertex Cover problem, in which the graph editing operator adds (or removes) exactly one edge into (or from) the given unweighted graph, and analyzed evolutionary algorithms with respect to their

Permission to make digital or hard copies of all or part of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies bear this notice and the full citation on the first page. Copyrights for components of this work owned by others than the author(s) must be honored. Abstracting with credit is permitted. To copy otherwise, or republish, to post on servers or to redistribute to lists, requires prior specific permission and/or a fee. Request permissions from [permissions@acm.org](mailto:permissions@acm.org).

GECCO '18, July 15–19, 2018, Kyoto, Japan

© 2018 Copyright held by the owner/author(s). Publication rights licensed to Association for Computing Machinery.

ACM ISBN 978-1-4503-5618-3/18/07...\$15.00

<https://doi.org/10.1145/3205455.3205580>

abilities to maintain a 2-approximate solution (i.e., a maximal matching). They examined different variants (node-based representation and edge-based representation) of RLS and (1+1) EA. If using the node-based representation, they gave classes of instances for which both algorithms cannot get the 2-approximate solution in polynomial time with high probability. However, they showed that RLS and (1+1) EA using the edge-based representation can maintain 2-approximations easily if the algorithms start with a maximal matching of the original unweighted graph and use the fitness function given in [11], which penalizes edges sharing vertices.

Inspired by the work of Pourhassan et al. [19] and the essential difference between the LP formulation and dual formulation for the Fractional WVC, we utilize the dual formulation to analyze DWVC. Thus DWVC studied in this paper, is formulated as: given a weighted graph  $G = (V, E, W)$  and a maximal solution to the dual formulation of the Fractional WVC on  $G$ , the goal is to find a maximal solution to the dual formulation of the Fractional WVC on the weighted graph  $G^* = (V^*, E^*, W^*)$ , where  $G^*$  is obtained by one of the following four graph-editing operations on  $G$ : (1) add a new edge-set  $E^+$  into  $E$ ; (2) remove an edge-subset  $E^-$  from  $E$ ; (3) increase the weights on the vertices in  $V^+ \subseteq V$ ; (4) decrease the weights on the vertices in  $V^- \subseteq V$ . To study the influences of the graph-editing operations on the performances of the algorithms, we denote the exact sizes of  $E^+$ ,  $E^-$ ,  $V^+$ , and  $V^-$  by variable  $D \in \mathbb{N}^+$ .

Recently Pourhassan et al. [18] studied WVC using the dual formulation for the Fractional WVC. Considering the weights on the vertices may be exponentially large with respect to the size of the graph, they incorporated the *Step Size Adaption* strategy [3] into their (1+1) EA (Algorithm 4 in [18]). However, their (1+1) EA was shown to take exponential expected runtime with high probability to get a 2-approximate solution. There are two reasons for the long runtime of their algorithm. First, for a mutation  $M$  constructed by their (1+1) EA, there may exist two edges selected by  $M$  whose weights are increased and decreased respectively. The randomness leads to the relatively small probability for  $M$  to be accepted. Second, for a mutation  $M$  that is rejected by their (1+1) EA, the step sizes of all the edges selected by  $M$  would be decreased. Because of the two reasons, the step sizes of the edges cannot be increased enough to overcome the exponentially large weights on the vertices. That is, the step size adaption strategy is nearly invalid for their (1+1) EA.

Drawing on the experience of Pourhassan et al. [18], we give two algorithms (1+1) EA and RLS adapted to DWVC, with step size adaption as well. The two algorithms employ a novel way to prevent the invalidation of the step size adaption strategy that happens in the algorithm of [18]. We show that the (1+1) EA and RLS take expected runtime  $O(\alpha m \log_{\alpha}^2(D \cdot W_{max}))$  to solve the four versions of DWVC, where  $m$  denotes the number of edges in  $G^*$ ,  $W_{max} \geq 1$  denotes the maximum weight that the vertices in  $G$  and  $G^*$  have, and  $\alpha \in \mathbb{N}^+$  denotes the increasing/decreasing rate of the step size (i.e., the increment of the weight on each edge can be exponentially increased by multiplying  $\alpha$ , or decreased by multiplying  $1/\alpha$ ).

The rest of the paper is structured as follows. We start by giving related definitions and the problem formulations in Section 2. Then we present the (1+1) EA and RLS for DWVC in Section 3, and analyze their expected runtimes in Section 4. Finally conclusions are presented in Section 5.

## 2 PRELIMINARIES

Consider a weighted graph  $G = (V, E, W)$  with a vertex-set  $V = \{v_1, \dots, v_n\}$ , an edge-set  $E = \{e_1, \dots, e_m\}$ , and a weight function  $W : V \rightarrow \mathbb{N}^+$ . For any vertex  $v \in V$ , denote by  $N_G(v)$  the set containing all the neighbors of  $v$  in  $G$ , and by  $E_G(v)$  the set containing all the edges incident to  $v$  in  $G$ . For any vertex-subset  $V' \subseteq V$ , let  $E_G(V') = \bigcup_{v \in V'} E_G(v)$ . For any edge  $e \in E$ , denote by  $E_G(e)$  the set containing all the edges in  $G$  that have a common endpoint with  $e$ . For any edge-subset  $E' \subseteq E$ , let  $E_G(E') = \bigcup_{e \in E'} E_G(e) \setminus E'$ .

A vertex-subset  $V_c \subseteq V$  is a *vertex cover* of  $G$  if for each edge  $e \in E$ , where  $e$  can be represented by its two endpoints  $v$  and  $v'$  as  $[v, v']$ , at least one of  $v$  and  $v'$  is in  $V_c$ . The weight of  $V_c$  is defined as the sum of the weights on all vertices in  $V_c$ , written  $\sum_{v \in V_c} W(v)$ . The Weighted Vertex Cover problem (WVC) on the weighted graph  $G$  asks for a vertex cover of  $G$  with the minimum weight.

Using the node-based representation (i.e. the search space is  $\{0, 1\}^n$  and for any solution  $x = x_1 \dots x_n$  the node  $v_i$  is chosen iff  $x_i = 1$ ), the Integer Linear Programming (ILP) formulation for WVC is given as follows.

$$\begin{aligned} \min \quad & \sum_{i=1}^n W(v_i) \cdot x_i \\ \text{st.} \quad & x_i + x_j \geq 1 \quad \forall [v_i, v_j] \in E \\ & x_i \in \{0, 1\} \quad i = 1, \dots, n \end{aligned}$$

By relaxing the constraint  $x_i \in \{0, 1\}$  to  $x_i \in [0, 1]$ , the Linear Programming (LP) formulation for the Fractional WVC is obtained. Hochbaum [9] showed that a 2-approximate solution can be found by using the LP result of the Fractional WVC – include all vertices  $v_i$  for which  $x_i \geq \frac{1}{2}$ . The dual form of the LP formulation (or called dual formulation) for the Fractional WVC is given as follows, where  $Y : E \rightarrow \mathbb{R}^+ \cup \{0\}$  denotes a weight assignment on the edges.

$$\begin{aligned} \max \quad & \sum_{e \in E} Y(e) \\ \text{st.} \quad & \sum_{e \in E_G(v)} Y(e) \leq W(v) \quad \forall v \in V \end{aligned}$$

The weight assignment  $Y$  is called a *dual-solution* of  $G$  in the paper. Vertex  $v \in V$  satisfies the *dual-LP constraint* with respect to the dual-solution  $Y$  if  $\sum_{e \in E_G(v)} Y(e) \leq W(v)$ . Edge  $e \in E$  satisfies the dual-LP constraint with respect to  $Y$  if both its endpoints satisfy the dual-LP constraint with respect to  $Y$ . The dual-solution  $Y$  of  $G$  is *feasible* if all vertices in  $G$  satisfy the dual-LP constraint with respect to  $Y$ , otherwise, *infeasible*. Vertex  $v \in V$  is *tight* with respect to  $Y$  if  $\sum_{e \in E_G(v)} Y(e) = W(v)$ , and edge  $e \in E$  is *tight* with respect to  $Y$  if at least one of its two endpoints is tight with respect to  $Y$ .

Given a dual-solution  $Y$  of  $G$ , denote by  $V_G(Y)$  the set containing all the vertices in  $G$  that do not satisfy the dual-LP constraint with respect to  $Y$ , and by  $E_G(Y)$  the set containing all the edges in  $G$  that are incident to  $v \in V_G(Y)$ .

A *maximal feasible dual-solution* (MFDS) of  $G$  is a feasible dual-solution such that none of the edges can be assigned with a larger weight under the dual-LP constraint. For any MFDS  $Y$  of  $G$ , it induces a vertex cover of  $G$  with ratio 2 directly, which contains all tight vertices with respect to  $Y$  (a formal proof about the ratio can be found in Theorem 8.4 of [5]).

Four versions of DWVC are studied in this paper. They are all given a weighted graph  $G = (V, E, W)$ , an MFDS  $Y_{\text{orig}}$  of  $G$ , and a graph-editing operation. Their aims are to find an MFDS of  $G^*$ , where  $G^*$  is the weighted graph obtained by the corresponding operation on  $G$ . Due to the space limit, their full formulations are not given below, only the corresponding graph-editing operations.

- (1) **DWVC- $E^+$** : add a new edge-set  $E^+$  into  $E$ ;
- (2) **DWVC- $E^-$** : remove an edge-subset  $E^-$  from  $E$ ;
- (3) **DWVC- $W^+$** : increase the weights on the vertices in  $V^+ \subseteq V$  (i.e.,  $W^+(v) > W(v)$  for each  $v \in V^+$ , and  $W^+(v) = W(v)$  for each vertex  $v \in V \setminus V^+$ );
- (4) **DWVC- $W^-$** : decrease the weights on the vertices in  $V^- \subseteq V$  (i.e.,  $W^-(v) < W(v)$  for each  $v \in V^-$ , and  $W^-(v) = W(v)$  for each vertex  $v \in V \setminus V^-$ ).

### 3 ADAPTIVE (1+1) EA AND RLS

Given two weighted graphs  $G = (V, E, W)$  and  $G^* = (V^*, E^*, W^*)$ , where  $G^*$  is obtained by one of the four graph-editing operations mentioned above on  $G$ . We study the expected runtimes that the (1+1) EA and RLS considered in the paper need to find an MFDS of  $G^*$ , starting with a given MFDS  $Y_{\text{orig}}$  of  $G$  (not from scratch).

The general idea of the (1+1) EA and RLS is: if the given MFDS  $Y_{\text{orig}}$  of  $G$  is also a feasible dual-solution of  $G^*$ , then the two algorithms directly increase the weights on the edges in  $G^*$  until the weight on any edge cannot be assigned with a larger value under the dual-LP constraint (i.e., an MFDS of  $G^*$  is found if the claimed condition is met). Otherwise, they first decrease the weights on the edges in  $E_{G^*}(Y_{\text{orig}})$  (because only the vertices in  $V_{G^*}(Y_{\text{orig}})$  do not satisfy the dual-LP constraint with respect to  $Y_{\text{orig}}$ ), aiming to get a feasible dual-solution  $Y_t$  of  $G^*$  as soon as possible, then increase the weights on the edges in  $G^*$  to get an MFDS based on  $Y_t$ . Thus we give a sign function below, written  $\text{sign}(Y)$ , to judge whether the considered solution  $Y$  is a feasible dual-solution of  $G^*$ .

$$\text{sign}(Y) = \begin{cases} -1 & \text{if } V_{G^*}(Y) \neq \emptyset, \text{ i.e., } Y \text{ is infeasible} \\ 1 & \text{otherwise} \end{cases}$$

According to  $\text{sign}(Y)$ , the two algorithms know what they should do at the next step, increasing or decreasing the weights on the edges. Note that for any mutation  $M$  generated by the two algorithms, the weights on the edges selected by  $M$  are either all increased or all decreased. Thus we always have that

$$\text{sign}(Y) \cdot \sum_{e \in E^*} (Y'(e) - Y(e)) \geq 0,$$

where  $Y'$  is the dual-solution obtained by the mutation  $M$  on  $Y$ . Consequently, the function  $f(Y', Y)$  comparing the fitness of  $Y'$  and  $Y$  is only required to pay more attention to the feasibilities of  $Y$  and  $Y'$ , and the edges whose weights are changed by the mutation  $M$ :  $f(Y', Y) \geq 0$  if  $Y'$  is not worse than  $Y$ ;  $f(Y', Y) < 0$  otherwise.

$$f(Y', Y) = 2|E^*| \cdot W_{\max} \cdot (\text{sign}(Y) - 1) \cdot \sum_{e \in E^* \setminus E_{G^*}(Y)} (Y(e) - Y'(e)) + (\text{sign}(Y') - \text{sign}(Y) + 1)$$

As the general idea of the two algorithms given above, if  $Y$  is a feasible dual-solution of  $G^*$ , then the two algorithms directly increase the weights on the edges, aiming to get an offspring  $Y'$

---

#### Algorithm 1: (1+1) EA

---

```

1 Initialize  $Y$  and  $\sigma$ ;
2 Determine  $\text{sign}(Y)$ ;
3 while the termination not satisfied do
4    $Y' := Y$  and  $I := \emptyset$ ;
5   for each edge  $e \in E^*$  do
6     with probability  $1/m$  do
7        $Y'(e) := \max\{Y(e) + \sigma(e) \cdot \text{sign}(Y), 0\}$ ;
8        $I := I \cup \{e\}$ ;
9   Determine  $\text{sign}(Y')$  and  $f(Y', Y)$ ;
10  if  $f(Y', Y) \geq 0$  then
11     $Y := Y'$ ;
12     $\sigma(e) := \alpha \cdot \sigma(e)$  for all  $e \in I$ ;
13  else
14    if  $\text{sign}(Y) > 0$  then
15      Let  $I'$  be the subset of  $I$  such that each edge  $e \in I'$ 
        has an endpoint that violates the dual-LP
        constraint in  $Y'$ , and no other edge in  $I$  shares
        the endpoint with  $e$ ;
16      and  $\sigma(e) := \max\{\frac{\sigma(e)}{\alpha}, 1\}$  for all  $e \in I'$ ;

```

---

of  $Y$  that is a feasible dual-solution of  $G^*$  such that  $\sum_{e \in E^*} Y'(e) \geq \sum_{e \in E^*} Y(e)$ . Thus, if  $Y'$  is infeasible, then let

$$f(Y', Y) = \text{sign}(Y') - \text{sign}(Y) + 1 = -1 < 0,$$

otherwise,

$$f(Y', Y) = \text{sign}(Y') - \text{sign}(Y) + 1 = 1 > 0.$$

If  $Y$  is an infeasible dual-solution of  $G^*$ , then they decrease the weights on the edges firstly, aiming to get a feasible dual-solution of  $G^*$ . Remark that the edges in  $E^* \setminus E_{G^*}(Y)$  satisfy the dual-LP constraint with respect to  $Y$ , so the weights on these edges do not need to be decreased. Thus the first term of  $f(Y', Y)$ ,

$$2|E^*| \cdot W_{\max} \cdot (\text{sign}(Y) - 1) \cdot \sum_{e \in E^* \setminus E_{G^*}(Y)} (Y(e) - Y'(e)),$$

penalizes the mutation that decreases the weights on the edges in  $E^* \setminus E_{G^*}(Y)$ , which guides the mutation to decrease only the weights on the edges in  $E_{G^*}(Y)$  (if we do not restrict that the weights on the edges in  $E^* \setminus E_{G^*}(Y)$  cannot be decreased, then the feasible dual-solution we get may be further away from the MFDS of  $G^*$ ). Once a feasible dual-solution  $Y'$  is found by a mutation on the unfeasible dual-solution  $Y$ , then

$$f(Y', Y) = \text{sign}(Y') - \text{sign}(Y) + 1 = 3 \geq 0.$$

The two algorithms for DWVC, the (1+1) EA and RLS, are given in Algorithm 1 and 2 respectively. They run in a similar way, except the mechanism selecting edges for mutation. The (1+1) EA selects each edge in  $E^*$  with probability  $1/m$  at each iteration ( $m = |E^*|$ ), resulting an edge-subset  $I$  containing all the selected edges (see step 8 of the (1+1) EA), and increases (or decreases) the weights on the edges in  $I$ . RLS differs from the (1+1) EA by selecting exactly one edge in  $E^*$  in each round.

---

**Algorithm 2:** RLS
 

---

```

1 Initialize  $Y$  and  $\sigma$ ;
2 Determine  $\text{sign}(Y)$ ;
3 while the termination not satisfied do
4    $Y' := Y$ ;
5   Choose an edge  $e \in E^*$  uniformly at random;
6    $Y'(e) := \max\{Y'(e) + \sigma(e) \cdot \text{sign}(Y), 0\}$ ;
7   Determine  $\text{sign}(Y')$  and  $f(Y', Y)$ ;
8   if  $f(Y', Y) \geq 0$  then
9      $Y := Y'$  and  $\sigma(e) := \alpha \cdot \sigma(e)$ ;
10  else
11    if  $\text{sign}(Y) > 0$  then
12       $\sigma(e) := \max\{\frac{\sigma(e)}{\alpha}, 1\}$ ;
    
```

---

To deal with the case that the weights on the vertices are exponentially large with respect to the size of the graph, the Step Size Adaption strategy [3] is incorporated into the two algorithms (see steps 10-16 of the (1+1) EA and steps 8-12 of RLS): the increment on the weights of the edges can exponentially increase or decrease. Let  $\sigma : E^* \rightarrow \mathbb{N}^+$  be the step size function that keeps the step size for each edge in  $E^*$ , and let  $\sigma$  be initialized as  $\sigma : E^* \rightarrow 1$ .

For a mutation of RLS on  $Y$ , if it is accepted, then the step size of the chosen edge  $e$  is multiplied by a factor  $\alpha \in \mathbb{N}^+$ ; otherwise, multiplied by  $1/\alpha$  if  $\text{sign}(Y) > 0$ . For a mutation of the (1+1) EA on  $Y$  resulting  $Y'$ , if it is accepted, then the step size of each edge  $e \in I$  is multiplied by  $\alpha$ , otherwise, the step size of each edge  $e \in I'$  is multiplied by  $1/\alpha$  if  $\text{sign}(Y) > 0$ , where  $I'$  is a subset of  $I$  such that each edge  $e \in I'$  has an endpoint that violates the dual-LP constraint with respect to the dual-solution  $Y'$ , and no other edge in  $I$  shares the endpoint with  $e$  (see step 15 of the (1+1) EA). The reason why we define the subset  $I'$  of  $I$  is that we can ensure that the step size of each edge in  $I'$  is unfit for  $Y$ . For each edge  $e$  in  $I \setminus I'$ , there are two cases: (1) neither its two endpoints violates the dual-LP constraint with respect to  $Y'$ ; (2) there is another edge  $e' \in I \setminus \{e\}$  that has a common endpoint with  $e$  such that the common endpoint of  $e$  and  $e'$  violates the dual-LP constraint with respect to  $Y'$ . For case (1), we should not decrease its step size. For case (2), the step size of  $e$  may be fit for  $Y$  if it is considered independently. If we adopt a ‘‘radical’’ strategy that decreases the step sizes of all the edges in  $I$  if the mutation is rejected, then the algorithms would take much time on increasing the step sizes of the edges (in some extreme case, the step size cannot be exponentially increased, resulting that the expected runtime to get an MFDS is exponential [18]). Thus, we adopt a ‘‘conservative’’ strategy: only decrease the step sizes of the edges in  $I'$ .

Note that for any mutation of the (1+1) EA or RLS that is rejected, the step sizes of the edges selected by the mutation are not decreased if  $\text{sign}(Y) < 0$ . Because the rejection of the mutation is caused by the selection of the edges, not the violation of the dual-LP constraint.

The selection mechanism implies the following lemma.

**LEMMA 3.1.** *For any dual-solution  $Y'$  that is obtained by a mutation of the (1+1) EA or RLS on a given dual-solution  $Y$ ,  $\text{sign}(Y') \geq \text{sign}(Y)$  if  $Y'$  is accepted.*

**PROOF.** Since  $\text{sign}(Y') \geq -1$ ,  $\text{sign}(Y') \geq \text{sign}(Y)$  obviously holds if  $\text{sign}(Y) = -1$ . For the case that  $\text{sign}(Y) = 1$ , we assume that  $\text{sign}(Y') = -1$ . By the definition of  $f(Y', Y)$ ,

$$f(Y', Y) = \text{sign}(Y') - \text{sign}(Y) + 1 = -1 < 0,$$

and neither the (1+1) EA nor RLS would accept  $Y'$ . Thus the assumption  $\text{sign}(Y') = -1$  is incorrect, and  $\text{sign}(Y') = 1 = \text{sign}(Y)$ .  $\square$

Lemma 3.2 can be directly derived from Lemma 3.1. Lemma 3.3 considers the case that the (1+1) EA or RLS starts with an infeasible dual-solution  $Y$  of  $G^*$ . For this case, the algorithm first aims to construct a feasible dual-solution with an improved fitness, then construct an MFDS based on the feasible dual-solution.

**LEMMA 3.2.** *No infeasible dual-solution can be accepted by the (1+1) EA or RLS after a feasible dual-solution is first constructed.*

**LEMMA 3.3.** *If the (1+1) EA or RLS starts with an infeasible dual-solution  $Y$  of  $G^* = (V^*, E^*, W^*)$ , where  $Y(e) \leq W_{max}$  for each  $e \in E^*$ , then only the weights on the edges in  $E_{G^*}(Y)$  can be decreased to get the first accepted feasible dual-solution.*

**PROOF.** Let  $Y'$  be the dual-solution obtained by a mutation on  $Y$  that selects an edge  $\bar{e} \in E^* \setminus E_{G^*}(Y)$ . Since  $Y$  is an infeasible dual-solution of  $G^*$ ,  $Y(e) \geq Y'(e)$  for each  $e \in E^* \setminus E_{G^*}(Y)$ . Note that the step size  $\sigma(\bar{e})$  of  $\bar{e}$  is not less than 1. Thus,

$$\sum_{e \in E^* \setminus E_{G^*}(Y)} (Y(e) - Y'(e)) \geq Y(\bar{e}) - Y'(\bar{e}) \geq 1 \quad \text{and}$$

$$2|E^*|W_{max}(\text{sign}(Y)-1) \cdot \sum_{e \in E^* \setminus E_{G^*}(Y)} (Y(e) - Y'(e)) \leq -4|E^*|W_{max},$$

where  $|E^*|$  and  $W_{max}$  are assumed not less than 1.

Combining the obvious fact that  $1 \leq \text{sign}(Y') - \text{sign}(Y) + 1 \leq 3$ , we have that if the mutation decreases the weight on some edge in  $E^* \setminus E_{G^*}(Y)$ , then  $f(Y', Y)$  is always less than 0, and  $Y'$  cannot be accepted by the algorithm. That is, to get a feasible dual-solution of  $G^*$ , only the weights on the edges in  $E_{G^*}(Y)$  can be decreased.

It is easy to see that if the weights on the edges in  $E_{G^*}(Y)$  are decreased to 0, then a feasible dual-solution of  $G^*$  can be found. Thus if the algorithm starts with  $Y$ , and keeps decreasing the weights on the edges in  $E_{G^*}(Y)$ , then it can find a feasible dual-solution  $Y_t$ , which can be accepted. By Lemma 3.2, all dual-solutions accepted after  $Y_t$  are feasible.  $\square$

## 4 RUNTIME ANALYSIS

Given an edge  $e$  in the weighted graph  $G^* = (V^*, E^*, W^*)$ , a mutation of the (1+1) EA or RLS is a *valid* mutation on  $e$  if it results an increase or decrease on the weight of  $e$ , or on the step size  $\sigma(e)$  of  $e$ . In this section, we first study the behaviors of the (1+1) EA and RLS on a specific edge  $e = [v_1, v_2]$  in  $G^* = (V^*, E^*, W^*)$ . Then using the results obtained for the edge  $e$ , we study the runtimes of the (1+1) EA and RLS for the four versions of DWVC separately.

**LEMMA 4.1.** *Let  $Y^*$  be a feasible dual-solution obtained by the (1+1) EA (or RLS) starting with a feasible dual-solution  $Y$  of  $G^*$ . Then during the process, the algorithm takes expected runtime  $O(\alpha m \log_\alpha (Y^*(e) - Y(e)))$  to increase the weight on  $e$  to  $Y^*(e)$ .*

PROOF. We first consider the (1+1) EA. Since  $Y$  is a feasible dual-solution of  $G^*$ , by Lemma 3.2, the sign function  $sign()$  remains equal to 1 during the process from  $Y$  to  $Y^*$ , indicating that the weight on  $e$  is monotonically increased from  $Y(e)$  to  $Y^*(e)$ .

Let  $Y'$  be the offspring obtained by a mutation  $M$  of the (1+1) EA on  $Y$ , and  $I$  be the set containing all the edges selected by  $M$ . In the following discussion, we first analyze the impact of the mutation  $M$  on the step size  $\sigma(e)$  of  $e$ . Observe that  $M$  cannot influence  $\sigma(e)$  if  $e \notin I$ . Thus we assume that  $e \in I$ .

Case (1).  $\sigma(e) \leq Y^*(e) - Y(e)$ . If  $M$  is accepted by the (1+1) EA, then  $\sigma(e)$  is multiplied by the factor  $\alpha$ . If  $M$  is rejected by (1+1) EA, then the analysis is divided into the two subcases given below.

Case (1.1). An endpoint of  $e$  violates the dual-LP constraint with respect to  $Y'$ . Since  $\sigma(e) \leq Y^*(e) - Y(e)$ , there exists an edge  $e' \in E_{G^*}(e) \cap I$  such that the common endpoint of  $e$  and  $e'$  violates the dual-LP constraint with respect to  $Y'$ . According to the definition of the edge-set  $I'$  (see step 15 of the (1+1) EA), we have that  $e \notin I'$ , and  $M$  does not influence  $\sigma(e)$ .

Case (1.2). No endpoint of  $e$  violates the dual-LP constraint with respect to  $Y'$ . According to the definition of the edge-set  $I'$ , we also have that  $e \notin I'$ , and  $M$  does not influence  $\sigma(e)$ .

By the above analysis, under Case (1), any mutation of the (1+1) EA cannot decrease the step size  $\sigma(e)$  of  $e$ , and the mutation that only selects  $e$  is accepted, which can be generated by the (1+1) EA with probability  $\Omega(1/m)$ . Thus under Case (1), the (1+1) EA takes expected runtime  $O(m)$  to increase the weight on edge  $e$  from  $Y(e)$  to  $Y(e) + \sigma(e)$ , and increase the step size  $\sigma(e)$  of  $e$  to  $\alpha \cdot \sigma(e)$ .

Case (2).  $\sigma(e) > Y^*(e) - Y(e)$ . For this case, since  $e \in I$ , the mutation  $M$  would be rejected by the (1+1) EA, otherwise, the algorithm cannot get the dual-solution  $Y^*$  during the process. The analysis is divided into the following two subcases.

Case (2.1). There is no edge in  $I \setminus \{e\}$  sharing the endpoint of  $e$  that violates the dual-LP constraint with respect to  $Y'$ . Apparently, for this subcase,  $e \in I'$ , and  $\sigma(e)$  is decreased to  $\sigma(e)/\alpha$ .

Case (2.2). There is an edge  $e' \in I \setminus \{e\}$  sharing the endpoint of  $e$  that violates the dual-LP constraint with respect to  $Y'$ . Because of the existence of  $e'$ ,  $e \notin I'$  and  $M$  does not influence  $\sigma(e)$ .

The mutation that only selects the edge  $e$  belongs to Case (2.1), which can be generated by the (1+1) EA with probability  $\Omega(1/m)$ . Thus under Case (2), the (1+1) EA takes expected runtime  $O(m)$  to decrease step size  $\sigma(e)$  of  $e$  to  $\sigma(e)/\alpha$ .

Now we are ready to analyze the expected runtime that the (1+1) EA requires to increase the weight on  $e$  from  $Y(e)$  to  $Y^*(e)$ , using the above results. The process is divided into two phases: (I). the  $\sigma(e)$ -increasing phase; (II). the  $\sigma(e)$ -decreasing phase.

During the  $\sigma(e)$ -increasing phase,  $\sigma(e)$  can only increase. The  $\sigma(e)$ -decreasing phase follows the  $\sigma(e)$ -increasing phase, during which  $\sigma(e)$  may increase or decrease, but the general trend is decreasing. Assume that  $\sigma(e)$  is initialized as  $\alpha^p$ , where  $p \geq 0$ . If  $\alpha^p > Y^*(e) - Y(e)$ , then we are already at the  $\sigma(e)$ -decreasing phase. In the following discussion, we assume that  $\alpha^p \leq Y^*(e) - Y(e)$  for the soundness and completeness of the proof.

(I). The  $\sigma(e)$ -increasing phase. Let  $q$  be the integer such that

$$\sum_{i=p}^q \alpha^i \leq Y^*(e) - Y(e), \quad \text{and} \quad \sum_{i=p}^{q+1} \alpha^i > Y^*(e) - Y(e).$$

It is easy to see that  $\sigma(e)$  can be increased from  $\alpha^p$  to  $\alpha^{q+1}$  during this phase. Thus the number of valid mutations on  $e$  during this phase is  $q - p + 1$ , where

$$q - p + 1 = \left\lfloor \log_{\alpha} \left( \frac{(Y^*(e) - Y(e))(\alpha - 1)}{\alpha^p} + 1 \right) \right\rfloor.$$

Combining the analysis for Case (1), the  $\sigma(e)$ -increasing phase takes expected runtime  $O(m \log_{\alpha} (Y^*(e) - Y(e)))$  (because  $p$  may be 0).

(II). The  $\sigma(e)$ -decreasing phase. During this phase, the weight on  $e$  is increased from  $Y(e) + \sum_{i=p}^q \alpha^i$  to  $Y^*(e)$ , and  $\sigma(e)$  is decreased from  $\alpha^{q+1}$  to 1. Similar to the analysis for the  $\sigma(e)$ -increasing phase, we analyze the number  $T$  of valid mutations on  $e$  during the  $\sigma(e)$ -decreasing phase.

To simplify the analysis, we consider the number  $t_i$  of valid mutations on  $e$  with  $\sigma(e) = \alpha^i$  ( $0 \leq i \leq q+1$ ) among the  $T$  valid mutations on  $e$  (since  $\sigma(e)$  can increase or decrease during the  $\sigma(e)$ -decreasing phase, there may be more than one valid mutation on  $e$  with  $\sigma(e) = \alpha^i$ ). Obviously  $T = \sum_{i=0}^{q+1} t_i$ .

We start with  $t_{q+1}$ . Since the valid mutation on  $e$  with  $\sigma(e) = \alpha^{q+1}$  cannot be accepted,  $\sigma(e)$  will be decreased to  $\alpha^q$ . Observe that if a valid mutation on  $e$  with  $\sigma(e) = \alpha^q$  is accepted, then  $\sigma(e)$  will be increased to  $\alpha^{q+1}$ . Thus  $t_{q+1} \leq 1 + (\alpha - 1) = \alpha$ , because there are at most  $\alpha - 1$  valid mutations on  $e$  with  $\sigma(e) = \alpha^q$  among the  $T$  valid mutations on  $e$  that can be accepted by the algorithm.

Now we consider  $t_i$  for any  $1 \leq i \leq q$ , under the assumption that the valid mutation on  $e$  with  $\sigma(e) = \alpha^{i+1}$  cannot be accepted. Since there are at most  $\alpha - 1$  valid mutations on  $e$  with  $\sigma(e) = \alpha^i$  among the  $T$  valid mutations on  $e$  that can be accepted, and at most  $\alpha$  valid mutations on  $e$  with  $\sigma(e) = \alpha^i$  that can be rejected (use the analysis given above for  $\sigma(e) = \alpha^{q+1}$ ), we can get that  $t_i \leq 2\alpha - 1$ .

If  $\sigma(e) = 1$ , then the weight on  $e$  is between  $Y^*(e) - \alpha + 1$  and  $Y^*(e)$ . If the weight on  $e$  equals  $Y^*(e)$ , then the  $\sigma(e)$ -decreasing phase is over, and  $t_0 = 0$ . If the weight on  $e$  is between  $Y^*(e) - \alpha + 1$  and  $Y^*(e) - 1$ , then  $t_0 \leq \alpha - 1$ .

The above analysis gives

$$T = \sum_{i=0}^{q+1} t_i \leq (2\alpha - 1)(q + 1).$$

Combining the analysis for Case (1-2), the  $\sigma(e)$ -decreasing phase takes expected runtime  $O(\alpha m \log_{\alpha} (Y^*(e) - Y(e)))$ .

Therefore, there are at most  $2\alpha(q+1)$  valid mutations on  $e$  during the process from  $Y$  to  $Y^*$ , for which the (1+1) EA takes expected runtime  $O(\alpha m \log_{\alpha} (Y^*(e) - Y(e)))$ .

Since we only consider the mutation selecting exactly one edge in the analysis for the (1+1) EA, it is easy to get that the conclusions for the (1+1) EA also apply to RLS.  $\square$

Now we turn to analyze the expected runtimes that the two algorithms take to make the edge  $e$  satisfy the dual-LP constraint, if they start with an infeasible dual-solution with respect to which  $e$  violates the dual-LP constraint.

LEMMA 4.2. *Let  $Y^*$  be the first feasible dual-solution obtained by the (1+1) EA (or RLS), starting with an infeasible dual-solution  $Y$  of  $G^*$  with respect to which the edge  $e$  violates the dual-LP constraint. Then during the process, the algorithm takes expected runtime  $O(m \log_{\alpha} (Y(e) - Y^*(e)))$  to decrease the weight on  $e$  to  $Y^*(e)$ .*

PROOF. We first consider the (1+1) EA. Since  $Y$  is the first feasible dual-solution obtained by the (1+1) EA, the weight on  $e$  is monotonically decreased from  $Y(e)$  to  $Y^*(e)$ .

Assume that  $\sigma(e)$  is initialized as  $\alpha^p$  ( $p \geq 0$ ). Note that the value of  $\sigma(e)$  will not decrease during the process from  $Y$  to  $Y^*$ , hence there exists an integer  $q$  such that  $\sum_{i=p}^q \alpha^i = Y(e) - Y^*(e)$ . Thus during the process,  $\sigma(e)$  is increased from  $\alpha^p$  to  $\alpha^{q+1}$ , and there are  $q - p + 1$  valid mutations on  $e$ , where

$$q - p + 1 = \log_{\alpha} \left( \frac{(Y(e) - Y^*(e)) (\alpha - 1)}{\alpha^p} + 1 \right).$$

The mutation that only selects the edge  $e$  can be generated by the (1+1) EA with probability  $\Omega(1/m)$ , which is an obviously valid mutation on  $e$ . Thus the (1+1) EA requires runtime  $O(m(q+1)) = O(m \log_{\alpha}(Y(e) - Y^*(e)))$  to get  $Y^*$  (because  $p$  may be 0). The above conclusions for the (1+1) EA also apply to RLS.  $\square$

#### 4.1 Analysis for DWVC-E<sup>+</sup>

In this subsection, we study the performances of the two algorithms for DWVC-E<sup>+</sup>.

**THEOREM 4.3.** *The expected runtime of the (1+1) EA (or RLS) for DWVC-E<sup>+</sup> is  $O(\min\{mD \log_{\alpha} W_{max}, \alpha m \log_{\alpha}^2(D \cdot W_{max})\})$ .*

PROOF. Given a DWVC-E<sup>+</sup> instance  $\{G = (V, E, W), Y_{orig}, E^+\}$ . We first consider the expected runtime of the (1+1) EA to obtain an MFDS of  $G^* = (V, E \cup E^+, W)$ , starting with the MFDS  $Y_{orig}$  of  $G = (V, E, W)$ . For each edge  $e \in E^+$ ,  $Y_{orig}(e)$  and  $\sigma(e)$  are initialized as 0 and 1 respectively. Observe that  $Y_{orig}$  is a feasible dual-solution of  $G^*$ . Thus any mutation on  $Y_{orig}$  would be rejected if  $Y$  is an MFDS of  $G^*$ , and the algorithm would keep  $Y$  forever.

In the following, we assume that  $Y_{orig}$  is not an MFDS of  $G^*$ . Observe that the weights on the edges in  $E$  cannot be increased. Thus by Lemma 3.2, we have that  $Y^*(e) = Y_{orig}(e)$  for each edge  $e \in E$ , and  $Y^*(e) \geq Y_{orig}(e)$  for each edge  $e \in E^+$ , where  $Y^*$  is an arbitrary MFDS of  $G^*$  obtained by the (1+1) EA starting with  $Y_{orig}$ .

Two analytical ways to study the performance of the (1+1) EA to get  $Y^*$  are given below from different views: one considers the edges in  $E^+$  separately; the other one considers that as a whole.

We start with the analysis from the view considering the edges in  $E^+$  separately. Let  $e = [v_1, v_2]$  be an arbitrary edge in  $E^+$ . Since  $Y^*(e) - Y_{orig}(e) \leq W_{max}$ , by Lemma 4.1, the (1+1) EA takes expected runtime  $O(m \log_{\alpha}(Y^*(e) - Y_{orig}(e))) = O(m \log_{\alpha} W_{max})$  to increase the weight on  $e$  from  $Y_{orig}(e)$  to  $Y^*(e)$ . Combining the fact that  $|E^+|$  is bounded by  $D$ , we can get that the (1+1) EA takes expected runtime  $O(mD \log_{\alpha} W_{max})$  to get  $Y^*$ .

Now we analyze the expected runtime that the (1+1) EA takes to get  $Y^*$  from the view considering the edges in  $E^+$  as a whole. Denote  $Y^*(e) - Y_{orig}(e)$  by  $\Delta(e)$  for each  $e \in E^+$ , and denote the number of valid mutations on  $e$  required to increase the weight on  $e$  from  $Y_{orig}(e)$  to  $Y^*(e)$  by  $\beta(e)$ . Let  $E_{\beta \neq 0} = \{e \in E^+ | \beta(e) \neq 0\}$ . For the  $\sum_{e \in E_{\beta \neq 0}} \beta(e)$  valid mutations on the edges in  $E_{\beta \neq 0}$ , we have that  $\sum_{e \in E_{\beta \neq 0}} Y_{orig}(e)$  is increased by  $\sum_{e \in E_{\beta \neq 0}} \Delta(e)$ . Thus the expected increment for each valid mutation on the edges in  $E_{\beta \neq 0}$  is

$$\frac{\sum_{e \in E_{\beta \neq 0}} \Delta(e)}{\sum_{e \in E_{\beta \neq 0}} \beta(e)}.$$

The mutation selecting exactly one edge in  $E_{\beta \neq 0}$  is an obviously valid mutation on the edges in  $E_{\beta \neq 0}$ , which can be generated by an iteration of the while-loop with probability  $\Omega(\frac{|E_{\beta \neq 0}|}{e \cdot m})$ . Thus the expected increment of  $\sum_{e \in E_{\beta \neq 0}} Y_{orig}(e)$  made by each iteration of the while-loop is at least

$$\frac{|E_{\beta \neq 0}|}{e \cdot m} \cdot \frac{\sum_{e \in E_{\beta \neq 0}} \Delta(e)}{\sum_{e \in E_{\beta \neq 0}} \beta(e)}. \quad (1)$$

The analysis for the lower bound of Expression (1) are given below. By Lemma 4.1, for each edge  $e \in E_{\beta \neq 0}$ , we have that

$$\begin{aligned} \beta(e) &\leq 2\alpha \lfloor \log_{\alpha}((\alpha - 1) \cdot \Delta(e) + 1) \rfloor \\ &\leq 2\alpha \lfloor \log_{\alpha}(\alpha \cdot \Delta(e)) \rfloor = 2\alpha (\lfloor \log_{\alpha} \Delta(e) \rfloor + 1). \end{aligned}$$

Thus,

$$\begin{aligned} \sum_{e \in E_{\beta \neq 0}} \beta(e) &\leq 2\alpha \left( |E_{\beta \neq 0}| + \sum_{e \in E_{\beta \neq 0}} \log_{\alpha} \Delta(e) \right) \\ &\leq 2\alpha \left( |E_{\beta \neq 0}| + |E_{\beta \neq 0}| \cdot \log_{\alpha} \sum_{e \in E_{\beta \neq 0}} \Delta(e) \right). \end{aligned}$$

The maximum value and minimum value that  $\sum_{e \in E_{\beta \neq 0}} \Delta(e)$  can take are  $D \cdot W_{max}$  and 1 respectively, hence

$$\frac{|E_{\beta \neq 0}| \cdot \sum_{e \in E_{\beta \neq 0}} \Delta(e)}{e \cdot m \cdot \sum_{e \in E_{\beta \neq 0}} \beta(e)} \geq \frac{\sum_{e \in E_{\beta \neq 0}} \Delta(e)}{2e \cdot \alpha m (1 + \log_{\alpha}(D \cdot W_{max}))}.$$

The Multiplicative Drift Theorem [4] gives that the (1+1) EA takes expected runtime  $O(\alpha m \log_{\alpha}^2(D \cdot W_{max}))$  to get  $Y^*$ .

Since we only consider the mutations selecting exactly one edge in the above analysis for the (1+1) EA, the above conclusions for the (1+1) EA also apply to RLS.  $\square$

#### 4.2 Analysis for DWVC-E<sup>-</sup>

Given an instance  $\{G = (V, E, W), Y_{orig}, E^-\}$  of DWVC-E<sup>-</sup>. Observe that the endpoints of the edges in  $E^-$  may not be tight with respect to the MFDS  $Y_{orig}$  of  $G$  once the edges in  $E^- \subseteq E$  are removed (note that the domain of definition for  $Y_{orig}$  and the weight function  $W$  would be modified as  $E \setminus E^-$  after the edges in  $E^-$  are removed). Thus the weights on the edges in  $E_{G^*}(E^-)$  have the room to be increased.

**THEOREM 4.4.** *The expected runtime of the (1+1) EA (or RLS) for DWVC-E<sup>-</sup> is  $O(\alpha m \log_{\alpha}^2(D \cdot W_{max}))$ .*

PROOF. We consider the expected runtime that the (1+1) EA (or RLS) requires to obtain an MFDS of  $G^* = (V, E \setminus E^-, W)$ , starting with the MFDS  $Y_{orig}$  of  $G = (V, E, W)$ . Observe that  $Y_{orig}$  is a feasible dual-solution of  $G^*$ . If  $Y_{orig}$  is an MFDS of  $G^*$ , then any mutation of the (1+1) EA (or RLS) on  $Y_{orig}$  would be rejected, and the algorithm keeps  $Y_{orig}$  forever. Thus in the following discussion, we assume that  $Y_{orig}$  is not an MFDS of  $G^*$ .

Let  $Y^*$  be an arbitrary MFDS of  $G^*$  obtained by the (1+1) EA (or RLS) starting with  $Y_{orig}$ . Observe that the weights on the edges in  $E \setminus (E^- \cup E_{G^*}(E^-))$  cannot be increased. Thus we have that  $Y^*(e) = Y_{orig}(e)$  for each edge  $e \in E \setminus (E^- \cup E_{G^*}(E^-))$ , and  $Y^*(e) \geq Y_{orig}(e)$  for each edge  $e \in E_{G^*}(E^-)$ .

Observe that all the edges in  $E_{G^*}(E^-)$  are incident to the endpoints of the edges in  $E^-$ , where the number of the endpoints of the edges in  $E^-$  can be bounded by  $2D$ . Thus  $\sum_{e \in E_{G^*}(E^-)} Y^*(e)$  can be upper bounded by  $2D \cdot W_{max}$ , and

$$\sum_{e \in E_{G^*}(E^-)} (Y^*(e) - Y_{orig}(e)) \leq \sum_{e \in E_{G^*}(E^-)} Y^*(e) \leq 2D \cdot W_{max}.$$

Using the reasoning similar to that for Theorem 4.3, the (1+1) EA (or RLS) can obtain  $Y^*$  in expected runtime  $O(am \log_\alpha^2(D \cdot W_{max}))$ .  $\square$

### 4.3 Analysis for DWVC- $W^+$

Given an arbitrary instance  $\{G = (V, E, W), Y_{orig}, V^+, W^+\}$  of DWVC- $W^+$ . The following lemma shows that the sum of the increments of the weights on the edges in  $G$  can be bounded.

LEMMA 4.5. *For any MFDS  $Y^*$  obtained by the (1+1) EA (or RLS) for instance  $\{G = (V, E, W), Y_{orig}, V^+, W^+\}$ ,*

$$\sum_{e \in E} (Y^*(e) - Y_{orig}(e)) \leq \sum_{v \in V^+} (W^+(v) - W(v)).$$

PROOF. Since  $Y_{orig}$  is an obviously feasible dual-solution of  $G^* = (V, E, W^+)$ ,  $Y^*(e) \geq Y_{orig}(e)$  for each edge  $e \in E$ . Let  $E_{W^+}$  be the set containing all edges  $e \in E$  where  $Y^*(e) > Y_{orig}(e)$ . Observe that the weights on the edges in  $E \setminus E_{G^*}(V^+)$  cannot be increased, hence  $E_{W^+} \subseteq E_{G^*}(V^+)$ . We have

$$\sum_{e \in E} (Y^*(e) - Y_{orig}(e)) = \sum_{e \in E_{W^+}} (Y^*(e) - Y_{orig}(e)). \quad (2)$$

For each  $e \in E_{W^+}$ , let  $\tau(e)$  be the endpoint of  $e$  that is tight with respect to  $Y_{orig}$  (if both endpoints of  $e$  are tight, then arbitrarily choose one as  $\tau(e)$ ). Obviously  $\tau(e) \in V^+$ . For any  $v \in V^+$ , we have

$$\sum_{e \in E_{W^+} | \tau(e)=v} (Y^*(e) - Y_{orig}(e)) \leq W^+(v) - W(v),$$

and

$$\sum_{e \in E_{W^+}} (Y^*(e) - Y_{orig}(e)) \leq \sum_{v \in V^+} (W^+(v) - W(v)). \quad (3)$$

Combining (2) and (3) gives the claimed inequality.  $\square$

As mentioned above, only the weights on the edges in  $E_{G^*}(V^+)$  can be increased. Thus using the reasoning similar to that for Theorem 4.3 and the upper bound given by Lemma 4.5, we have the following theorem.

THEOREM 4.6. *The expected runtime of the (1+1) EA (or RLS) for DWVC- $W^+$  is  $O(am \log_\alpha^2(D \cdot W_{max}))$ .*

### 4.4 Analysis for DWVC- $W^-$

Given an instance  $\{G = (V, E, W), Y_{orig}, V^-, W^-\}$  of DWVC- $W^-$ . Since the MFDS  $Y_{orig}$  of  $G = (V, E, W)$  may be an infeasible dual-solution of  $G^* = (V, E, W^-)$ , it is necessary to consider the process from the infeasible dual-solution  $Y_{orig}$  of  $G^*$  to a feasible dual-solution of  $G^*$  in the following discussion.

THEOREM 4.7. *The expected runtime of the (1+1) EA (or RLS) for DWVC- $W^-$  is  $O(am \log_\alpha^2(D \cdot W_{max}))$ .*

PROOF. We first consider the expected runtime that the (1+1) EA requires to obtain an MFDS of  $G^* = (V, E, W^-)$ , starting with the MFDS  $Y_{orig}$  of  $G = (V, E, W)$ . Obviously if  $Y_{orig}$  is a feasible dual-solution of  $G^*$ , then  $Y_{orig}$  is also an MFDS of  $G^*$ , and any mutation on  $Y_{orig}$  would be rejected. In the following discussion, we assume that  $Y_{orig}$  is an infeasible dual-solution of  $G^*$ .

Let  $Y_t$  be the first feasible dual-solution accepted by the (1+1) EA. By Lemma 3.3, to get a feasible dual-solution of  $G^*$ , only the weights on the edges in  $E_{G^*}(Y_{orig})$  can be decreased by the (1+1) EA, where  $E_{G^*}(Y_{orig}) \subseteq E_{G^*}(V^-)$ . Thus  $Y_t(e) \leq Y_{orig}(e)$  for each edge  $e \in E_{G^*}(Y)$ , and  $Y_t(e) = Y_{orig}(e)$  for each edge  $e \in E \setminus E_{G^*}(Y_{orig})$ .

Denote  $Y_{orig}(e) - Y_t(e)$  by  $\Delta(e)$  for each edge  $e \in E_{G^*}(Y_{orig})$ , and denote the number of valid mutations on  $e$  that the (1+1) EA requires to decrease the weight on  $e$  from  $Y_{orig}(e)$  to  $Y_t(e)$  by  $\beta(e)$ . Let  $E_{\beta \neq 0} = \{e \in E_{G^*}(Y_{orig}) \mid \beta(e) \neq 0\}$ .

Since  $\sum_{e \in E_{\beta \neq 0}} Y_{orig}(e)$  is decreased by  $\sum_{e \in E_{\beta \neq 0}} \Delta(e)$  for the  $\sum_{e \in E_{\beta \neq 0}} \beta(e)$  valid mutations on the edges in  $E_{\beta \neq 0}$ , the expected decrement of  $\sum_{e \in E_{\beta \neq 0}} Y_{orig}(e)$  made by each valid mutation on the edges in  $E_{\beta \neq 0}$  is

$$\frac{\sum_{e \in E_{\beta \neq 0}} \Delta(e)}{\sum_{e \in E_{\beta \neq 0}} \beta(e)}.$$

Combining the fact that each iteration of the while-loop generates a mutation selecting exactly one edge in  $E_{\beta \neq 0}$  with probability  $\Omega(\frac{|E_{\beta \neq 0}|}{e \cdot m})$ , which is an obviously valid mutation on the edges in  $E_{\beta \neq 0}$ , the expected decrement of  $\sum_{e \in E_{\beta \neq 0}} Y_{orig}(e)$  made by each iteration of the while-loop is at least

$$\frac{|E_{\beta \neq 0}|}{e \cdot m} \cdot \frac{\sum_{e \in E_{\beta \neq 0}} \Delta(e)}{\sum_{e \in E_{\beta \neq 0}} \beta(e)}. \quad (4)$$

Now we analyze the lower bound of Expression (4). By Lemma 4.2, for each edge  $e \in E_{\beta \neq 0}$ , we have that

$$\beta(e) \leq \log_\alpha ((\alpha - 1)\Delta(e) + 1) \leq \log_\alpha \Delta(e) + 1.$$

$$\begin{aligned} \text{Thus, } \sum_{e \in E_{\beta \neq 0}} \beta(e) &\leq \sum_{e \in E_{\beta \neq 0}} \log_\alpha \Delta(e) + |E_{\beta \neq 0}| \\ &\leq \sum_{e \in E_{\beta \neq 0}} \log_\alpha \sum_{e \in E_{\beta \neq 0}} \Delta(e) + |E_{\beta \neq 0}| \\ &= |E_{\beta \neq 0}| \cdot \log_\alpha \sum_{e \in E_{\beta \neq 0}} \Delta(e) + |E_{\beta \neq 0}|. \end{aligned}$$

Therefore, we have

$$\frac{|E_{\beta \neq 0}| \cdot \sum_{e \in E_{\beta \neq 0}} \Delta(e)}{e \cdot m \cdot \sum_{e \in E_{\beta \neq 0}} \beta(e)} \geq \frac{\sum_{e \in E_{\beta \neq 0}} \Delta(e)}{e \cdot m \cdot (\log_\alpha (\sum_{e \in E_{\beta \neq 0}} \Delta(e)) + 1)}.$$

Since  $\sum_{e \in E_{G^*}(V^-)} Y_{orig}(e) \leq D \cdot W_{max}$  and  $E_{G^*}(Y_{orig}) \subseteq E_{G^*}(V^-)$ , we have  $\sum_{e \in E_{G^*}(Y_{orig})} Y_{orig}(e) \leq D \cdot W_{max}$ , and the maximum value and minimum value that  $\sum_{e \in E_{\beta \neq 0}} \Delta(e)$  can take are  $D \cdot W_{max}$  and 1 respectively. Therefore,

$$\frac{|E_{\beta \neq 0}| \cdot \sum_{e \in E_{\beta \neq 0}} \Delta(e)}{e \cdot m \cdot \sum_{e \in E_{\beta \neq 0}} \beta(e)} \geq \frac{\sum_{e \in E_{\beta \neq 0}} \Delta(e)}{e \cdot m (\log_\alpha (D \cdot W_{max}) + 1)}.$$

The Multiplicative Drift Theorem [4] gives that the (1+1) EA takes expected runtime  $O(m \log_\alpha^2(D \cdot W_{max}))$  to get  $Y_t$ .



Obviously  $Y_t$  may not be an MFDS of  $G^*$ . Thus we also need to consider the process of the (1+1) EA to get an MFDS of  $G^*$ , starting with  $Y_t$ . To simplify the analysis, we aim to transform the process to an execution of the (1+1) EA for an instance of DWVC- $W^+$ .

In the following, we first construct a weighted graph  $G_t = (V, E, W_t)$  such that  $Y_t$  is an MFDS of  $G_t$ . Let  $V_{\beta \neq 0}$  contain all endpoints of the edges in  $E_{\beta \neq 0}$ . For each vertex  $v \in V \setminus V_{\beta \neq 0}$ , let  $W_t(v) = W(v)$ , and for each vertex  $v \in V_{\beta \neq 0}$ , let

$$W_t(v) = W(v) - \sum_{e \in E_{\beta \neq 0} | e \cap v \neq \emptyset} \Delta(e).$$

Since  $Y_{\text{orig}}$  is an MFDS of  $G$ ,  $Y_t$  is an obvious MFDS of  $G_t$ .

Since  $Y_t$  is a feasible dual-solution of  $G^*$  but an MFDS of  $G_t$ ,  $W_t(v) \leq W^-(v)$  for each  $v \in V$ . Let  $V'$  be the subset of  $V$  where for each  $v \in V'$ ,  $W_t(v) < W^-(v)$ . Thus the corresponding instance of DWVC- $W^+$  is  $\{G_t = (V, E, W_t), Y_t, V', W^-\}$ . Similar to Lemma 4.5, we consider the upper bound for the sum of the increments of the weights on the edges with respect to  $W^-$ , where

$$\begin{aligned} \sum_{v \in V'} (W^-(v) - W_t(v)) &\leq \sum_{v \in V'} (W(v) - W_t(v)) \\ &\leq \sum_{v \in V} \left( \sum_{e \in E_{\beta \neq 0} | e \cap v \neq \emptyset} \Delta(e) \right) \\ &\leq 2 \sum_{e \in E_{\beta \neq 0}} \Delta(e) \leq 2D \cdot W_{\max}. \end{aligned}$$

By Lemma 4.5 and the reasoning similar to that for Theorem 4.3, the (1+1) EA takes expected runtime  $O(\alpha m \log_{\alpha}^2(D \cdot W_{\max}))$  to get an MFDS of  $G^*$  starting with  $Y_t$ .

Summarizing the above discussion, the (1+1) EA takes expected runtime  $O(\alpha m \log_{\alpha}^2(D \cdot W_{\max}))$  to get an MFDS of  $G^*$ . The above time complexity also applies to RLS.  $\square$

## 5 CONCLUSION

In this paper, we contribute to the theoretical understanding of evolutionary computing for the Dynamic Weighted Vertex Cover problem, generalizing the results obtained by Pourhassan et al. [19] for the Dynamic Vertex Cover problem. Four graph-editing operations were studied for the dynamic changes on the given weighted graph, which lead to four versions of the Dynamic Weighted Vertex Cover problem. The performances of algorithms (1+1) EA and RLS with step size adaption strategy for the four versions were analyzed separately, which show that the qualities of the solutions for these studied dynamic changes can be maintained efficiently.

As mentioned in Introduction, Pourhassan et al. [18] studied the Weighted Vertex Cover problem using the dual form of the LP formulation recently, and showed that their (1+1) EA with Step Size Adaption cannot get a 2-approximate solution in polynomial expected time with high probability. It is easy to see that our (1+1) EA can solve the Weighted Vertex Cover problem efficiently (i.e., construct a 2-approximate solution), of which each instance  $G' = (V', E', W')$  can be transformed to an instance of DWVC- $E^+$  with  $E = \emptyset$  and  $E^* = E'$ . There are two main differences between their (1+1) EA and our (1+1) EA, causing the big performance gap: (1) for the mutation  $M$  constructed by their (1+1) EA, there may exist two edges selected by  $M$  whose weights are increased and decreased

respectively; for the mutation  $M$  constructed by our (1+1) EA, the weights on the edges selected by  $M$  are either all increased or all decreased; (2) for the mutation  $M$  that is rejected by their (1+1) EA, the step sizes of all the edges selected by  $M$  are decreased; for the mutation  $M$  that is rejected by our (1+1) EA, only the step sizes of the edges satisfying a specific condition can be decreased.

## ACKNOWLEDGMENTS

The work is supported by the National Natural Science Foundation of China under Grant 61672536, and the Australian Research Council under Grant DP160102401.

## REFERENCES

- [1] A. Auger and B. Doerr. *Theory of Randomized Search Heuristics: Foundations and Recent Developments*. World Scientific Publishing Co., Inc., 2011.
- [2] R. Bar-Yehuda and S. Even. A linear-time approximation algorithm for the weighted vertex cover problem. *Journal of Algorithms*, 2(2):198–203, 1981.
- [3] H.-G. Beyer and H.-P. Schwefel. Evolution strategies—a comprehensive introduction. *Natural computing*, 1(1):3–52, 2002.
- [4] B. Doerr, D. Johannsen, and C. Winzen. Multiplicative drift analysis. *Algorithmica*, 64(4):673–697, 2012.
- [5] D.-Z. Du, K.-I. Ko, and X. Hu. *Design and analysis of approximation algorithms*, volume 62. Springer Science & Business Media, 2011.
- [6] T. Friedrich, J. He, N. Hebbinghaus, F. Neumann, and C. Witt. Approximating covering problems by randomized search heuristics using multi-objective models. *Evolutionary Computation*, 18(4):617–633, 2010.
- [7] T. Friedrich and F. Neumann. What’s hot in evolutionary computation. In *AAAI*, pages 5064–5066, 2017.
- [8] N. Hansen, S. D. Müller, and P. Koumoutsakos. Reducing the time complexity of the derandomized evolution strategy with covariance matrix adaptation (cma-es). *Evolutionary computation*, 11(1):1–18, 2003.
- [9] D. S. Hochbaum. Efficient bounds for the stable set, vertex cover and set packing problems. *Discrete Applied Mathematics*, 6(3):243 – 254, 1983.
- [10] T. Jansen. *Analyzing Evolutionary Algorithms - The Computer Science Perspective*. Natural Computing Series. Springer, 2013.
- [11] T. Jansen, P. S. Oliveto, and C. Zarges. Approximating vertex cover using edge-based representations. In *Proceedings of the twelfth workshop on Foundations of genetic algorithms XII*, pages 87–96. ACM, 2013.
- [12] S. Khot. On the power of unique 2-prover 1-round games. In *Proceedings of the thirty-fourth annual ACM symposium on Theory of computing*, pages 767–775. ACM, 2002.
- [13] S. Khot and O. Regev. Vertex cover might be hard to approximate to within  $2-\epsilon$ . *Journal of Computer and System Sciences*, 74(3):335–349, 2008.
- [14] T. Kötzing, A. Lissovoi, and C. Witt. (1 + 1) ea on generalized dynamic onemax. In *Proceedings of the 2015 ACM Conference on Foundations of Genetic Algorithms XIII*, pages 40–51. ACM, 2015.
- [15] S. Kratsch and F. Neumann. Fixed-parameter evolutionary algorithms and the vertex cover problem. *Algorithmica*, 65(4):754–771, 2013.
- [16] F. Neumann and C. Witt. *Bioinspired Computation in Combinatorial Optimization: Algorithms and Their Computational Complexity*. Springer-Verlag New York, Inc., New York, NY, USA, 1st edition, 2010.
- [17] F. Neumann and C. Witt. On the runtime of randomized local search and simple evolutionary algorithms for dynamic makespan scheduling. In *IJCAI*, pages 3742–3748, 2015.
- [18] M. Pourhassan, T. Friedrich, and F. Neumann. On the use of the dual formulation for minimum weighted vertex cover in evolutionary algorithms. In *Proceedings of the 14th ACM/SIGEVO Conference on Foundations of Genetic Algorithms*, pages 37–44. ACM, 2017.
- [19] M. Pourhassan, W. Gao, and F. Neumann. Maintaining 2-approximations for the dynamic vertex cover problem using evolutionary algorithms. In *Proceedings of the 2015 Annual Conference on Genetic and Evolutionary Computation*, pages 903–910. ACM, 2015.
- [20] M. Pourhassan, F. Shi, and F. Neumann. Parameterized analysis of multi-objective evolutionary algorithms and the weighted vertex cover problem. In *Proceedings of the 14th International Conference on Parallel Problem Solving from Nature (PPSN XIV)*, pages 729–739. Springer, 2016.
- [21] F. Shi, M. Schirneck, T. Friedrich, T. Kötzing, and F. Neumann. Reoptimization times of evolutionary algorithms on linear functions under dynamic uniform constraints. In *Proceedings of the Genetic and Evolutionary Computation Conference*, pages 1407–1414. ACM, 2017.
- [22] V. V. Vazirani. *Approximation algorithms*. Springer Science & Business Media, 2013.