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Time-Domain Spectral Finite Element Method for Modeling Second Harmonic Generation of Guided Waves Induced by Material, Geometric and Contact Nonlinearities in Beams

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32 **Time-domain spectral finite element method for modeling second harmonic**
33 **generation of guided waves induced by material, geometric and contact**
34 **nonlinearities in beams**

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53
54 **Abstract**

55 This study proposes a time-domain spectral finite element (SFE) method for simulating the second
56 harmonic generation (SHG) of nonlinear guided wave due to material, geometric and contact
57 nonlinearities in beams. The time-domain SFE method is developed based on the Mindlin-Hermann
58 rod and Timoshenko beam theory. The material and geometric nonlinearities are modeled by adapting
59 the constitutive relation between stress and strain using a second order approximation. The contact
60 nonlinearity induced by breathing crack is simulated by bilinear crack mechanism. The material and
61 geometric nonlinearities of the SFE model are validated analytically and the contact nonlinearity is
62 verified numerically using three-dimensional (3D) finite element (FE) simulation. There is good
63 agreement between the analytical, numerical and SFE results, demonstrating the accuracy of the
64 proposed method.

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65 Numerical case studies are conducted to investigate the influence of number of cycles and
66 amplitude of the excitation signal on the SHG and its performance in damage detection. The results
67 show that the amplitude of the SHG increases with the numbers of cycles and amplitude of the
68 excitation signal. The amplitudes of the SHG due to material and geometric nonlinearities are also
69 compared with the contact nonlinearity when a breathing crack exists in the beam. It shows that the
70 material and geometric nonlinearities have much less contribution to the SHG than the contact
71 nonlinearity. In addition, the SHG can accurately determine the crack location without using the
72 reference data. Overall, the findings of this study help further advance the use of SHG for damage
73 detection.

74

75

76 **Keywords:** Nonlinear guided waves, second harmonic, spectral finite element, material nonlinearity,
77 geometric nonlinearity, contact nonlinearity, breathing crack

78

79

80 **1 Introduction**

81 Structural health monitoring (SHM) has attracted increasing attention in the last two decades as it has
82 played a vital role in maintaining the structural safety and serviceability in civil, aerospace and
83 mechanical engineering. Different techniques were developed to provide early damage detection in
84 structures. For example, conventional non-destructive evaluation (NDE) techniques, such as visual
85 inspection, eddy current [1] and ultrasonic technique [2, 3], were developed to provide offline
86 inspection of the structural integrity. However, the majority of the NDE techniques are not suitable
87 for online and in-situ monitoring of the structures due to the sustainability of transducers and cost
88 issues. Most NDE techniques are not applicable to inspect inaccessible location of the structures.
89 They are costly, time consuming, and under manual operation according to schedule maintenance
90 cycles. Vibration based approach is the other commonly used damage detection technique [4-6]. This
91 method concerns the variation in physical properties, such as mass, stiffness and damping. And these
92 properties directly affect the values of modal parameters, like natural frequencies and mode shapes
93 [7]. For instance, cracks will be identified if there is an indication of the stiffness reduction. However,
94 there are two reasons limiting the application of this technique to detect damage in practice. The first
95 limitation is that significant damage usually causes very small changes in the modal parameters. The
96 other one is the change of modal parameters caused by damage may be undetected due to the varying
97 environmental and operational condition.

98

99 **1.1 Linear guided waves**

100 The other approach that has attracted significant attention is based on guided waves to evaluate the
101 integrity of structures. Guided waves are mechanical stress waves which propagate along the structure
102 are guided by the boundaries of the structures. They propagate at high speeds, up to thousand m/s.
103 Guided waves could be used for in-situ monitoring of relatively large area of the structure. In other
104 words, this technique is good for long-range inspection. Different techniques were developed to
105 employ the guided waves for damage detection of different types of structures, such as beam [8, 9],
106 pipe [10] and metallic plate [11-13] and composite materials [14, 15]. The damage detection is
107 achieved by the change of the characteristics of the guided wave responses at the same frequency of
108 the input signal. But this technique is only effective when the damage size is similar or larger to the
109 wavelength of the guided waves. The majority of the techniques based on the linear guided waves
110 require reference (baseline) data when the structure is intact to extract the information of the damage
111 from the measured signals. However, the stability of the baseline data is significantly affected by the
112 varying temperature [16] and operational condition [17-19].

113 To achieve early detection of damage, nonlinear features of guided waves, such as higher
114 harmonics [20-22], sub-harmonics [23, 24], shift of resonance frequency [25] and mixed frequency
115 response [26], have been used for damage detection. Specifically, the generation of higher harmonics,
116 which frequencies are in multiple times of the input signal frequency, has been widely used as an
117 indicator for early detection of damages. Compared to the linear features of guided waves, the
118 nonlinear features are more sensitive to the micro-structural change and less influenced by varying
119 temperature and operational condition of the structures.

120

121 **1.2 Nonlinear features of guided waves**

122 Early research into nonlinear guided waves focused on the bulk waves and Rayleigh surface waves
123 [27]. Different from bulk waves and Rayleigh surface waves [28], the guided waves can be highly
124 dispersive if it is generated using inappropriate excitation frequency. Guided waves generally contain
125 multiple wave modes and their group and phase velocities usually vary with frequency. To effectively
126 utilize the nonlinear guided waves, different studies have investigated the conditions on the
127 cumulative second harmonic generation (SHG) of guided wave, such as internal resonance, group
128 velocity matching and guided wave modes interaction [29]. The results showed that under such
129 conditions the detectability of the higher harmonics in nonlinear guided wave could be improved
130 significantly.

131 Higher harmonic generated [30] due to the nonlinearities existed in the structures, which are
132 attributed to material behaviour, geometry, structural joints and damage. For an undamaged isotropic
133 homogeneous solid medium, geometric nonlinearity and material nonlinearity can distort the passing

134 guided waves to induce the higher harmonics. The geometric nonlinearity is due to the finite
135 deformation of the structures. The material nonlinearity is mainly generated by the discontinuity of
136 the medium at lattice level, i.e. imperfections in atomic lattices. The effect of higher harmonic
137 generation is enhanced when there are additional imperfections in medium, such as distributed micro-
138 cracks. In the literature the higher harmonic generation has been employed to evaluate material
139 thermal degradation [31], fatigue microstructure [30, 32], micro-corrosive defect [33] and the
140 dislocation substructures in metals [34, 35].

141 The higher harmonics can also be generated due to the contact nonlinearity at the contact-type
142 damage. When guided waves propagate in a localized fatigue crack, the compressive and tensile
143 stress at the damaged medium closes and opens the contact interfaces, respectively. This behaviour
144 alters the stiffness of the structure and generates the higher harmonics. In the literature the contact
145 nonlinearity has been investigated for a number of contact-type damages, such as fatigue crack [36],
146 kissing bond [37, 38], debonding [39, 40] and breathing crack[41].

147

148 **1.3 Numerical simulation of nonlinear guided waves**

149 In the literature different numerical simulation methods have been proposed to simulate the nonlinear
150 guided waves. For example, Shen and Giurgiutiu [42] proposed an analytical and finite element (FE)
151 method to simulate the nonlinear guided wave propagation induced by a breathing crack. The
152 piezoelectric wafer active sensor was implemented to generate and receive the guided wave signals.
153 Wan *et al.* [43] utilized the analytical and FE method to study the higher harmonics induced by the
154 material nonlinearity in plates. Approximate phase velocity matching condition for the generation of
155 nonlinear signal was investigated using the low frequency primary mode Lamb waves. Hong *et al.*
156 [20] employed the FE method to simulate the nonlinear guided wave in aluminium plates with fatigue
157 cracks. Zhu *et al.* [44] utilized the FE method to study the plastic damage in martensite stainless steels.
158 The nonlinear guided wave due to material and geometric nonlinearities was analyzed by
159 incorporating a nonlinear constitutive relationship to FE models. Yamanako *et al.* [45] proposed a
160 two dimensions (2D) finite difference (FD) method to analysis nonlinear guided wave. The
161 subharmonic generation at closed stress corrosion cracks was successfully reproduced. Shen and
162 Cesnik [46] utilized the local interaction simulation approach (LISA) to simulate the nonlinear guided
163 wave caused by the clapping mechanism of fatigue cracks. Joglekar and Mitra [47] proposed a fast
164 Fourier transform (FFT) based spectral finite element (SFE) model to study the nonlinear guided
165 wave in beams due to the breathing crack. He and Ng [48] proposed a time-domain SFE method,
166 which employed a crack-breathing mechanism to simulate the contact nonlinearity. They investigated
167 the performance of the fundamental symmetric (S_0) and anti-symmetrical (A_0) mode and also the
168 mode conversion in generating the higher harmonics in the breathing crack.

169 The existing numerical simulation methods have different advantages and disadvantages. The
170 FFT based SFE method is computational efficient in modeling guided wave propagation, but it is a
171 semi-analytical method assuming infinite length of the structure. The FD method can simulate on
172 large scale model under regular grids. However, it is incapable of simulating the guided wave
173 propagation in waveguides if material property changes with geometry [49]. The LISA is efficient
174 and effective in simulating complex geometries but it requires careful discretization to obtain accurate
175 solutions. The major distinction between FE method and SFE method is the use of shape function.
176 Although the FE method is suitable to simulate complex structures, the efficiency of computation is
177 unsatisfied since the shape function is not in a high order. The discretization of the FE elements should
178 be very small to ensure the accuracy of the simulation.

179 Overall, it is found that most of the simulation concern only the contact nonlinearity or, to a
180 less extent, the material and geometric nonlinearities. Very limited papers [20] considered all the
181 contribution of material, geometric and contact nonlinearities in the second harmonics generation
182 (SHG), especially for beam structures and time-domain SFE method. In this study, time-domain SFE
183 model is proposed to study the SHG in beams with a breathing crack with the consideration of
184 material, geometric and contact nonlinearities. The findings of the study can provide physical insights
185 into the SHG due to the material, geometric and contact nonlinearities. This can further advance the
186 development of using SHG for damage detection.

187

188 **1.4 Time-domain spectral finite element method**

189 The time-domain SFE has been found to be computationally efficient in the simulation of guided
190 wave propagation and capable of modeling complicated geometric structures. The time-domain SFE
191 method is as flexible as the conventional FE method in modeling different geometries of structures.
192 The computational efficiency is significantly improved by using the high-order approximation
193 polynomials. Gauss-Lobatto-Legendre (GLL) nodes are applied in a higher-order interpolation. GLL
194 integration points are used to simulate guided waves since it turns mass matrix into a diagonal form.
195 The model can efficiently calculate the solution of the dynamic equilibrium using the explicit central
196 difference method.

197 The time-domain SFE method has been widely investigated by a number of studies with
198 respect to the linear features of guided waves [50] and damage detection [5]. However, there were
199 limited studies focused on using time-domain SFE method in simulating nonlinear guided waves
200 induced by material, geometric nonlinearities, and contact nonlinearities. In this paper, the
201 computationally efficient time-domain SFE method is extended to take into account the effects of the
202 material, geometric and contract nonlinearities on the SHG.

203 The arrangement of the paper is as follows. The time-domain SFE method for simulating the
 204 nonlinear guided waves is proposed in Section 2. The nonlinear guided waves resulted from material,
 205 geometric and contact nonlinearities are formulated in this section. The proposed SFE method for
 206 simulating material and geometric nonlinearities, and contact nonlinearity are then validated using
 207 analytical solutions and three-dimensional (3D) FE simulations in Section 3. Section 4 carries out a
 208 series of numerical case studies to investigate the performance of the proposed SFE method in
 209 simulating the SHG at fatigue cracks with the consideration of material, geometric and contact
 210 nonlinearities. Conclusions are drawn in Section 5.

211

212 **2 Time-domain Spectral finite element method**

213 The simulation of nonlinear guided waves using time-domain SFE method is presented in this section.
 214 The basic SFE formulation is described first in subsection 2.1. Then the modeling of material and
 215 geometric nonlinearities, and contact nonlinearities using the SFE method are described in
 216 subsections 2.2 and 2.3, respectively.

217

218 **2.1 Spectral finite element formulation**

219 The dynamic equilibrium of the time-domain SFE method is the same as the conventional FE method,
 220 which is defined as [48,52]

$$221 \quad \mathbf{M}\ddot{\mathbf{U}} + \mathbf{C}\dot{\mathbf{U}} + \mathbf{K}\mathbf{U} = \mathbf{F}(t) \quad (1)$$

222 where \mathbf{M} , \mathbf{K} and $\mathbf{F}(t)$ are global mass matrix, global stiffness matrix and global force vector at time
 223 t , respectively. The global damping matrix \mathbf{C} is a function of the global mass matrix denoted by
 224 $\mathbf{C} = \eta\mathbf{M}$, where η is the damping coefficient. $\ddot{\mathbf{U}}$, $\dot{\mathbf{U}}$ and \mathbf{U} denote the vector of acceleration,
 225 velocity and displacement response, respectively. The elemental mass matrix \mathbf{M}^e , elemental
 226 stiffness matrix \mathbf{K}^e and elemental force vector \mathbf{F}^e that form the corresponding global terms in
 227 Equation (1) are given as [10]

$$228 \quad \mathbf{M}^e \approx \sum_{i=1}^n w_i \mathbf{N}_e(\xi_i)^T \mathbf{r}_e \mathbf{N}_e(\xi_i) \det(J(\xi_i)) \quad (2)$$

$$229 \quad \mathbf{K}^e \approx \sum_{i=1}^n w_i \mathbf{B}_e(\xi_i)^T \mathbf{E}_e \mathbf{B}_e(\xi_i) \det(J(\xi_i)) \quad (3)$$

$$230 \quad \mathbf{F}^e(t) \approx \sum_{i=1}^n w_i \mathbf{N}_e(\xi_i)^T \mathbf{f}_e(t) \mathbf{N}_e(\xi_i) \det(J(\xi_i)) \quad (4)$$

231 where ξ_i is the local coordinate of the i -th node at the element, $i \in 1, \dots, n$, and n is the number of
 232 nodes. $J = \partial x / \partial \xi$ is the Jacobian functions transferring the local coordinate ξ to the global
 233 coordinate x . w_i is the weighting function of node i defined as $w_i = 2 / \{n(n-1)[L_{n-1}(\xi_i)]^2\}$.

234 $\mathbf{r}_e = \text{diag} \left[\rho b h \quad K_2^M \rho b h^3 / 12 \quad \rho b h \quad K_2^T \rho b h^3 / 12 \right]$ is the mass matrix, where ρ is the density of the
 235 material, b is the width and h is the height of the beam. \mathbf{E}_e is the material property matrix and $\mathbf{f}_e(t)$
 236 is the external force vector at time t applied to the element, respectively. Different to the conventional
 237 FE method, the SFE method employs the GLL nodes in the element, which results in a more efficient
 238 solution than the FE method [48]. The local coordinate ξ_i of the GLL nodes can be determined from
 239 the roots of the given equation

$$240 \quad (1 - \xi_i^2) L'_{n-1}(\xi_i) = 0 \quad \text{for } \xi_i \in [-1, 1] \text{ and } i \in 1, \dots, n \quad (5)$$

241 where L'_{n-1} denotes the first derivative of $(n-1)$ -th order Legendre polynomial. \mathbf{N}_e is the shape
 242 function of the SFE element, which has the matrix form [15]

$$243 \quad \mathbf{N}_e = [N_1(\xi), \dots, N_n(\xi)] \otimes \mathbf{I} \quad \text{where } N_i(\xi) = \prod_{m=1, m \neq i}^n \frac{\xi - \xi_m}{\xi_i - \xi_m} \quad (6)$$

244 where m is the sequence of the n GLL integration points in the element. \mathbf{I} is an identity matrix with
 245 the square size same as the number of the nodal degree of freedom. ‘ \otimes ’ denotes the Kronecker
 246 product.

247 In this paper, the first-order shear deformation theory considering the independent contraction
 248 due to Poisson effect is employed to formulate the beam element. The displacement field is defined
 249 as [15]

$$250 \quad \bar{u}(x, y) \approx u(x) - \varphi(x)y \quad \text{and} \quad \bar{v}(x, y) \approx v(x) + \psi(x)y \quad (7)$$

251 where $u(x)$ is the longitudinal displacement in x axis direction, v is the transverse displacement, φ is
 252 the rotation of the cross section and ψ is the independent contraction accounts for the Poisson effect.
 253 The Lagrange strain [52] is employed and the strain field in the element is defined as

$$254 \quad \boldsymbol{\varepsilon}^e = \mathbf{B}^e \mathbf{q}^e = (\mathbf{B}_L^e + \mathbf{B}_{NL}^e) \mathbf{q}^e \quad (8)$$

255 where $\mathbf{q}^e = [u^e, \psi^e, v^e, \varphi^e]^T$ and \mathbf{B}^e is strain-displacement operator. \mathbf{B}_L^e and \mathbf{B}_{NL}^e account for the first
 256 and second order terms of Lagrange strain and they are defined as

$$257 \quad \mathbf{B}_L^e = \begin{bmatrix} \frac{\partial}{\partial x} & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & \frac{\partial}{\partial x} & 0 & 0 \\ 0 & 0 & \frac{\partial}{\partial x} & -1 \\ 0 & 0 & 0 & \frac{\partial}{\partial x} \end{bmatrix} \mathbf{N}^e \quad \text{and} \quad \mathbf{B}_{NL}^e = \frac{1}{2} \begin{bmatrix} \frac{\partial u^e}{\partial x} \frac{\partial}{\partial x} & 0 & \frac{\partial v^e}{\partial x} \frac{\partial}{\partial x} & 0 \\ 0 & \psi^e & 0 & \varphi^e \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \mathbf{N}^e \quad (9)$$

258

259 2.2 Modeling of material and geometric nonlinearities

260 Considering an isotropic homogeneous solid with purely elastic behavior, the material and geometric
 261 nonlinearities can be represented by the constitutive relation between stress and strain using the
 262 second order approximation [54] as

$$263 \quad \sigma_{ij} = \left(Q_{ijkl}^L + 1/2 Q_{ijklmn}^{NL} \varepsilon_{mn} \right) \varepsilon_{kl} \quad (10)$$

264 where σ_{ij} is the stress tensor. ε_{mn} and ε_{kl} are the strain tensors. Q_{ijkl}^L is the second order elastic
 265 tensors, which can be expressed in a matrix form for two-dimensional plane stress situation as

$$266 \quad \{Q_{ijkl}^L\} = \begin{bmatrix} Q_{1111}^L & Q_{1133}^L & 0 \\ Q_{1133}^L & Q_{3333}^L & 0 \\ 0 & 0 & Q_{3131}^L \end{bmatrix} = \frac{E}{(1-\nu^2)} \begin{bmatrix} 1 & \nu & 0 \\ \nu & 1 & 0 \\ 0 & 0 & \frac{1-\nu}{2} \end{bmatrix} \quad (11)$$

267 where $\nu = 0.5\lambda/(\lambda + \mu)$ is the Poisson's ratio and $E = \mu(3\lambda + 2\mu)/(\lambda + \mu)$ is the Young's modulus
 268 of the material. λ and μ are the Lamé constants. Q_{ijklmn}^{NL} is the tensor addresses both the material and
 269 geometric nonlinearities. If Q_{ijklmn}^{NL} is not considered, Equation (10) can be simplified into the linear
 270 situation following the Hooke's Law. The form of Q_{ijklmn}^{NL} is given as [20, 43, 54].

$$271 \quad Q_{ijklmn}^{NL} = Q_{ijklmn}^L + Q_{ijln}^L \delta_{km} + Q_{jnkl}^L \delta_{im} + Q_{jlmn}^L \delta_{ik} \quad (12)$$

272 where

$$273 \quad Q_{ijklmn}^L = \frac{1}{2} A \left(\delta_{ik} I_{jlmn} + \delta_{il} I_{jkmn} + \delta_{jk} I_{ilmn} + \delta_{jl} I_{ikmn} \right) + 2B \left(\delta_{ij} I_{klmn} + \delta_{kl} I_{mnij} + \delta_{mn} I_{ijkl} \right) + 2C \delta_{ij} \delta_{kl} \delta_{mn} \quad (13)$$

274 In Equation (12) and (13), δ_{ij} and its similar forms with different subscript indexes are the Kronecker
 275 delta. I_{ijkl} and its similar forms with different index orders are the fourth order identity tensors.

276 The material nonlinearity is described by the third order elastic tensor Q_{ijklmn}^{NL} , where the
 277 geometric nonlinearity is addressed by the last three terms in Equation (12). The subscript ij, kl, mn
 278 = 11, 33, 31 in this paper. The third order elastic tensor Q_{ijklmn}^{NL} is determined by three third order
 279 elastic constants A, B and C . Their values can be measured from experiment for the investigated
 280 materials. Let $Q_{ijkl} = Q_{ijkl}^L + 1/2 Q_{ijklmn}^{NL} \varepsilon_{mn}$, the material property matrix \mathbf{E}_e in Equation (3) is expressed
 281 as

$$282 \quad \mathbf{E}_e = \begin{bmatrix} Q_{1111}bh & Q_{1133}bh & 0 & 0 & 0 \\ Q_{1133}bh & Q_{3333}bh & 0 & 0 & 0 \\ 0 & 0 & Q_{3131}K_1^M bh^3/12 & 0 & 0 \\ 0 & 0 & 0 & Q_{3131}K_1^T bh & 0 \\ 0 & 0 & 0 & 0 & Q_{3333}bh^3/12 \end{bmatrix} \quad (14)$$

283 where $K_1^M = 1.1$, $K_2^M = 3.1$, $K_1^T = 0.922$ and $K_2^T = 12K_1^T / \pi^2$ are adjustable parameters that
 284 calibrate the accuracy of guided wave propagation simulation [9]. Using the material property matrix
 285 \mathbf{E}_e in Equation (14), the time-domain SFE method can include the effect of the material nonlinearity
 286 in simulating the guided wave propagation.

287

288 2.3 Acoustic nonlinearity parameter

289 For one-dimensional (1D) longitudinal wave propagation, Equation (10) can be simplified as [21, 43]

$$290 \quad \sigma = (E + E_2 \varepsilon) \varepsilon \quad (15)$$

291 where σ , ε and E_2 are the stress, strain and the second order Young's modulus accounted for the
 292 nonlinearity of the medium [53]. E_2 can be calculated from

$$293 \quad E_2 = -\frac{1}{2}(3E + 2A + 6B + 2C) \quad (16)$$

294 In order to investigate the nonlinearity of the material, the acoustic nonlinearity parameter β
 295 is introduced as the ratio of the second order Young's modulus to Young's modulus as [20]

$$296 \quad \beta = \frac{E_2}{E} = -\frac{1}{2} \left(3 + \frac{2A + 6B + 2C}{E} \right) \quad (17)$$

297 Equation (17) shows that the acoustic nonlinearity parameter β is a function of the Young's modulus,
 298 which accounts for the linear behavior of the medium, and the third order elastic constants A, B and
 299 C , which account for the nonlinear behavior of the medium. This shows that the acoustic nonlinearity
 300 parameter β quantifies the degree of material nonlinearity of the medium without any defect or plastic
 301 deformation. In practice, the relative acoustic nonlinearity parameter β' can be employed as an
 302 indicator to study the second order of the medium nonlinearity [55], which is defined as the ratio

303 between the spectral amplitude at the second harmonic frequency (A_2) and the square of the spectral
304 amplitude of the fundamental frequency (A_1) as

$$305 \quad \beta' = \frac{A_2}{A_1^2} \quad (18)$$

306 According to the reference [56], the relative acoustic nonlinearity parameter β' is linearly proportion
307 to the nonlinear parameter β and the wave propagation distance if the measured guided wave modes
308 are cumulative. Hence β' also has the following expression

$$309 \quad \beta' \propto \beta x \quad (19)$$

310 where x is the distance of propagation. Hence, the relative acoustic nonlinearity parameter β'
311 indicates the nature of the nonlinear property of the wave propagation.

312

313 **2.4 Modeling of contact nonlinearity**

314 In this paper, the contact nonlinearity induced by a breathing crack in a cracked beam is also simulated
315 by the proposed SFE model. To achieve this, a SFE cracked element is proposed in this section.
316 Considering a cracked beam with length L , width b and height h , the breathing crack with depth d_c is
317 modeled at location L_c of the beam. Figure 1(a) shows the SFE discretization of the beam. The intact
318 part of the beam is modeled using the SFE beam element and the cracked part is modeled by the
319 proposed SFE cracked element. Eight GLL nodes are used by the SFE beam element while two GLL
320 nodes are used in the proposed SFE cracked element. The nodes in the crack element consider three
321 degrees-of-freedom (DoFs) i.e., longitudinal, transverse and rotational DoFs. The length of the crack
322 element is assumed to be very small, i.e. $l \approx 0.001$ mm, in the model. When the proposed cracked
323 element is connected with the SFE beam element, the lateral contraction DoF induced by the
324 longitudinal wave propagation is not considered due to the very small length of the proposed SFE
325 crack element.

326

327 *[Figure 1. Schematic diagram of a SFE model for simulating a cracked beam, (a) discretization of*
328 *a cracked beam; (b) two-node SFE crack element when the crack is opened and closed]*

329

330 The contact nonlinearity due to the breathing phenomenon of the crack is modeled by a contact
331 mechanism indicated in Figure 1(b). The nodal longitudinal displacements u_1 and u_2 at the SFE
332 cracked element are examined to determine the status of the crack at each time step of the simulation:

$$333 \quad u_1 - u_2 < 0 \quad \text{when the crack is opened} \quad (20)$$

$$334 \quad u_1 - u_2 \geq 0 \quad \text{when the crack is closed} \quad (21)$$

335 Based on the status of the crack, the stiffness matrix \mathbf{K}_e^c of the SFE crack element can be determined.

336 When the crack is opened, the stiffness matrix \mathbf{K}_e^c of the SFE crack element is calculated as [48]

$$337 \quad \mathbf{K}_e^c = \mathbf{P}\mathbf{G}_c^{-1}\mathbf{P}^T \quad (22)$$

338 where \mathbf{P} is the spatial transformation matrix as a function of the crack element length l

$$339 \quad \mathbf{P}^T = \begin{bmatrix} 1 & 0 & 0 & -1 & 0 & 0 \\ 0 & 1 & 0 & 0 & -1 & l \\ 0 & 0 & 1 & 0 & 0 & -1 \end{bmatrix} \quad (23)$$

340 \mathbf{G}_c is the flexibility matrix for the open crack given as follows

$$341 \quad \mathbf{G}_c = \begin{pmatrix} \mathbf{g}_{11}^c & \mathbf{g}_{12}^c & \mathbf{g}_{13}^c \\ \mathbf{g}_{21}^c & \mathbf{g}_{22}^c & \mathbf{g}_{23}^c \\ \mathbf{g}_{31}^c & \mathbf{g}_{32}^c & \mathbf{g}_{33}^c \end{pmatrix} \quad (24)$$

342 with

$$343 \quad \mathbf{g}_{11} = \frac{l}{EA} + I_{g1}, \quad \mathbf{g}_{22} = \left(\frac{\kappa l}{GA} + \frac{l^3}{3EA} \right) + (I_{g3} + l_c^2 I_{g4}), \quad \mathbf{g}_{33} = \frac{l}{EI} + I_{g4},$$

$$344 \quad \mathbf{g}_{12} = \mathbf{g}_{21} = l_c I_{g2}, \quad \mathbf{q}_{13} = \mathbf{q}_{31} = -I_{g2}, \quad \mathbf{q}_{23} = \mathbf{q}_{32} = -\frac{l^2}{2EI} - l_c I_{g4} \quad (25)$$

345 where $\kappa = 10(1+\nu)/(12+11\nu)$ is the shear coefficient for rectangular shape of the beam cross section.

346 I_{g1} , I_{g2} , I_{g3} and I_{g4} are the functions of the crack depth defined as

$$347 \quad I_{g1} = \frac{2\pi}{Eb} \int_0^\alpha \alpha F_1^2 d\alpha, \quad I_{g2} = \frac{12\pi}{Ebh} \int_0^\alpha \alpha F_1 F_2 d\alpha, \quad I_{g3} = \frac{2\kappa\pi}{Eb} \int_0^\alpha \alpha F_{II}^2 d\alpha, \quad I_{g4} = \frac{72\pi}{Ebh^2} \int_0^\alpha \alpha F_2^2 d\alpha \quad (26)$$

348 where l_c is the crack location in the SFE crack element. $\alpha = d_c / h$. F_1 , F_2 and F_{II} are the empirical

349 boundary calibration factors accounted for tension, bending and shear of the surface crack,

350 respectively. According to [57], the factors F_1 , F_2 and F_{II} produce less than 0.5% errors for the

351 simulation of the crack with any depth d_c . Their formulations are given as [48]

$$352 \quad F_1(\alpha) = \sqrt{\frac{2}{\pi\alpha} \tan\left(\frac{\pi\alpha}{2}\right)} \frac{0.752 + 2.02\alpha + 0.37 \left[1 - \sin\left(\frac{\pi\alpha}{2}\right) \right]^3}{\cos\left(\frac{\pi\alpha}{2}\right)} \quad (27)$$

353
$$F_2(\alpha) = \sqrt{\frac{2}{\pi\alpha} \tan\left(\frac{\pi\alpha}{2}\right)} \frac{0.923 + 0.199 \left[1 - \sin\left(\frac{\pi\alpha}{2}\right)\right]^4}{\cos\left(\frac{\pi\alpha}{2}\right)} \quad (28)$$

354
$$F_{II}(\alpha) = \frac{1.122 - 0.561\alpha + 0.085\alpha^2 + 0.18\alpha^3}{\sqrt{1-\alpha}} \quad (29)$$

355

356 When the crack is closed, the crack element is considered as an intact beam element. The SFE crack
 357 element stiffness matrix \mathbf{K}_e^c in Equation (22) becomes

358
$$\mathbf{K}_e^c = \mathbf{P}\mathbf{G}_e^{-1}\mathbf{P}^T \quad (30)$$

359 where \mathbf{G}_e is the flexibility matrix for the closed crack and is defined as

360
$$\mathbf{G}_e = \begin{pmatrix} g_{11}^e & g_{12}^e & g_{13}^e \\ g_{21}^e & g_{22}^e & g_{23}^e \\ g_{31}^e & g_{32}^e & g_{33}^e \end{pmatrix} \quad (31)$$

361 with

362
$$g_{11}^e = \frac{l}{EA}, \quad g_{22}^e = \left(\frac{\kappa l}{GA} + \frac{l^3}{3EA}\right), \quad g_{33}^e = \frac{l}{EI},$$

363
$$g_{12}^e = g_{21}^e = g_{13}^e = g_{31}^e = 0, \quad g_{23}^e = g_{32}^e = -\frac{l^2}{2EI} \quad (32)$$

364

365 **3 Model validation**

366 The effectiveness of the proposed model is validated in this section. The validation is conducted in
 367 two situations. First, the model of material and geometric nonlinearities is verified similar to the
 368 approach in reference [43]. It compares the ratio of the relative nonlinear parameter β' between two
 369 different materials calculated using SFE and the analytical approach. After that, the conventional FE
 370 simulation is used to verify the contact nonlinearity generated due to the interaction between the
 371 guided wave and the breathing crack. The results of validations are presented in the following
 372 subsections.

373

374 **3.1 Validation of material and geometric nonlinearities**

375 The aluminium beams with the two different material properties, e.g., Al 6061-T6 and Al 7075-T651
 376 are considered, where the material properties [43] are shown in Table 1. The beams have the same
 377 geometric dimensions, where the length L , width b and height h of the beams are 1 m, 12 mm and 5
 378 mm, respectively. The schematic diagram of the SFE model is indicated in Figure 2(a). The excitation

379 signal is an $f_0 = 100$ kHz, narrow-band, 16-cycle sinusoidal tone burst modulated by a Hanning
 380 window. The S_0 guided wave is excited by applying the in-plane displacement at the left end of the
 381 beam, in which the maximal amplitude of the input displacement is 1×10^{-6} m. The displacement
 382 response is calculated by the SFE simulation at $L_m = 0.5$ m and the FFT is then employed to determine
 383 the spectral amplitude of the first harmonic (A_1) and the second harmonic (A_2) generated by both
 384 material and geometric nonlinearities.

385
 386 *[Table 1. Material properties of Al-6061-T6 and Al-7075-T651] [43]*

387
 388 *[Figure 2. Schematic diagram of the SFE beam with (a) material and geometric nonlinearities; and*
 389 *(b) material, geometric and contact nonlinearities]*

390
 391 The spectral amplitudes of the SHG A_2 with propagation distance for Al 6061-T6 and Al 7075-
 392 T651 are calculated in Figure 3. It shows that the magnitude of the SHG increases until it reaches the
 393 maximum cumulated propagation distance (504 mm for Al 6061-T6), after which it decreases due to
 394 dissatisfaction of the cumulative condition, i.e., the non-synchronization of the phase velocity
 395 between the harmonics at $f_0 = 100$ kHz and $2f_0 = 200$ kHz. It also shows that the spectral amplitude of
 396 the SHG A_2 does not increase linearly. In order to use Equation (18) to determine the relative
 397 nonlinearity parameter β' effectively, the linear regression analysis is utilized to determine the
 398 maximum linear cumulative propagation distance, where the coefficient of determination R^2 is set
 399 larger than 0.99 in the analysis [43]. Based on the analysis, the maximum linear cumulative
 400 propagation distance is calculated (376 mm for Al 6061-T6) and within this distance the higher
 401 harmonics are considered 'cumulated'.

402
 403 *[Figure 3. Spectral amplitude of second harmonic against propagation distance for Al 6061-T6 and*
 404 *Al 7075-T651]*

405
 406 The calculated relative nonlinear parameter β' with propagation distance x and the
 407 corresponding linear regression are shown in Figure 4. k is the slope of the line, which is proportional
 408 to the nonlinear parameter β' . As shown in Figure 4, the slope k for the material Al 6061-T6 is larger
 409 than that of Al 7075-T651. Also, it is shown that the nonlinear parameter β' increases linearly, which
 410 indicates that the SHG of S_0 guided wave is cumulated in this propagation distance. Hence, the ratio
 411 of the nonlinear parameter of Al 6061-T6 to Al 7075-T651 is
 412 $\beta_{Al6061} / \beta_{Al7075} = k_{Al6061} / k_{Al7075} = 0.0298 / 0.0267 = 1.116$, which is closed to the analytical result, i.e.,

413 1.12, calculated using Equation (17). This shows that the SFE simulation is able to take into account
414 the material and geometric nonlinearities in the guided wave simulations.

415

416 *[Figure 4. The relative nonlinear parameter β' calculated from the measured displacement against*
417 *the wave propagation distance for the S_0 incident guided wave at 100 kHz]*

418

419 3.2 Validation of contact nonlinearity

420 This subsection validates the contact nonlinearity of the SFE simulation by comparing the SFE results
421 with those calculated by conventional 3D FE simulations. The material of the beam is Al 6061-T6
422 and the properties are shown in Table 1. The length L , width b and height h of the beam are 1 m, 6
423 mm and 12 mm, respectively. The crack is located at $L_c = 0.5$ m and the crack depth is $d_c = 3$ mm. The
424 excitation signal is an $f_0 = 50$ kHz, narrow-band, 5-cycle sinusoidal tone burst modulated by a
425 Hanning window [**Error! Reference source not found.**]. The S_0 guided wave is generated by
426 applying the in-plane displacement at the left end of the beam. The displacement response is
427 calculated at the same location as the excitation location. The simulation duration is long enough to
428 cover the incident S_0 guided wave propagates from the excitation location to the right end of the beam,
429 and then reflects back to the left end of the beam (the excitation and measurement location). 100 SFE
430 beam elements are used to model the beam to ensure the convergence of simulation and each of SFE
431 beam element has eight GLL nodes. The damping coefficient η is assumed to be 200 s^{-1} . The time
432 step of the simulation is chosen at 2.5×10^{-8} s.

433 The conventional 3D FE simulations are carried out using the commercial FE software,
434 ABAQUS v6.12-1, to simulate the wave propagating in the cracked beam. The eight-noded 3D solid
435 brick elements (C3D8I) with the incompatible mode are used to model the cracked beam. The option
436 of second-order accuracy is enabled in the incompatible mode in the simulations. The mesh size of
437 the elements is $0.4\text{mm} \times 0.4\text{mm} \times 0.4\text{mm}$ to ascertain the stability of the FE simulations. The crack is
438 modeled by duplicating the nodes at the crack interfaces [59, 60]. The contact nonlinearity due to the
439 breathing phenomenon is simulated by assigning the ‘frictionless hard contact’ property to the crack
440 interfaces. The S_0 guided wave is excited by applying the in-plane nodal displacement at the vertical
441 surface of the left beam end. The excitation signal is the same as the SFE simulations. The explicit
442 solver, ABAQUS/Explicit, is used to solve the dynamic problem. The time step is automatically
443 controlled by ABAQUS/Explicit in the simulations.

444 The simulation results are shown in Figure 5. Figure 5(a) shows the response displacement in
445 time-domain, which is normalized to the peak amplitude of the incident wave. There is good
446 agreement between the results of the SFE and FE simulations. Figure 5(b) shows the FFT of the
447 displacement response. The figure shows that the results of the SFE and FE have the same spectral

448 amplitude of the second harmonic at $2f_0 = 100$ kHz. This demonstrates the accuracy of the proposed
 449 SFE method in simulating the nonlinear guided wave induced by the contact nonlinearity at the
 450 breathing crack.

451

452 *[Figure 5. Comparison of SFE and FE simulated results in (a) time-domain; (b) frequency-domain]*

453

454 3.3 Three-dimensional finite element validation

455 A material subroutine developed in ABAQUS/Explicit is used to ensure the accuracy of the proposed
 456 time-domain SFE model. The subroutine applies Murnaghan's energy function [61] in order to model
 457 the S_0 guided wave in Aluminium beams. The material nonlinearity in ABAQUS VUMAT is
 458 formulated by a set of constitutive equation. The deformation gradient \mathbf{F} links the reference
 459 configuration \mathbf{X} (material) to the current configuration \mathbf{x} (spatial) and is written as [62]

$$460 \quad \mathbf{F} = \frac{\partial \mathbf{x}}{\partial \mathbf{X}} \quad (33)$$

461 The Green-Lagrange strain tensor is employed in this study and is defined as

$$462 \quad \mathbf{E} = \frac{1}{2}(\mathbf{C} - \mathbf{I}) \quad (34)$$

463 where \mathbf{C} is the right Cauchy-Green deformation tensor and \mathbf{I} is the identity tensor. \mathbf{C} is related to the
 464 deformation gradient \mathbf{F}

$$465 \quad \mathbf{C} = \mathbf{F}^T \mathbf{F} = \mathbf{U}^2 \quad (35)$$

466 where \mathbf{U} is the right stretch tensor.

467 The nonlinear strain energy function of Murnaghan is given as

$$468 \quad W(\mathbf{E}) = \frac{1}{2}(\lambda + 2\mu)i_1^2 - 2\mu i_2 + \frac{1}{3}(B + C)i_1^2 - 2C i_1 i_2 + A i_3 \quad (36)$$

469 where λ and μ are the Lamé elastic constants; A , B and C are the third order elastic constants. $i_1 = \text{tr}\mathbf{E}$,
 470 $i_2 = \frac{1}{2}[i_1^2 - \text{tr}(\mathbf{E}^2)]$, $i_3 = \det\mathbf{E}$, respectively.

471 User material subroutine VUMAT in ABAQUS/Explicit can define the mechanical
 472 constitutive behaviour for material nonlinearity in modeling guided wave. The Green-Naghdi rate of
 473 the Cauchy stress tensor is utilized in VUMAT.

$$474 \quad \hat{\boldsymbol{\sigma}} = \mathbf{R}^T \boldsymbol{\sigma} \mathbf{R} \quad (37)$$

475 where \mathbf{R} is rotation tensor, and \mathbf{R} is a proper orthogonal tensor, i.e., $\mathbf{R}^{-1} = \mathbf{R}^T$. The terms \mathbf{F} , \mathbf{U} and \mathbf{R}
 476 are related as follow

$$477 \quad \mathbf{F} = \mathbf{R}\mathbf{U} \quad (38)$$

478 The relationship of the second Piola-Kirchhoff (PK2) stress \mathbf{T} and the strain energy function in
 479 Equation (36) is given by

$$480 \quad \mathbf{T} = \frac{\partial W(\mathbf{E})}{\partial \mathbf{E}} \quad (39)$$

481 The Cauchy stress and the PK2 stress are interrelated and written as

$$482 \quad \hat{\boldsymbol{\sigma}} = \mathbf{J}_1^{-1} \mathbf{F} \mathbf{T} \mathbf{F}^T = \mathbf{J}_1^{-1} \mathbf{F} \frac{\partial W(\mathbf{E})}{\partial \mathbf{E}} \mathbf{F}^T \quad (40)$$

483 where $\mathbf{J}_1 = \det(\mathbf{F})$.

484 By substituting Equations (38)-(40) into Equation (37)

$$485 \quad \hat{\boldsymbol{\sigma}} = \mathbf{J}_1^{-1} \mathbf{R}^T \mathbf{F} \mathbf{T} \mathbf{F}^T \mathbf{R} = \mathbf{J}_1^{-1} \mathbf{R}^T \mathbf{R} \mathbf{U} \mathbf{U}^T \mathbf{R}^T \mathbf{R} = \mathbf{J}_1^{-1} \mathbf{U} \frac{\partial W(\mathbf{E})}{\partial \mathbf{E}} \mathbf{U}^T \quad (41)$$

486 Depending on the values of \mathbf{F} and \mathbf{U} provided in the user subroutine at the end of previous
 487 time step (t), the updated stress equation at the end of an integration step ($t + \Delta t$) is stored in
 488 stressNew(i).

489

490 **3.4 Comparison of the proposed SFE model and FE model using subroutine**

491 The material properties of 6061-T6 aluminium beam are used for both the SFE and FE models. The
 492 length L , width b and height h of the beam are 1m, 5mm and 12mm, respectively. A 3D eight-node
 493 linear brick with reduced integration (C3D8R) are used to model the beam. The dimension of an
 494 element is $0.4 \times 0.4 \times 0.4 \text{mm}^3$. The excitation signal is a $f_o = 100 \text{kHz}$, narrow-band, 12 cycles
 495 sinusoidal tone burst modulated by a Hanning window. The excitation signal of the S_o guided wave
 496 is applied to the in-plane nodal displacement on the vertical surface of the left end beam end.

497 For the SFE model, 8 GLL nodes with 0.01m long in each SFE element are used. The time
 498 step is selected at $5 \times 10^{-8} \text{s}$ to ensure the simulation to be converged. The S_o guided wave is loaded by
 499 applying the in-plane displacement at the left end of the beam. The excitation signal is the same as
 500 the FE model with VUMAT subroutine. The displacement response for both of the SFE simulation
 501 and the FE model are measured at $L_m = 0.5 \text{m}$.

502

503

504 *[Figure 6 Comparison of SFE and FE simulations for linear and nonlinear perspective in terms of*
 505 *(a) time-domain; (b) frequency domain, and (c) the triggered signal in frequency domain]*

506

507 The solid lines represent the signal in nonlinear response while the dashed lines refer to linear
 508 response. The SFE signals are shown in red color and the FE signals are labeled in blue color. The
 509 amplitude of each time-domain displacement response in Figure 6(a) is normalized. Figure 6(b)
 510 shows the corresponding signals in frequency domain. It is shown that the linear signals of both the
 511 SFE and FE models does not carry any second harmonic information. After implementing material

512 nonlinearity, both the SFE and FE simulations generate second harmonic at $2f_o = 200\text{kHz}$ with
513 similar second harmonic peak.

514

515 **4 Numerical case studies and discussions**

516 A series of numerical case studies are carried out in this section to investigate the performance of the
517 proposed SFE model. The performance of the SFE model in simulating the material and geometric
518 nonlinearities is studied first, which investigates the influences of different numbers of cycles and
519 amplitudes of the excitation signal on the SHG in Section 4.1. After that, the contribution of the
520 material and geometric nonlinearities, and the contact nonlinearity to the SHG is studied in Section
521 4.2. The beam with length $L= 1$ m, depth $d=5$ mm and width $b=12$ mm is simulated in this study and
522 the material is assumed to be Al 6061-T6 and the material properties are shown in Table 1.

523

524 **4.1 Second harmonic generation (SHG) due to material and geometric nonlinearities**

525 In this part, the influence of the geometric and material nonlinearities on the SHG is studied in Section
526 4.1.1. The influences of different numbers of cycles and the amplitudes of excitation signal on the
527 SHG due to geometric and material nonlinearities are studied in Sections 4.1.2 and 4.1.3, respectively.

528

529 *4.1.1 Influence of material and geometric nonlinearities*

530 This section studies the influence of the material and geometric nonlinearities on the SHG. The
531 excitation signal is a 100 kHz, narrow-band, 16-cycle sinusoidal tone burst modulated by a Hanning
532 window. The S_0 guided wave is generated by applying the in-plane displacement with the maximum
533 amplitude of 1×10^{-6} m to the left end of the beam, and the displacement response is measured at $L_m =$
534 0.5 m. Based on Equation (12), the first term on the right hand side of the equation accounts for the
535 material nonlinearity and the other three terms address the geometric nonlinearity. This section
536 considers three different situations: 1) linear, 2) only geometrically nonlinear, and 3) nonlinear with
537 both material and geometric nonlinearities. In the linear situation, the term Q_{ijklmn}^{NL} in Equation (10) is
538 not considered in the simulation. For the geometrically nonlinear situation, the first term of right hand
539 side of Equation (12), i.e. Q_{ijklmn}^L , is neglected. For the nonlinear situation that considers both material
540 and geometric nonlinearities, all the terms at the right hand side of Equation (12) are considered in
541 the simulations. The calculated time-domain displacement responses of these three situations are
542 shown in Figure 7.

543

544 *[Figure 7. The calculated time-domain displacement response at $L_m = 0.5$ m for linear situation,*
545 *and situations consider only geometric nonlinearity, and both material and geometric*
546 *nonlinearities in the SFE simulation]*

547

548 From the time-domain signal shown in Figure 7, the difference between the nonlinear
549 situations and the linear situation is hardly distinguished. Figure 8 shows the FFT of the calculated
550 displacement responses at $L_m = 0.5$ m for the three aforementioned situations. Compared with the
551 time-domain signal, the SHG in the nonlinear situation (with geometric and material nonlinearities)
552 is clearly observed. Furthermore, the results show that the spectral amplitude of the SHG for the
553 situation considering both material and geometric nonlinearities is about 10 times greater than that of
554 the situation considering only the geometric nonlinearity. This demonstrates that the SHG is mainly
555 due to the material nonlinearity.

556

557 *[Figure 8. FFT of the calculated displacement responses at $L_m = 0.5$ m for linear situation, and*
558 *situations consider only geometric nonlinearity, and both material and geometric nonlinearities in*
559 *the SFE simulation]*

560

561 *4.1.2 Influence of the numbers of cycles of the excitation signal*

562 The influence of the numbers of cycles of the excitation signal on the SHG due to material and
563 geometric nonlinearities is studied in this section. The excitation signals with 8, 12, 16 and 20 cycles
564 are considered in this study. The signal is a 100 kHz narrow-band sinusoidal tone burst modulated by
565 a Hanning window. The S_0 guided wave is excited by applying the in-plane displacement with the
566 maximal amplitude 1×10^{-6} m at the left end of the beam. The displacement responses are measured
567 at $L_m = 0.5$ m. The FFT of the displacement responses for the cases considering different numbers of
568 cycles of the excitation signals are shown in Figure 9. The results show that the bandwidth of the
569 fundamental and second harmonic become wider for excitation signal with less numbers of cycles
570 and the amplitude of the SHG increases with the number of cycles.

571

572 *[Figure 9. FFT of the calculated displacement responses at $L_m = 0.5$ m for different excitation*
573 *cycles]*

574

575 Figure 10 shows the SHG amplitude versus the fundamental amplitude for different numbers
576 of cycles of the excitation signal. Analytically, because the relative nonlinear parameter β' is a
577 constant within the cumulated wave propagation distance x as shown in Equation (19), the ratio of
578 the SHG amplitude to the square of the fundamental harmonic is also a constant from Equation (18).

579 Therefore, the result is a straight line in Figure 10. There are good agreements between the analytical
580 results and the SFE simulated results for different numbers of cycles. Overall, it is found that the
581 magnitude of the SHG induced due to the material and geometric nonlinearities increases with the
582 numbers of cycles of the excitation signal.

583

584 *[Figure 10. The second harmonic amplitude versus the fundamental amplitude for varying number*
585 *of cycles of the excitation signal (solid line: analytical results; markers: SFE simulation results)]*

586

587 4.1.3 Influence of the amplitude of the excitation signal

588 The influence of the amplitude of the excitation signal on the SHG due to geometric and material
589 nonlinearities is studied in this section. The excitation signal applied at the left end of the beam is a
590 100 kHz narrow-band 16-cycle sinusoidal tone burst modulated by a Hanning window. Eight different
591 amplitudes of the excitation signal are considered and magnitude increases from 1×10^{-6} m to 8×10^{-6}
592 m with the step of 1×10^{-6} m. The displacement response is calculated at $L_m = 0.5$ m and the measured
593 time duration is the same as that in Subsection 4.1.2. The amplitudes of the fundamental harmonic
594 and SHG are extracted from the FFT of displacement responses. Figure 11 shows the SHG amplitude
595 versus the fundamental amplitude for different excitation amplitudes, in which the asterisks denote
596 the numerical results. The results in Figure 11 show that there is good agreement between results of
597 SFE and the analytical results obtained from Equation(18). It is found that the SHG amplitude
598 increases with the excitation amplitude.

599

600 *[Figure 11. The second harmonic amplitude versus the fundamental amplitude for varying*
601 *excitation amplitude (solid line: analytical results; markers: SFE simulation results)]*

602

603 4.2 Contribution of material and geometric nonlinearities, and contact nonlinearity in second 604 harmonic generation (SHG)

605 The contribution of the material and geometric nonlinearities, and contact nonlinearity in the SHG is
606 studied in this subsection. The excitation signal is a 100 kHz, narrow-band, 5-cycle sinusoidal tone
607 burst modulated by a Hanning window. The S_0 guided wave is excited by applying the in-plane
608 displacement with the maximum amplitude of 1×10^{-6} m to the left end of the beam, and the
609 displacement response is measured at $L_m = 0$ m. The breathing crack is located at $L_c = 0.5$ m. Because
610 the crack location $L_c < 0.504$ m, it allows a simulation of the cumulated SHG from material and
611 geometric nonlinearities as discussed in Section 3.1.

612 Two situations are considered: 1) only contact nonlinearity and 2) both material and geometric
613 nonlinearities, and contact nonlinearity in the SFE simulation. The spectral amplitudes of the SHG as

614 a function of normalized crack depth (d_c/h) are investigated for both situations. The short-time Fourier
615 transformed (STFT) is used to obtain the spectral amplitude of the SHG induced by the crack. Figure
616 12(a) shows an example of the spectrogram obtained from STFT, and the corresponding time-domain
617 displacement is shown in Figure 12(b). The data is obtained from a beam model with a crack having
618 $d_c=2.5$ mm. It should be noted that the amplitude of second harmonic guided wave reflected from the
619 breathing crack is labelled as A_2 in Figure 12(a), which is normalized by amplitude of the fundamental
620 harmonic A_1 of the incident guided wave.

621

622 *[Figure 12. (a) Spectrogram obtained by STFT and (b) the corresponding time-domain*
623 *displacement response for a beam model with a crack having $d_c = 2.5$ mm and $L_c = 0.5$ m]*

624

625 Figure 13 shows the ratio of the SHG amplitude of the wave reflected from the crack (A_2) to
626 the fundamental harmonic amplitude of the incident wave (A_1) as a function of normalized crack
627 depth to beam depth ratio (d_c/h). The results show that the amplitudes of the SHG calculated by the
628 SFE model with the effect of both material and geometric nonlinearities, and contact nonlinearity are
629 in general greater than the results calculated by the SFE model considering only the contact
630 nonlinearity. In the case when the crack size is small, the SHG amplitude is mainly contributed by
631 the contact nonlinearity. This can be explained by the fact that the amplitude of the wave reflected
632 from the small size of the crack is small. Figure 11 shows that the contribution to the SHG amplitude
633 by the material nonlinearity is linear proportional to the wave amplitude. As the amplitude of the
634 reflected wave is small, the material nonlinearity has only a very limited contribution to the SHG. In
635 the case when the crack size is large, although the contribution of the material nonlinearity to the
636 SHG is larger (because the amplitude of the reflected wave is larger), the SHG amplitude is still
637 mainly contributed by the contact nonlinearity. The largest difference of the ratio of the SHG
638 amplitude of the wave reflected from the crack to the fundamental harmonic amplitude of the incident
639 wave is less than 3%.

640

641 *[Figure 13. Normalized second harmonic amplitude of the displacement responses as a function of*
642 *normalized crack depth (d_c/h)]*

643

644 **4.3 Determination of breathing crack location using second harmonic generation (SHG)**

645 This section demonstrates the use of the SHG to determine the location of the breathing crack in the
646 beam. In the numerical case studies, the SHG due to the effect of material and geometric
647 nonlinearities, and contact nonlinearity are considered to simulate a practical situation. Since the
648 proposed method relies on the SHG, which can be extracted at the second harmonic frequency, the

649 proposed method can determine the existence and the location of the crack without using the reference
650 data. This means that it is feasible to be used as a reference-free damage detection method.

651 When the incident wave is excited, it propagates from the actuator to the breathing crack. The
652 SHG from the contact nonlinearity is generated when incident wave interacts with the breathing crack.
653 The generated second harmonic wave then propagates and then reaches the sensor. First of all, the
654 appearance of the second harmonic wave in the time-frequency spectrum (spectrogram) can indicate
655 the existence of the breathing crack. The arrival time and the group velocity of the second harmonic
656 wave can be determined at the second harmonic frequency from the spectrogram and from the
657 material properties of the beam, respectively. Thus, the crack location (L_c) of the crack can be
658 calculated by

$$659 \quad L_c = \left[\frac{c_g(f_c)c_g(2f_c)}{c_g(f_c) + c_g(2f_c)} \right] \Delta t \quad (42)$$

660 where $c_g(f_c)$ and $c_g(2f_c)$ are the group velocity of the incident and reflected guided wave at the
661 excitation frequency (f_c) and second harmonic frequency ($2f_c$), respectively. $\Delta t = t_{2f_c} - t_{f_c}$ is the
662 time difference between the arrival time of incident wave at the excitation frequency (t_{f_c}) and the
663 arrival time of the scattered wave at second harmonic frequency t_{2f_c} . Using Figure 12 as an example,
664 t_{f_c} and t_{2f_c} are the arrival time of the peak amplitude for the incident wave at the excitation frequency
665 A_1 and scattered wave from the breathing crack at the second harmonic frequency A_2 , respectively.

666 A beam made by Al 6061-T6 with length $L=1$ m, depth $d=5$ mm and width $b=12$ mm is
667 considered in this section. The material properties of the beam are shown in Table 1. The S_0 guided
668 wave is used as the incident wave in the damage detection. The S_0 guided wave is excited at the left
669 end of the beam using the in-plane displacement with maximum amplitude of 1×10^{-6} m. The
670 excitation signal is a 100 kHz, narrow-band, 5-cycle sinusoidal tone burst modulated by a Hanning
671 window, which is the same as Section 4.2. The group velocity dispersion curve of the beam is shown
672 in Figure 14. The group velocity at the excitation frequency (100 kHz) and second harmonic
673 frequency (200 kHz) are $c_g(f_c) = 4848.5$ m/s and $c_g(2f_c) = 4585.1$ m/s, respectively. Since pulse-echo
674 approach is used to collect the guided wave for the damage detection purpose, the measurement
675 location is assumed to be the same as the excitation location, i.e. $L_m = 0$ m. To take into account the
676 effect of the measurement noise, it is assumed that the measured time-domain guided wave signals
677 contain 5% root mean square (RMS) white noise.

678

679 *[Figure 14. Group velocity dispersion curve for Al 6061-T6 beam]*

680

681 In the numerical case studies, there are three damage cases and they consider the beam having
682 a breathing crack at different locations and different crack depths. A summary of the damage cases is
683 shown in Table 2. Case 1 considers the breathing crack is located at $L_c = 0.8$ m and the normalized
684 crack depth ratio is 0.4. Case 2 considers the breathing crack with the same normalized crack depth
685 ratio but the crack is located at $L_c = 0.92$ m, which is closer to the beam end. Case 3 considers the
686 most challenging situation, in which the normalized crack depth ratio is 0.2. The crack is located very
687 close to the beam end at $L_c = 0.95$ m.

688 Figure 15 shows the measured signal in each damage case. For Case 1, the first wave package
689 is the incident S_0 guided wave. The second wave package is the scattered wave from the crack and
690 the last wave package is the reflected incident wave from the beam end. For Case 2, since the crack
691 is closer to the beam end, part of the scattered wave is mixed with the boundary reflection. For Case
692 3, the scattered wave is completely mixed with the reflection incident wave from the beam end.
693 Without the reference data, it is very difficult for the linear guided wave based damage detection
694 methods to detect the crack. Figure 16 shows the corresponding spectrogram of the measured signal
695 for each damage case, which provides the time-frequency information of the measured wave signal.
696 As shown in Figure 16, the second harmonic wave can be extracted at the second harmonic frequency.
697 In each damage case, the arrival time of the scattered wave at second harmonic frequency t_{2f_c} and the
698 arrival time of the incident wave at excitation frequency t_{f_c} can be determined from the spectrogram.
699 Therefore, Δt can be calculated and the crack location L_c can be estimated using Equation (42). The
700 predicted crack location and the percentage error are shown in the fourth and fifth column of Table
701 2. Overall, the all predicted crack locations are very close to the true crack location and the maximum
702 percentage error is 0.95%.

703

704 *[Figure 15. Measured time domain signal for a) Case 1, b) Case 2 and c) Case 3]*

705

706 *[Figure 16. Spectrogram of the measured signals for a) Case 1, b) Case 2 and c) Case 3]*

707

708 *[Table 2. Summary of damage cases]*

709

710 **5 Conclusions**

711 This study has proposed a time-domain SFE modeling of SHG of nonlinear guided wave in beam
712 structures, which takes into account material and geometric nonlinearities, and contact nonlinearity.
713 Specifically, the material and the geometric nonlinearities have been modeled by adapting the
714 constitutive relation between strain and stress using a second order approximation, and the contact

715 nonlinearity resulted from a breathing crack has been simulated by a bilinear SFE crack model. The
716 time-domain SFE simulations of the SHG due to material and geometric nonlinearities, and contact
717 nonlinearity have been validated using analytical results and 3D FE simulations, respectively. The
718 results show that the time-domain SFE method is able to provide an accurate prediction in the SHG.

719 A series of numerical case studies have been carried out to investigate the influence of the
720 material and geometric nonlinearities and contact nonlinearity on the SHG using the proposed SFE
721 model. The numerical case studies have considered the SHG due to the material and geometric
722 nonlinearities. The results have shown that the material nonlinearity in the contribution to the SHG
723 is much greater than geometric nonlinearity. In addition, the amplitude of the SHG increases with the
724 number of cycles and amplitude of the excitation signal. The numerical case studies have also
725 investigated the amplitude of the SHG at a breathing crack, in which the time-domain SFE model
726 takes into account both material and geometric nonlinearities and contact nonlinearity. The spectral
727 amplitude of the SHG has been studied as a function of the normalized crack depth. The results have
728 shown that the contribution of the material and geometric nonlinearities to the SHG is generally
729 smaller than the contact nonlinearity. It has also shown that as the crack size becomes smaller, the
730 SHG due to the material and geometric nonlinearities become smaller. In Figure 13, the material and
731 geometric nonlinearities contributed a very little effect on the SHG, and most of the response came
732 from contact nonlinearity. The material and geometric nonlinearities can be potentially ignored in the
733 practice of damage identification. In addition, a series of numerical case studies have been presented
734 to show that the second harmonic wave can be used to accurately determine the crack location without
735 using the reference data. Overall, the numerical case studies have gained insights into the SHG due
736 to the material and geometric nonlinearities and contact nonlinearity. The findings of this study could
737 further advance the development of damage detection using SHG of nonlinear guided wave.

738

739 **Acknowledgement**

740 This work was supported by the Australian Research Council (ARC) under Grant Numbers
741 DP160102233. The support is greatly appreciated.

742

743 **References**

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Tables

883

884

Table 1. Material properties of Al-6061-T6 and Al-7075-T651 [41]

Material	ρ (kg m ⁻³)	λ (GPa)	μ (GPa)	A (GPa)	B (GPa)	C (GPa)
Al-6061-T6	2704	67.6	25.9	-416	-131	-150.5
Al-7075-T651	2810	70.3	26.96	-351.2	-149.4	-102.8

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Table 2. Summary of damage cases

Case	Actual		Predicted	Percentage error
	Crack depth d_c (m)	Crack location L_c (m)	Crack location (m)	
Case 1	0.002	0.8	0.7924	0.95%
Case 2	0.002	0.92	0.9191	0.10%
Case 3	0.001	0.95	0.9426	0.78%

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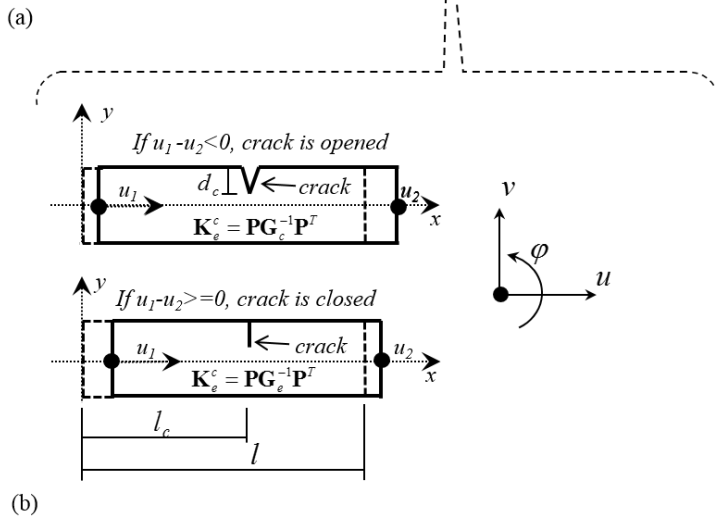
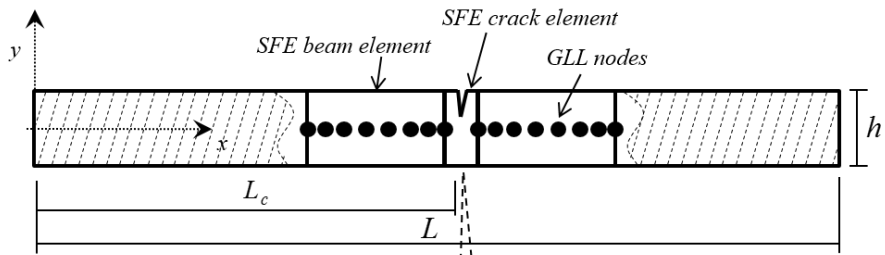
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Figures



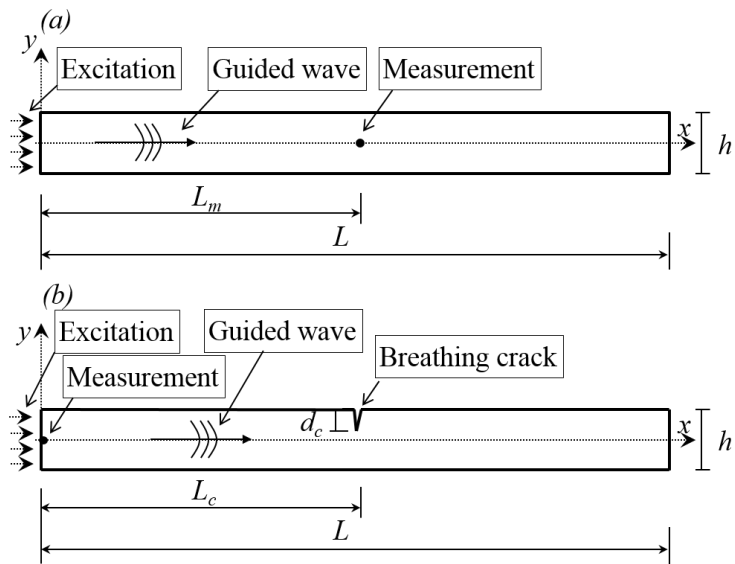
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895 Figure 1. Schematic diagram of a SFE model for simulating a cracked beam, (a) discretization of a

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cracked beam; (b) two-node SFE crack element when the crack is opened and closed

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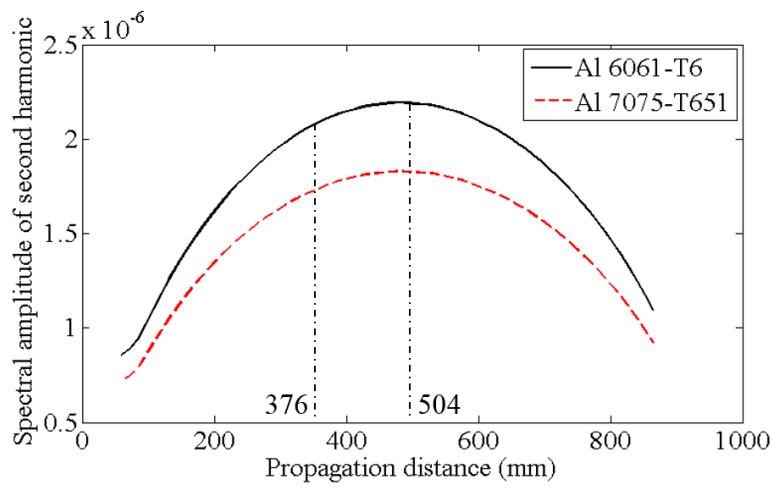
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899 Figure 2. Schematic diagram of the SFE beam with (a) material and geometric nonlinearities; and

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(b) material, geometric and contact nonlinearities

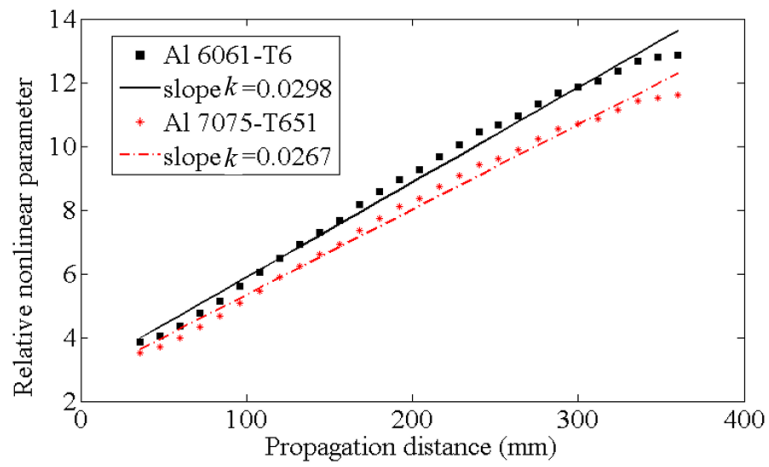
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903 Figure 3. Spectral amplitude of second harmonic against propagation distance for Al 6061-T6 and
904 Al 7075-T651

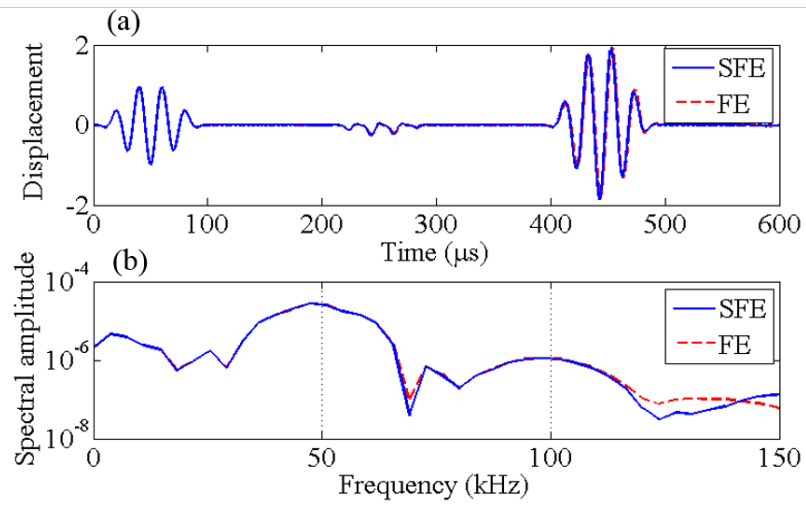
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907 Figure 4. The relative nonlinear parameter β' calculated from the measured displacement against
908 the wave propagation distance for the S_0 incident guided wave at 100 kHz

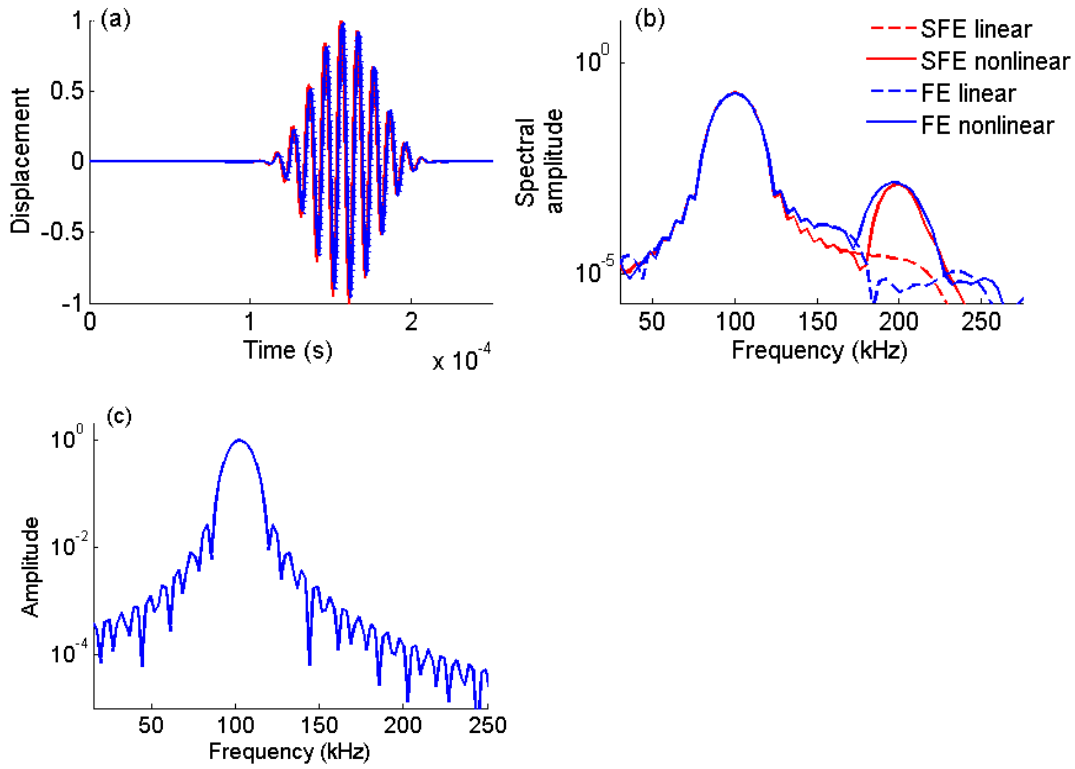
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911 Figure 5. Comparison of SFE and FE simulated results in (a) time-domain; (b) frequency domain

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915 Figure 6 Comparison of SFE and FE simulations for linear and nonlinear perspective in terms of (a)
 916 time-domain; (b) frequency domain, and (c) the triggered signal in frequency domain

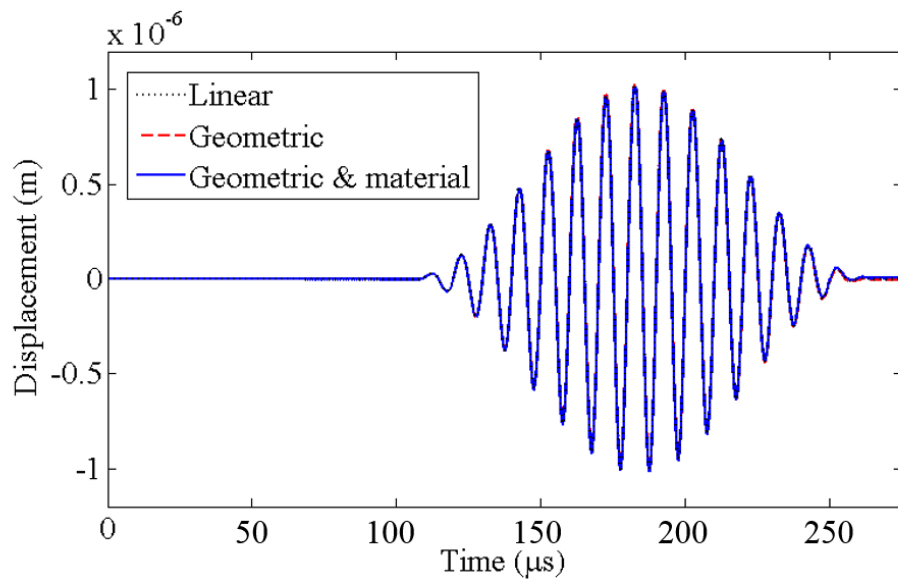
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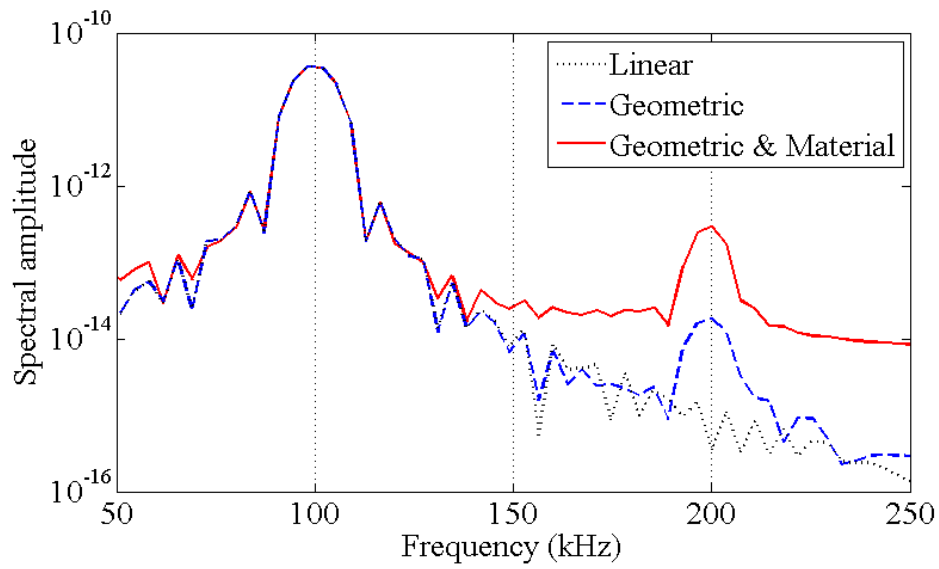
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923 Figure 7. The calculated time-domain displacement response at $L_m=0.5$ m for linear situation, and
924 situations consider only geometric nonlinearity, and both material and geometric nonlinearities in
925 the SFE simulation

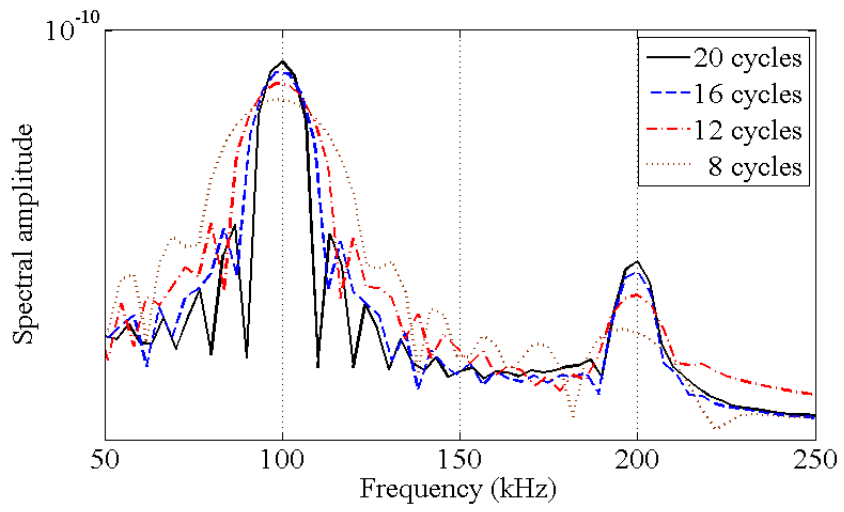
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928 Figure 8. FFT of the calculated displacement responses at $L_m=0.5$ m for linear situation, and
 929 situations consider only geometric nonlinearity, and both material and geometric nonlinearities in
 930 the SFE simulation

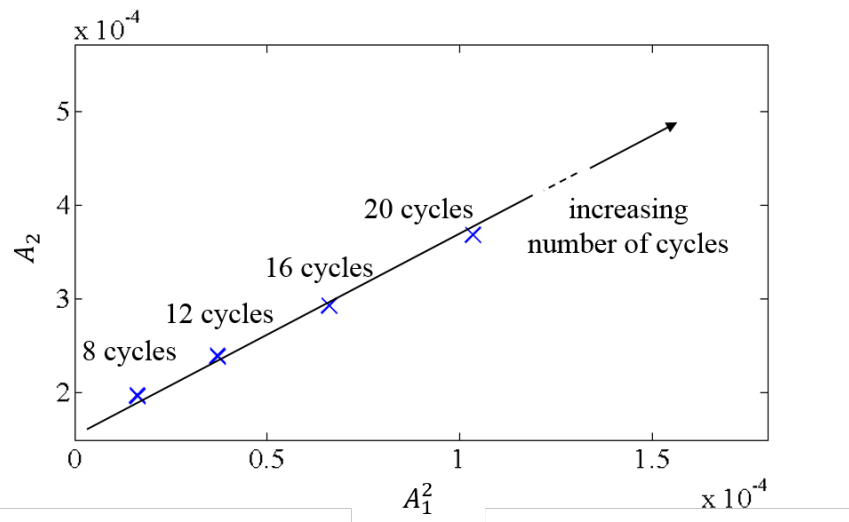
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933 Figure 9. FFT of the calculated displacement responses at $L_m = 0.5$ m for different excitation cycles

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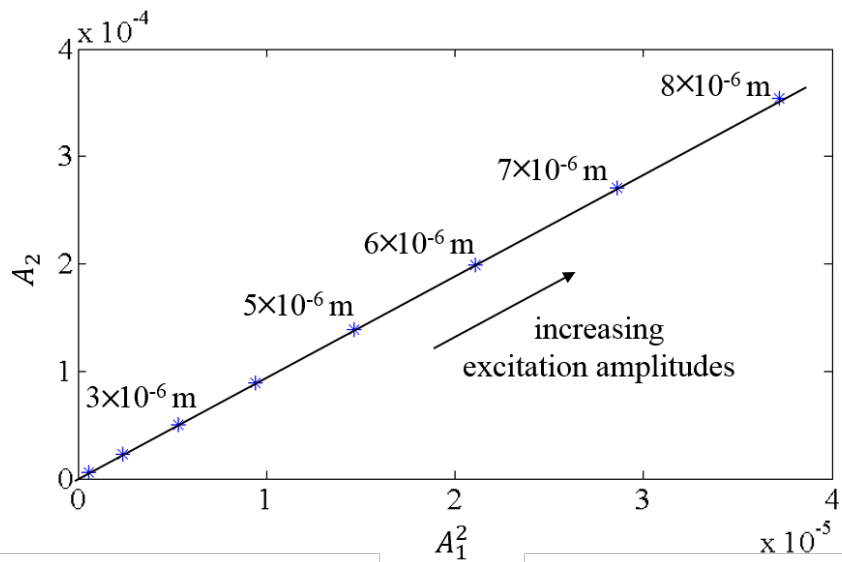


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936 Figure 10. The second harmonic amplitude versus the fundamental amplitude for varying number

937 of cycles of the excitation signal (solid line: analytical results; markers: SFE simulation results)

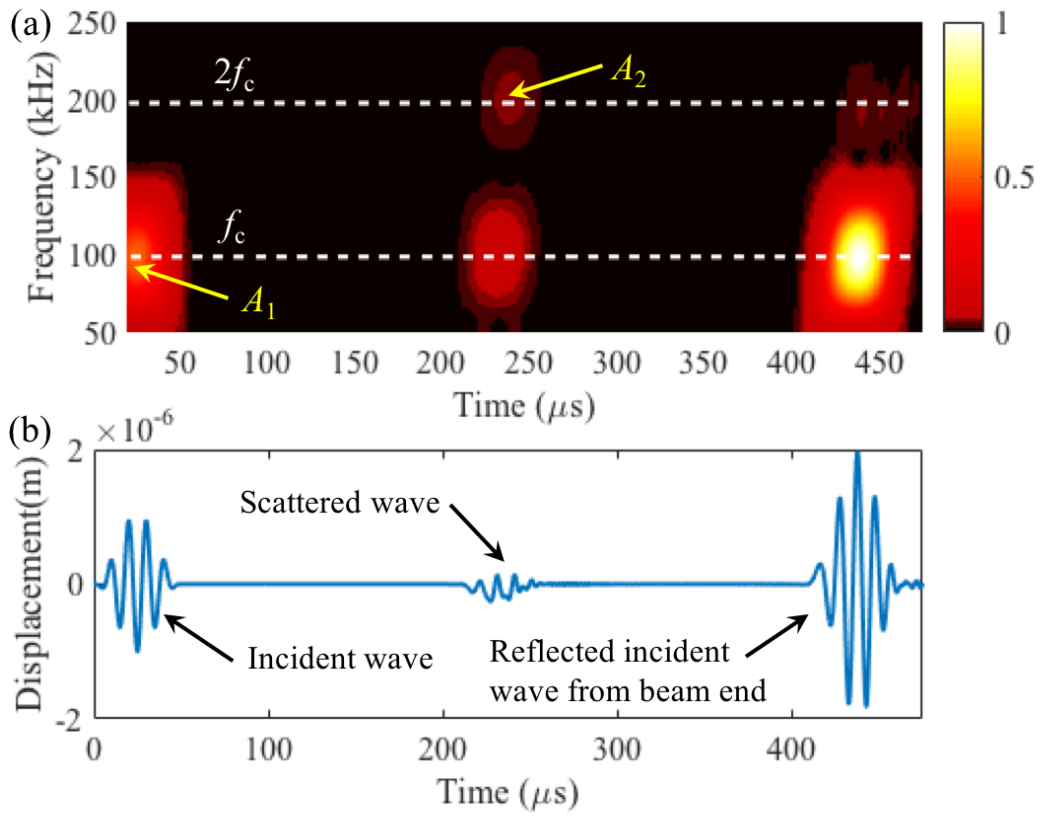
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940 Figure 11. The second harmonic amplitude versus the fundamental amplitude for varying excitation
 941 amplitude (solid line: analytical results; markers: SFE simulation results)

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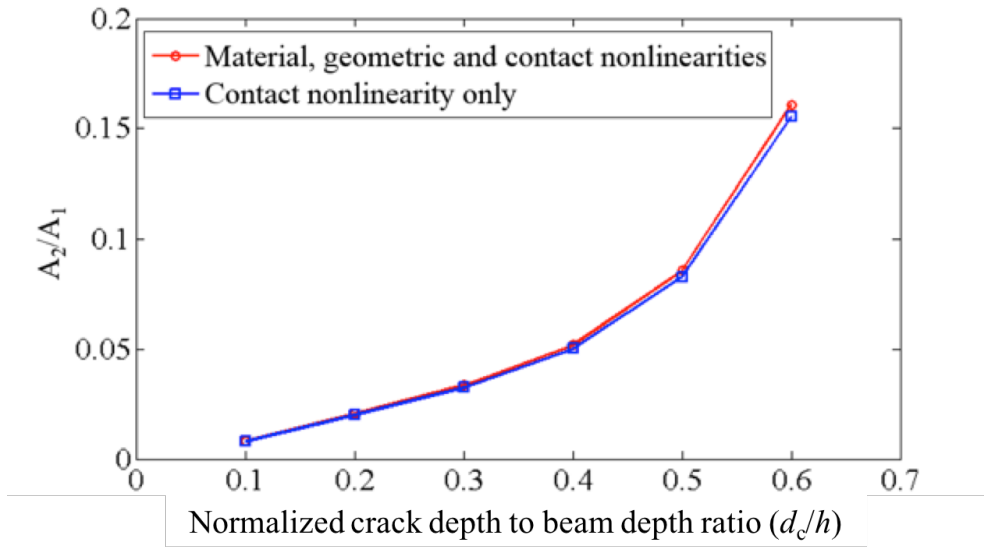
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944 Figure 12. (a) Spectrogram obtained by STFT and (b) the corresponding time domain displacement

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response for a beam model with a crack having $d_c=2.5$ mm and $L_c = 0.5$ m

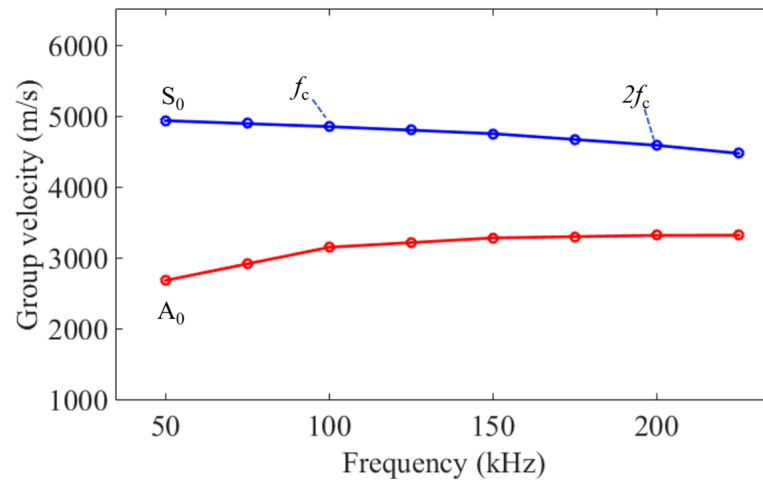
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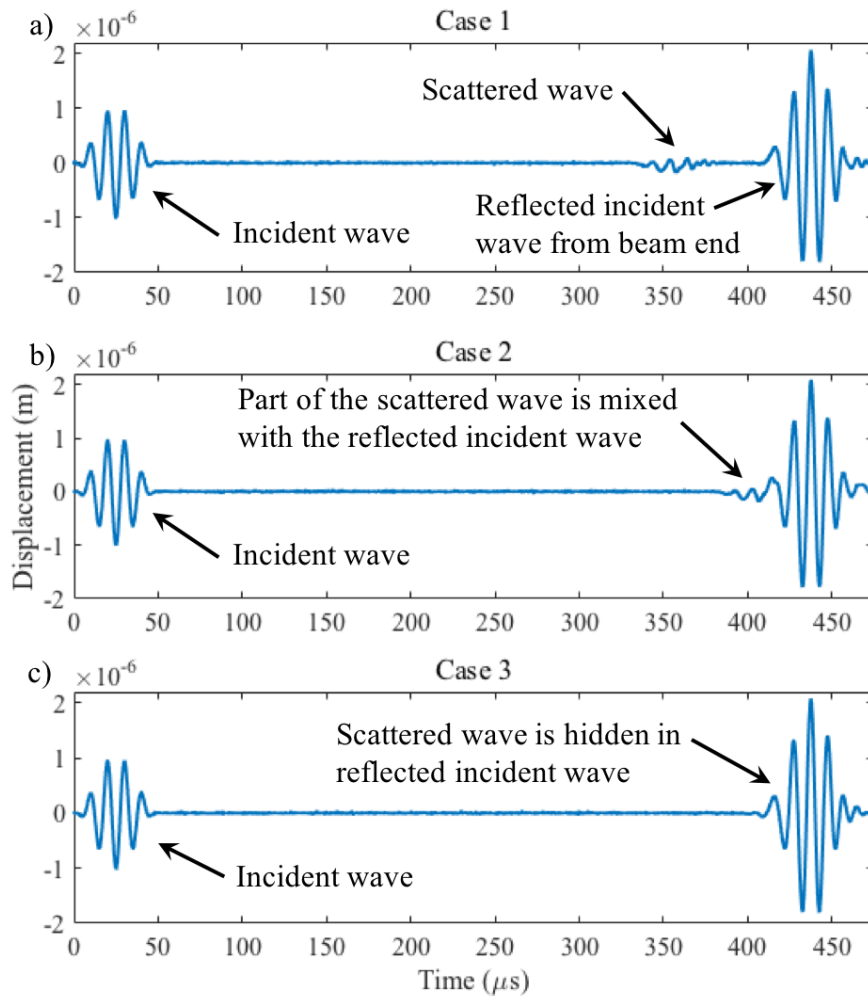
948 Figure 13. Normalized second harmonic amplitude of the displacement responses as a function of
 949 normalized crack to beam depth ratio (d_c/h)

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Figure 14. Group velocity dispersion curve for AI 6061-T6 beam



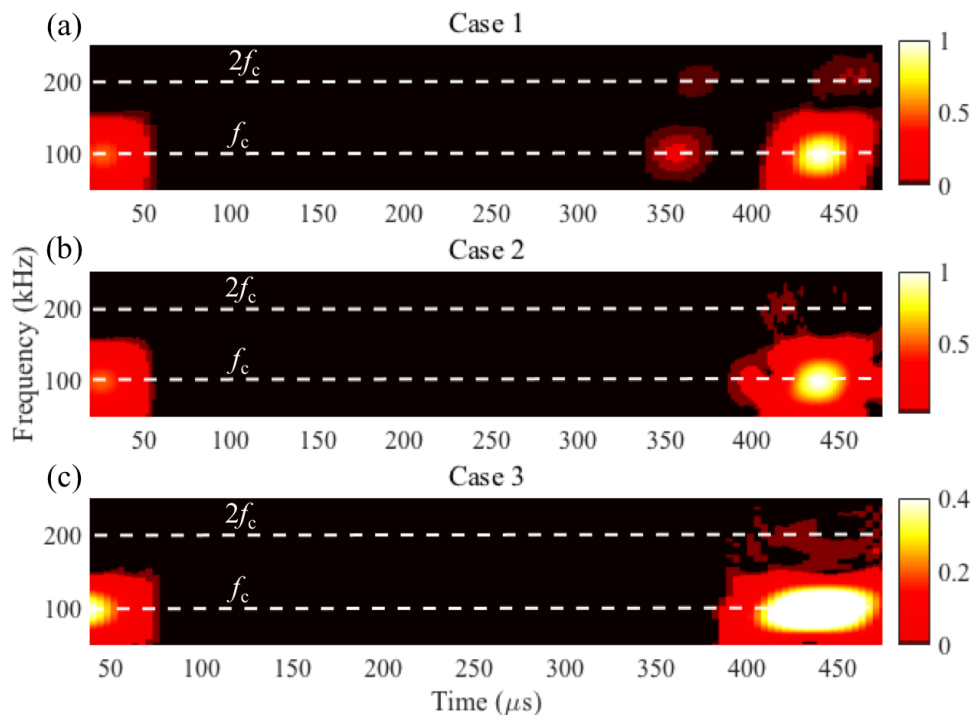
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Figure 15. Measured time domain signal for a) Case 1, b) Case 2 and c) Case 3



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Figure 16. Spectrogram of the measured signals for a) Case 1, b) Case 2 and c) Case 3