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1 **The effect of specimen size on autogenous and total shrinkage of ultra-high performance concrete**  
2 **(UHPC)**

3  
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12 **Keywords:** *Ultra-high performance concrete, Autogenous shrinkage, Water to binder ratio,*  
13 *Silica fume, Capillary tension, sample size dependency*

14 **Abstract:**

15 *Unlike normal strength concretes, in which drying is the dominant form of shrinkage, in*  
16 *concretes with very low water to cement ratios autogenous and chemical shrinkage*  
17 *mechanisms can dominate. While the impact of specimen size and shape on drying shrinkage*  
18 *is well understood, the same is not true for autogenous and chemical shrinkage, and this lack*  
19 *of understanding may limit model precision and accuracy. To address this issue, this paper*  
20 *presents the results of a series of experiments conducted to measure the dependency of*  
21 *shrinkage of UPHC on specimen size. Results, recorded from 2 days after water addition,*  
22 *demonstrate a strong specimen size dependency when tested under both sealed and unsealed*

23 *conditions, thereby indicating that the underlying mechanism is fundamentally different from*  
24 *normal strength concrete, with autogenous shrinkage exhibiting a large influence. Existing*  
25 *shrinkage models (AS3600, B4, CEB-FIP, GL2000 and ACI209) are evaluated for their*  
26 *potential calibration and/or extension to low water to binder ratio concretes and it is shown*  
27 *that commonly used parameters to account for size dependency in normal strength concrete*  
28 *(volume to surface area ratio and hypothetical thickness) do not capture size dependency in*  
29 *UHPC.*

## 30 **1. Introduction**

31 Concretes with low water to binder ( $w/b$ ) ratios, such as ultra-high performance concrete  
32 (UHPC) have been observed in some instances to exhibit larger shrinkage strains than normal  
33 strength concrete [1-6]. It has also been observed that unlike normal strength concrete in which  
34 drying is the dominant shrinkage mechanism, in UHPC, plastic and autogenous shrinkage may  
35 dominate [6-8].

36 The differing relative contributions of drying and autogenous shrinkage means that the  
37 applicability of existing shrinkage models, regardless of their complexity, requires  
38 investigation to ensure they are applicable or have the potential to be extended to low  $w/b$  ratio  
39 concretes [9]. This is necessary because existing models have, in general, been proposed and  
40 calibrated based on large datasets of normal strength concrete, and as a result they may over  
41 predict the influence of drying shrinkage [10, 11], under predict the influence of chemical and  
42 autogenous shrinkage [10, 12], underestimate the time over which shrinkage strains develop  
43 [10-12], and importantly for this work, attribute shrinkage size effect solely to the mechanism  
44 of drying [13-17]. It is therefore essential that the role of specimen size be evaluated for UHPC  
45 so that it can be adequately incorporated into future models.

46 Past research aimed at quantifying the mechanisms of shrinkage in normal strength concrete  
47 have identified surface free energy, capillary tension, movement of interlayer water, and  
48 disjoining pressure as the fundamental mechanisms for moisture transport and therefore drying  
49 shrinkage [9, 18, 19]. That is, drying of specimens occurs as a result of moisture transport as  
50 water diffuses out of pores and into the external environment [9]. This drying process creates  
51 an internal moisture gradient which further forces moisture transport because the coefficient of  
52 diffusion is also dependant on the moisture content [20].

53 The effect of specimen size on drying shrinkage of normal strength concrete has been observed  
54 across multiple studies. Hansen and Mattock [21] experimentally measured drying shrinkage  
55 of cylindrical and I-shaped cross section specimens of different sizes and found samples with  
56 larger volume to surface ratios ( $v/s$ ) had smaller shrinkage strains and a slower rate of shrinkage.  
57 Al-Saleh and Al-Zaid [22] found that the specimen size dependency was more prominent under  
58 low environmental relative humidity, owing to the higher moisture gradients. Al-Saleh and Al-  
59 Zaid [22] and Almudaiheem and Hansen [23] also found that smaller samples had higher  
60 shrinkage rates, but sample size had no effect on the extrapolated final shrinkage magnitude.

61 The size effect associated with drying shrinkage can also affect the spatial variation of  
62 shrinkage within concrete specimens due to non-uniform moisture transport. For example,  
63 Campbell-Allen and Rogers [24] found that smaller specimens displayed less differential  
64 drying shrinkage and smaller final shrinkage magnitudes than in larger samples of normal  
65 strength concretes. Kim and Lee [25] reported that the internal drying shrinkage at different  
66 depths from the drying surface had significant variations and the shrinkage stresses induced by  
67 this variation may cause cracking, especially so in thick concrete structures. Zhang and Hubler  
68 [19] found the maximum thickness and the total area of cracks increase with specimen size.

69 With the advent of modern low water content concretes in the 1900s, autogenous shrinkage  
70 began to draw greater attention [2]. In previous research on normal and high strength concrete,  
71 the effect of specimen size on autogenous shrinkage (observed in sealed environmental  
72 conditions) is not as apparent as that associated with drying under exposure to the environment.  
73 Han and Han [26] observed a slight increase in autogenous shrinkage with specimen size in  
74 their study on high strength concrete, but dismissed this observation as potential measurement  
75 error and concluded there was no size effect on autogenous shrinkage of high strength concrete.  
76 However, Tazawa and Miyazawa [8] conversely found that that the effect of specimen size on  
77 autogenous shrinkage may not be negligible, with the underlying mechanisms being  
78 discontinuous capillary water.

79 Despite the very low  $w/b$  ratios and the dominance of autogenous shrinkage in UHPC, existing  
80 studies have not yet considered the impact that sample size may have upon on either autogenous  
81 or total shrinkage. Although across various studies shrinkage data have been obtained from  
82 samples with different sizes and shapes, it is difficult to directly compare results because of the  
83 wide variation in UHPC binders and mix designs. Also of note is the small specimen volumes  
84 considered in previous studies on UHPC, with the majority considering specimen lengths  
85 ranging from 160 mm [4, 10, 27, 28] to 1000 mm [29] and volume ranging from 25.6 cm<sup>3</sup>  
86 (40×40×160 mm) [4] to 9000 cm<sup>3</sup> (50×300×600 mm) [30]. These specimen sizes may not be  
87 large enough to evaluate UHPC shrinkage size effect, because it is possible that a smaller size  
88 effect is observed in UHPC than normal strength concrete because of the reduced importance  
89 of the drying shrinkage component. Therefore, in this study, the size effect of autogenous and  
90 total shrinkage is studied on samples with a consistent mix design and wide sample size  
91 variation.

92 Given the literature review has highlighted a lack of studies that take shrinkage measurements  
93 from specimens of various sizes but with a single UHPC mix design, in this paper, to better

94 understand UHPC shrinkage size effect, autogenous and total shrinkage is measured over a 300  
95 days period on specimens with varying  $v/s$  ratio, hypothetical thickness and volume. The  
96 measurements obtained are used as the basis for identifying the importance of specimen size  
97 effect in UHPC and if existing parameters used to correct for specimen size are appropriate.  
98 Finally, the potential to extrapolate existing design based shrinkage models for application to  
99 UHPC without fundamental modification is assessed. The data obtained in this study can be  
100 used as the basis for further modelling of UHPC shrinkage size effect.

## 101 2. Material and methods

102 In order to understand the impacts of sample size on UHPC shrinkage, different samples sizes  
103 with varying dimensions, volume to surface area ( $v/s$ ) ratio and hypothetical thickness ( $t_h$ )  
104 were considered. Both autogenous and total shrinkage were measured to identify the shrinkage  
105 mechanism, giving rise to any size effect.

### 106 2.1 Sample size

107 In order to quantify sample size dependency, the sample dimensions adopted in this paper are  
108 based on  $v/s$ , as shown Table 1, also shown is the corresponding hypothetical thickness [16]  
109 defined as

$$t_h = \frac{2A}{P} \quad (1)$$

110 in which  $A$  is the cross sectional area and  $P$  is the exposed perimeter [16].

111 To enable easier discussion of the results, also shown in Table 1 is the sample ID, where  
112 specimens are designated first by their length, followed by their  $v/s$  ratio (provided to 2  
113 significant figures), followed by the hypothetical thickness. For example, L4-22-50 represents  
114 400 mm sample length, 22.22 mm  $v/s$  and 50 mm hypothetical thickness.

115 When considering the outcomes of testing, the first three sample dimensions with increasing  
 116  $v/s$  can be used to determine UHPC shrinkage sample size dependency, while the three  
 117 specimens with  $v/s$  of 33.33 are intended to investigate the reliability of using  $v/s$  as a measure  
 118 of shrinkage size dependency for UHPC. For each sample size, six specimens were  
 119 manufactured, with three used to measure autogenous shrinkage and three to measure total  
 120 shrinkage.

121 Table 1: Sample dimensions

Sample ID	Dimension (mm)	$v/s$ (mm)	$t_h$ (mm)	$V(\text{mm}^3)$
L4-22-50	100×100×400	22.22	50	$4.00 \times 10^6$
L6-33-75	150×150×600	33.33	75	$13.5 \times 10^6$
L8-44-100	200×200×800	44.44	100	$32.0 \times 10^6$
L14-33-70	140×140×1400	33.33	70	$27.44 \times 10^6$
L4-33-80	160×160×400	33.33	80	$10.26 \times 10^6$

122

## 123 2.2 Mix design and curing

124 Since an investigation of the effect of material parameters on shrinkage is not the purpose of  
 125 this paper, a single mix design based on the work of [12] was adopted and is shown in Table 2.

126 Table 2: Mix proportions by weight of UHPC

$w/b$	SF replacement ratio	Cement	Silica fume	Water	Sand	SP
0.17	15%	0.85	0.15	0.135	1	0.05

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128 Two types of cementitious materials were used: a sulphate resisting cement (Type SR) and  
 129 densified amorphous silica fume. According to the product data sheet [31], the components of  
 130 sulphate resisting cement are 30%-50% Portland cement clinker, 50-70% ground granulated  
 131 blast furnace slag (GGBFS) and 2-5% gypsum by weight, and this conforms to Australian  
 132 standard AS3972-2010 [32]. Similarly, according to its product data sheet [33], the silica fume  
 133 is over 89.6% silicon dioxide  $SiO_2$ , and conforms to Australian standard AS3582.3: 2016 [34].  
 134 A high range water reducing superplasticizer with retarder was used and its water content

135 (approximately 70%) was considered to be available for hydration reaction by adding to the  
136 total water content in the mix design (i.e. the  $w/b$  ratio was calculated based on added water  
137 content in Table 2 and water content in superplasticizer).

138 The mixing of UHPC was performed in a pan mixer. The dry materials were mixed for five  
139 minutes to obtain an isotropic mix. Water was subsequently added, followed by the addition of  
140 superplasticizer, the mixing process continued for an additional 20 minutes to ensure  
141 workability. Once mixed the concrete was placed into wooden moulds, after which they were  
142 sealed by wooden covers, which were further sealed with aluminium adhesive tape to prevent  
143 moisture evaporation. The specimens in their moulds were then stored in ambient lab  
144 conditions (approximately 25°C) to cure for two days.

### 145 2.3 Shrinkage testing method

146 All samples were demoulded after curing, at which point half of the samples were sealed by  
147 several layers of plastic wrap for autogenous shrinkage measurement, while the remaining  
148 specimens were left with all surfaces exposed to the atmosphere. All specimens were  
149 transferred into a constant temperature and relative humidity room and measurement of original  
150 sample length were obtained.

151 To minimise the effect of temperature and relative humidity on shrinkage, a walk-in style  
152 constant temperature and relative humidity room set at 25°C and 50% relative humidity was  
153 used to store all specimens during the entire measurement period. To capture any variation in  
154 environmental conditions that may occur during the period of testing a digital sensor (model  
155 SHT21) with temperature operation range of -40°C to 125°C and relative humidity operation  
156 range of 0%-100% was used to directly record temperature and relative humidity throughout  
157 the entire test period. The accuracies of relative humidity and temperature of the sensor are 2%  
158 and 0.3°C respectively.



159 Shrinkage measurement commenced 48 hours after water addition and continued for 300 days  
160 to reach the final stage, with the intention to capture plateauing of shrinkage with time [9],  
161 during which the change in length of the specimens were recorded every 15 minutes. The  
162 shrinkage measurement zero-time was chosen based on the early-age shrinkage data in [12],  
163 where the early age shrinkage of the same mix design was measured from 3 hours after water  
164 addition. As shown in [12], UHPC shrinkage increases sharply in the first 24 hours, followed  
165 by a period of material expansion and contraction until 48 hours after water addition. This  
166 observation is a combined result of intense chemical reaction, microstructure development,  
167 ettringite formation and internal relative humidity change [12], which all can interfere the  
168 analysis of size effects.

#### 169 2.4 Shrinkage testing apparatus

170 In order to measure UHPC shrinkage with such a wide variety of dimensions, several shrinkage  
171 measurement stands were fabricated. All six samples with the same dimensions were placed  
172 on a single stand. To enable air flow, each specimen was placed on small square bars, which  
173 were themselves placed on the perforated steel bottom plate of the frame (Fig. 1). Several bolts  
174 on the bottom plate were used to adjust the entire framed to a horizontal level. On top of each  
175 sample, a spring loaded LVDT with stroke length of 10 mm and accuracy of  $\pm 0.3\%$  was used  
176 to measure length change of the centre point of the specimen.

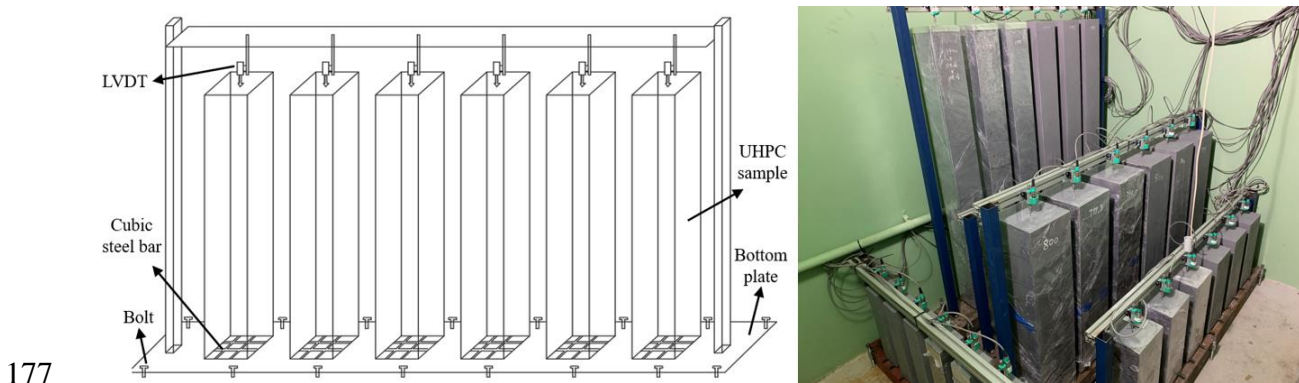


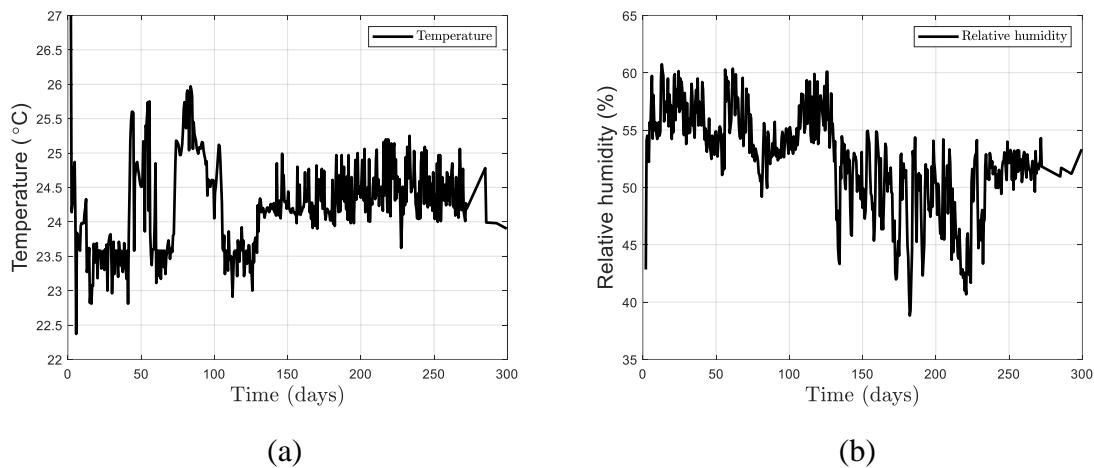
Fig. 1: Shrinkage measurement stand

179 **3. Results and discussion**

180 The experimentally measured test results are presented in this section, including the recorded  
 181 room temperature and relative humidity and the specimen autogenous and total shrinkage.

182 **3.1 Temperature and relative humidity**

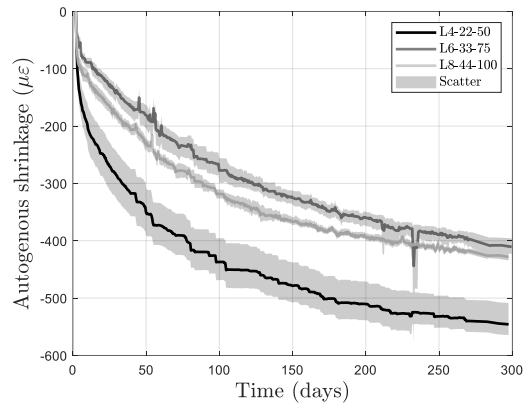
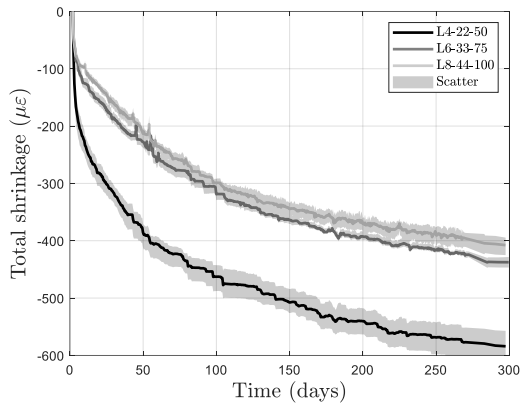
183 Fig. 2 shows the recorded temperature and relative humidity of the testing room, where it can  
 184 be seen that the average temperature was 24.5°C with a maximum variation of 3.5°C .The  
 185 relative humidity is approximately 50% but fluctuated by as much as ±10%.



186  
 187 (a) (b)  
 188 Fig. 2: Testing room temperature (a) and relative humidity (b)  
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190 **3.2 Shrinkage of samples with increasing  $v/s$**

191 The effect of specimen samples size on autogenous and total shrinkage is shown in in Fig. 3(a)  
 192 and (b), respectively, where within each figure the solid line represents the average values of  
 193 three specimens and the full scatter is shown by the grey shaded area. All results in Fig. 3  
 194 demonstrate shrinkage develops gradually with continuously decreasing rate, and the  
 195 maximum scatter between the three identical specimens is approximately 50 microstrain,  
 196 indicating high consistency.



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(a)

(b)

Fig. 3: The effect of sample sizes (increasing  $v/s$ ) on (a) total and (b) autogenous shrinkage strain, from 2 days after water addition

Firstly comparing Fig. 3(a) and Fig. 3(b), the variation between the magnitudes of autogenous and total shrinkage strains of each sample size is small, indicating the limited effect of drying on UHPC. Thus, it can be concluded that the shrinkage size effect observed in the UHPC total shrinkage measurements [Fig. 3(a)] is mainly due to the size effect of UHPC autogenous shrinkage, rather than non-uniform moisture gradient, caused by drying from the surface inwards.

The variation in total shrinkage strain between each specimen size is shown in Fig. 3(a), in which it can be seen that total shrinkage in UHPC has a sample size dependency, with smaller  $v/s$  and hypothetical thickness samples showing the higher shrinkage strain. It is however also observed in Fig. 3(a) that the total shrinkage strain does not vary in proportion with either the increment of  $v/s$  or hypothetical thickness of samples. For example, L4-22-50 develops much greater final total shrinkage strain at 300 days than the other samples (approximately 34% larger than L6-33-75 and 43% larger than L8-44-100), while the difference in the magnitude between L6-33-75 and L8-44-100 is relatively small, with L6-33-75 being approximately 10% larger than L8-44-100. It can however be seen in Fig. 3(a) that the total shrinkage does vary

216 inversely proportional with specimen volume, that is the specimen with the smallest volume  
217 undergoes the largest shrinkage.

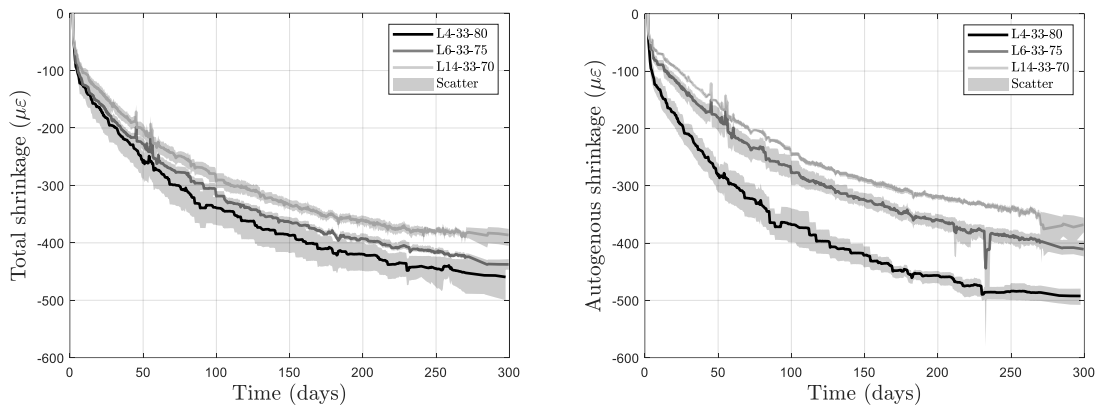
218 UHPC autogenous shrinkage strain in Fig. 3(b) also exhibits a sample size dependency. For  
219 example, L4-22-50 develops the largest final autogenous shrinkage strain at 300 days  
220 (approximately 27% larger than L8-44-100 and 33% larger than L6-33-75) and the other two  
221 specimen sizes (L4-22-50 and L8-44-100) display similar autogenous shrinkage strains, with  
222 L8-44-100 being approximately 4% larger than L6-33-75. This result is similar to the trend of  
223 total shrinkage strain; however, L6-33-75 shows the smallest autogenous shrinkage strain in  
224 Fig. 3(b).

225 Now let us consider the results in Fig. 3 in the context of existing research on normal and high  
226 strength concrete. While a finding of non-linearity in total shrinkage strain agrees with previous  
227 findings on shrinkage size dependency in normal strength and high strength concrete [21, 23,  
228 24, 35-37], of significant difference is the mechanism of size dependency. That is, the size  
229 dependency observed in the total shrinkage measurements in Fig. 3(a) is also observed in  
230 similar magnitudes in the autogenous measurements in Fig. 3(b). This observation suggests  
231 that not only is the dominant shrinkage mechanism in UHPC autogenous shrinkage, it is also  
232 the source of the majority of the size dependent behaviour. This is in contrast to normal and  
233 high strength concrete, in which the final autogenous shrinkage strain is generally at least one  
234 order of magnitude smaller than total shrinkage strain and size dependency is observed under  
235 drying conditions because of non-uniform moisture transport [12] under drying conditions.  
236 Furthermore, although the non-linear behaviour with the increase in  $v/s$  was observed by  
237 Campbell-Allen and Rogers [24] and Almudaiheem and Hansen [23] on small prismatic  
238 samples of normal strength concrete, the magnitude in non-linearity in this paper is much larger  
239 than previously observed. This may be due to the much larger sample size adopted in this series  
240 of tests, leading to slower shrinkage rates [36, 38]; this however cannot be confirmed, because

241 the 300 days test period is not long enough for UHPC samples of this size to reach their ultimate  
242 shrinkage strains.

### 243 3.3 Shrinkage of samples with constant $v/s$

244 The total and autogenous shrinkage strains of samples with identical  $v/s$  ratio can be seen in  
245 Fig. 4(a) and (b), respectively. The solid lines are averaged shrinkage strains of three samples,  
246 with the grey shaded area being scatter. Again, it can be seen that the scatter of each sample  
247 size is relatively small, compared to the magnitude of final shrinkage value at 300 days, with  
248 the maximum scatter being less than 50 microstrain.



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Fig. 4: The effect of different sample dimensions with constant  $v/s$  on: (a) total and (b) autogenous shrinkage, from 2 days after water addition

In Fig. 4: The effect of different sample dimensions with constant  $v/s$  on: (a) total and (b) autogenous shrinkage, from 2 days after water addition

(a), specimen L4-33-80 demonstrates the largest final total shrinkage strain at 300 days and this is approximately 5% larger than that observed for L6-33-75 and 20% larger than that observed for L14-33-70. Given that all specimens in Fig. 3(a) have the same  $v/s$  ratio but up to

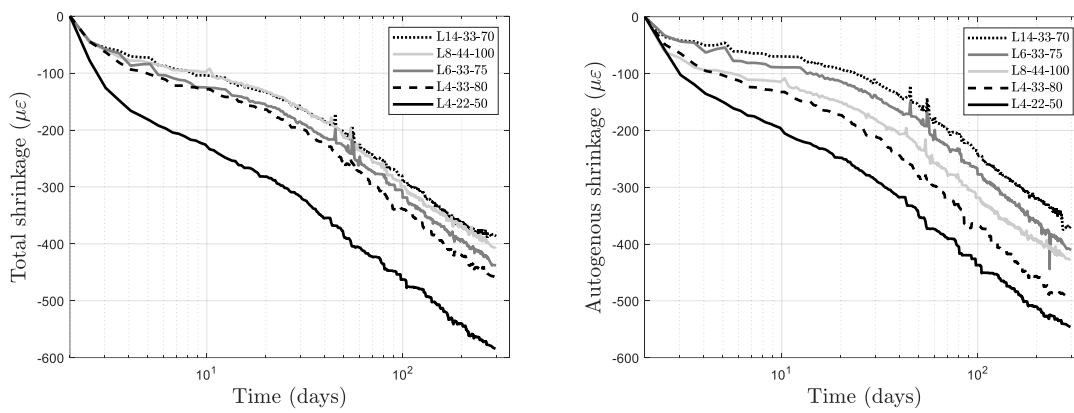
259 a 20% difference in the final recorded shrinkage strain it is suggested here that the  $v/s$  ratio  
260 does not fully capture the size dependency of total shrinkage strain in UHPC. Also observed in  
261 Fig. 4(a) is that the larger total shrinkage strains are developed in samples with larger  
262 hypothetical thickness, and this is opposite to what is observed in Fig. 3(a) where the largest  
263 shrinkage strains were observed in samples with the smallest hypothetical thickness. This  
264 variation in behaviour may be because sample length is omitted in the calculation of  
265 hypothetical thickness with only cross-section area being considered. That is, although the  
266 samples in Fig. 4(a) have the same  $v/s$ , because of their varied length, the overall volume is  
267 different. Interestingly, the results observed here suggest that neither the  $v/s$  nor the  
268 hypothetical thickness captures size effect in UHPC shrinkage and that the element volume  
269 alone may be a better measure. This outcome can likely be explained by the dominance of  
270 autogenous shrinkage over drying shrinkage in UHPC, which diminishes the impact of the  
271 exposed surface area and promotes the impact of specimen volume in the mechanisms that  
272 drive autogenous shrinkage (available water and heat generated).

273 The autogenous shrinkage strains in Fig. 4(b) show the same trend as total shrinkage, however,  
274 the difference in magnitude between L4-33-80 and the other two sizes is much larger than that  
275 of total shrinkage. For example, the largest autogenous shrinkage strain at 300 days, for  
276 specimen L4-33-80 is approximately 20% larger than that observed in L6-33-75 and 38% larger  
277 than that observed in L14-33-70. Similar to what was observed for total shrinkage, these results  
278 generally align with the volume of the samples (L4-33-80 being the smallest and L14-33-70  
279 being the largest) and suggest that specimen volume may be a more appropriate measure of  
280 size dependency for shrinkage of UHPC.

### 281 3.4 Shrinkage of all sample sizes

282 To help further identify an appropriate indicator of specimen size dependency all experimental  
283 observations are plotted on a single graph with log scale in Fig. 5. As discussed for Fig. 3 and

284 Fig. 4, there is no clear trend in either total or autogenous shrinkage with either  $v/s$  or  
 285 hypothetical thickness, but there does appear to be a general trend with overall specimen  
 286 volume. That is, when considering total shrinkage in Fig. 5(a) the results, ranked from highest  
 287 shrinkage strains to lowest shrinkage strains, at 300 days correspond to the ranking of specimen  
 288 volume (Table 1) from smallest to largest. The same general trend is seen in the results for  
 289 autogenous shrinkage in Fig. 5(b) with the exception of the L8-44-100 specimen, which is out  
 290 of sequence when ranked according to volume.



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(a)

(b)

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293 Fig. 5: (a) Total and (b) autogenous shrinkage strains of all sample sizes, measured from 2  
 294 days after water addition

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296 When interpreting the results in Fig. 5 the size dependency of UHPC autogenous shrinkage is  
 297 greater than that of total shrinkage. It can also be seen that the measured autogenous shrinkage  
 298 is larger than measured total shrinkage for some sample sizes. For example, L4-33-80 has  
 299 approximately 50 microstrain larger autogenous shrinkage than total shrinkage at 300 days. As  
 300 each line is average of 3 individually measured samples, this observation can be partially  
 301 attributed to experimental scatter. Variation can also be expected because moisture loss due to  
 302 evaporation under drying conditions has a greater potential to occur at early ages [12] when

303 the variation in internal and external humidity is the greatest and this can trigger competing  
304 effects. For example, moisture evaporation can reduce water available for hydration leading to  
305 decrease in both chemical and autogenous shrinkage, especially for UHPC with low water  
306 contents. At the same time, increased water loss results in higher drying shrinkage. Further, the  
307 exothermal heat generated during hydration and the constant temperature boundary conditions  
308 give rise to non-uniform internal temperature distributions [12] which feedback to influence  
309 rate of reaction, heat transfer and moisture transport processes.

310 In addition the these mechanism, a non-uniform distribution of the degree of reaction can form  
311 due to the variation of temperature at different locations, leading to two competing effects.  
312 Water consumed in the hydration and pozzolanic reactions of the binders reduces the internal  
313 relative humidity, resulting in increased capillary stress and autogenous shrinkage. At the same  
314 time the accelerated chemical reaction, resulting from heat accumulation can promote the  
315 development UHPC matrix stiffness, reducing autogenous shrinkage. Based on the test results,  
316 as shown in Fig. 5(b), samples with smaller volume and length show larger autogenous  
317 shrinkage strains, indicating that the retarding effect of matrix stiffness development is greater  
318 than the expedition effect of accelerated chemical reaction. Therefore, the time dependent heat  
319 transfer and moisture transport processes are able to induce a specimen size dependency even  
320 without moisture exchange with an external environment.

#### 321 4. Shrinkage size effect modelling

322 Several highly calibrated design models are available to predict shrinkage in normal and high  
323 strength concrete (e.g. CEB-FIP [13], GL2000 [14] and ACI209 [15], AS3600 [16] and B4  
324 [17]). With the exception of AS3600 [16] and B4 [17] these approaches typically only consider  
325 total shrinkage and do not separate the differing components of shrinkage. Although suggested  
326 by the form of AS3600 and B4, drying and autogenous shrinkage components are not directly



327 additive [9]. For normal and high strength concrete, this does not present a significant challenge  
328 because the ultimate drying shrinkage is generally an order of magnitude larger than the  
329 ultimate autogenous shrinkage, but this is not the case for UHPC and therefore further review  
330 of the coupling of autogenous and drying shrinkage may be required. Also of significance in  
331 AS3600 [16] and B4 [17] is that modifiers for specimen shape and size are only present on the  
332 drying shrinkage components such that it is assumed that significant size dependency only  
333 arises from moisture diffusion during drying rather than from any mechanism that occurs under  
334 sealed conditions. As shown in the results of this study, and also in [10-12], this assumption  
335 is problematic because drying is in general highly limited in UHPC because of the low  $w/b$   
336 ratio and dense microstructure [10], and the drying shrinkage that does occur is generally  
337 limited to the first few days after water addition [8].

338 Despite these challenges with existing models, in order to assess their performance when  
339 extrapolated for application to UHPC Fig. 6(a) presents a comparison of AS3600 [16] and B4  
340 [17] predicted and observed autogenous shrinkage, and Figs. 6(b)-(f) present a comparison of  
341 GL2000 [14] and ACI209 [15], AS3600 [16] and B4 [17] predicted and observed total  
342 shrinkage. The main equations and parameters of each model are described below using their  
343 own nomenclature. The values of each parameter can be seen in Table 3.

#### 344 *AS3600*

345 The Australian standard for concrete design AS3600 [16] decomposes the total strain  $\epsilon_{cs}$  as the  
346 sum of autogenous and drying components

$$\epsilon_{cs} = \epsilon_{cse} + \epsilon_{csd} \quad (2)$$

347 where  $\epsilon_{cs}$  is predicted shrinkage strain,  $\epsilon_{cse}$  is autogenous shrinkage strain and  $\epsilon_{csd}$  is drying  
348 shrinkage strain. The autogenous shrinkage strain can be calculated as

$$\epsilon_{cse} = \epsilon_{cse}^* \times (1 - e^{-0.07t}) \quad (3)$$

349 where  $\epsilon_{cse}^* = \epsilon_{cse}^*(f_c)$  is ultimate autogenous shrinkage strain, which is a function of  
 350 compressive strength  $f_c$  and  $t$  is time after setting.

351 The drying shrinkage strain is calculated as

$$\epsilon_{csd} = k_1 k_4 \epsilon_{csd.b} \quad (4)$$

352 where  $k_1 = k_1(t_h, t_d)$  is a function of drying time  $t_d$  and hypothetical thickness  $t_h$ ,  $k_4$  is  
 353 environment factor and  $\epsilon_{csd.b} = \epsilon_{csd.b}(f_c)$  is basic drying shrinkage strain, which is formulated  
 354 as a function of compressive strength  $f_c$ .

355 *B4*

356 The B4 model [17] for creep and shrinkage of concrete, also treats the autogenous and drying  
 357 shrinkage strains as being additive to produce a total strain  $\epsilon_{sh,total}(t, t_0)$

$$\epsilon_{sh,total}(t, t_0) = \epsilon_{au}(t, t_0) + \epsilon_{sh}(t, t_0) \quad (5)$$

358  $\epsilon_{au}(t, t_0)$  and  $\epsilon_{sh}(t, t_0)$  are the autogenous and drying shrinkage strains, respectively,  $t$  is the  
 359 age of concrete and  $t_0$  is age at which drying begins. The autogenous shrinkage strain can be  
 360 calculated as

$$\epsilon_{au}(t, t_0) = \epsilon_{au\infty} \left[ 1 + \left( \frac{\tau_{au}}{t + t_0} \right)^{\alpha} \right]^{-r_t} \quad (6)$$

361 where  $\epsilon_{au\infty} = \epsilon_{au\infty}(a/c, w/c, \epsilon_{au, cem}, r_{ea}, r_{ew})$  is ultimate autogenous shrinkage strain, that  
 362 is a function of the aggregate to cement ratio  $a/c$ , water to cement ratio  $w/c$  and cement type  
 363 related parameters  $\epsilon_{au, cem}$ ,  $r_{ea}$  and  $r_{ew}$ .  $\tau_{au} = \tau_{au}(w/c, \tau_{au, cem}, r_{tw})$  is the autogenous  
 364 shrinkage half-time, which is in turn defined as a function of water to cement ratio  $w/c$  and  
 365 cement type related parameters  $\tau_{au, cem}$  and  $r_{tw}$ .  $\alpha = \alpha(w/c, r_{\alpha})$  is a function of the water to

366 cement ratio  $w/c$  and cement type related parameter  $r_\alpha$ . The power term  $r_t$  is also a parameter  
 367 related to the cement type.

368 The drying shrinkage strain is calculated as

$$\epsilon_{sh}(t, t_0) = \epsilon_{sh\infty}(t_0)k_h S(t) \quad (7)$$

369 where  $\epsilon_{sh\infty}(t_0)$  is a function of  $t_0$ , aggregate to cement ratio  $a/c$ , water to cement ratio  $w/c$ ,  
 370 cement content, mass density, compressive strength, aggregate dependent parameter  $k_{ea}$  and  
 371 cement type related parameters  $\epsilon_{cem}$ ,  $p_{ea}$ ,  $p_{ew}$ ,  $p_{ec}$ .  $k_h = k_h(h)$  is a function of relative  
 372 humidity  $h$  and  $S(t)$  is a function of  $t$ ,  $t_0$ , aggregate to cement ratio  $a/c$ , water to cement ratio  
 373  $w/c$ , cement content, mass density, cement type related parameters  $\tau_{cem}$ ,  $p_{ta}$ ,  $p_{tw}$ ,  $p_{tc}$ ,  
 374 aggregate dependent parameter  $k_{ea}$  and shape parameter  $k_s$ .

375 *ACI209*

376 The ACI model of concrete shrinkage predicts the total shrinkage  $\epsilon_{sh}(t, t_c)$

$$\epsilon_{sh}(t, t_c) = \frac{t - t_c}{T_c + (t - t_c)} \epsilon_{shu} \quad (8)$$

377 where  $t$  is the age of concrete,  $t_c$  is the age when drying begins,  $T_c$  is curing method parameter,  
 378 being 35 for moist curing and 55 for steam curing and  $\epsilon_{shu}$  is ultimate shrinkage strain, being  
 379  $780 \times 10^{-6}$  with a correction factor  $\gamma_{sh}$  for conditions other than standard conditions which  
 380 can be calculated by

$$\gamma_{sh} = \gamma_{sh,tc} \gamma_{sh,RH} \gamma_{sh,vs} \gamma_{sh,s} \gamma_{sh,\psi} \gamma_{sh,c} \gamma_{sh,\alpha} \quad (9)$$

381 where  $\gamma_{sh,tc}$  is correction factor for initial moist curing,  $\gamma_{sh,RH}$  is correction factor for ambient  
 382 relative humidity,  $\gamma_{sh,vs}$  is correction factor for size,  $\gamma_{sh,s}$  is correction factor for slump,  $\gamma_{sh,\psi}$   
 383 is correction factor for fine aggregate,  $\gamma_{sh,c}$  is correction factor for cement content and  $\gamma_{sh,\alpha}$  is  
 384 correction factor for air content.

385 CEB-FIP

386 Total shrinkage at time  $t$  when drying begins at time  $t_c$  is

$$\varepsilon_{sh}(t, t_c) = \varepsilon_{sho}\beta_s(t - t_c) \quad (10)$$

387 where,  $\varepsilon_{sho} = \varepsilon_{sho}(\beta_{sc}, f_c, h)$  is notional shrinkage coefficient, calculated by cement type  
 388 parameter  $\beta_{sc}$ , strength  $f_c$  and relative humidity  $h$ ,  $\beta_s$  is a function of effective thickness  $t_h$ .

389 GL2000

$$\varepsilon_{sh}(t, t_c) = \varepsilon_{shu}(1 - 1.18h^4) \sqrt{\frac{t - t_c}{t - t_c + 0.15(v/s)^2}} \quad (11)$$

390 where  $\varepsilon_{sh}(t, t_c)$  is predicted total shrinkage,  $t$  is age of concrete,  $t_c$  is age when drying begins,  
 391  $v/s$  is volume to surface ratio,  $h$  is relative humidity,  $\varepsilon_{shu} = \varepsilon_{shu}(f_c, K)$  is ultimate shrinkage,  
 392 calculated by strength  $f_c$  and cement type parameter  $K$ .

393 Table 3: Parameter values of each model

Model	Parameter	Description	Value	Source
AS3600	$\varepsilon_{cse}^*$	Ultimate autogenous shrinkage strain, calculated by compressive strength	$4.9 \times 10^{-4}$	[16], Eq. 3.1.7.2 (3)
	$\varepsilon_{csd,b}$	Basic drying shrinkage strain, calculated by compressive strength and $\varepsilon_{csd,b}^* = 800e-6$ , given by [16]	$1.8 \times 10^{-4}$	[16], Eq. 3.1.7.2 (5)
	$k_1$	Size related parameter, calculated by sample size and time	Value changes with time.	[16], Fig. 3.1.7.2
	$k_4$	Environment parameter, chosen according to the environment	0.65 for interior environment	[16], Eq. 3.1.7.2 (4)
B4	$\tau_{cem}$	Cement type dependent parameter for drying shrinkage, chosen based on hardening speed (normal hardening speed concrete adopted)	0.016	[17], Table 1
	$p_{\tau a}$		-0.33	
	$p_{\tau w}$		-0.06	
	$p_{\tau c}$		-0.1	
	$\varepsilon_{cem}$		$360 \times 10^{-6}$	
	$p_{\varepsilon a}$		-0.8	
	$p_{\varepsilon w}$		1.1	
	$p_{\varepsilon c}$		0.11	
	$\tau_{au,cem}$	Cement type dependent parameter for autogenous shrinkage, chosen based on hardening speed (normal hardening speed concrete adopted)	1	[17], Table 2
	$r_{\tau w}$		3	
	$r_{\tau}$		-4.5	
	$r_a$		1	
	$\varepsilon_{au,cem}$		$210 \times 10^{-6}$	
	$r_{\varepsilon a}$		-0.75	
	$r_{\varepsilon w}$		-3.5	
	$k_s$	Shape parameter, chosen based on sample shape	1.25 for infinite square prism	[17], Eq. (23)
	$k_{\varepsilon a}$	Aggregate dependent parameter, chosen based on aggregate type	1 for no information on aggregate type exists	[17], Table 6

	$\times \tau_{cem}$	Admixture dependent parameter scaling factors for $\tau_{cem}$ , $\epsilon_{au,cem}$ , $r_{ew}$ and $r_a$ , chosen based on admixture type and dosage (Silica fume >8%, $\leq$ 18% mass of cement adopted)	2.6	[17], Table 4
	$\times \epsilon_{au,cem}$		0.82	
	$\times r_{ew}$		0	
	$\times r_a$		1.2	
ACI209	$T_c$	Curing method parameter	35 for moist curing	[15]
	$\epsilon_{shu}$	Ultimate shrinkage strain, being $780e-6$ under standard conditions, considering correction factor for conditions other than standard conditions	$780 \times 10^{-6} \times \gamma_{sh}$	[39] Eq. (A-4)
	$\gamma_{sh}$	Correction factor ultimate shrinkage strain	0.87 for $v/s=22.22$ 0.82 for $v/s=33.33$ 0.78 for $v/s=44.44$	[39] Eq. (A-5) to (A-14)
CEB-FIP	$\epsilon_{sho}$	Notional shrinkage coefficient	$9.49 \times 10^{-5}$	[40], Eq. (3)
	$\beta_{sc}$	Cement type parameter. Given type SR cement has no information, type I cement was adopted.	5 for type I cement	[40]
	$\beta_s$	Size and time dependent parameter	Value changes with time.	[40], Eq. (5)
GL2000	$\epsilon_{shu}$	Ultimate shrinkage, calculated by cement type parameter, compressive strength and relative humidity	$4.70 \times 10^{-4}$	[40], Eq. (14)
	$K$	Cement type parameter. Given type SR cement has no information, type I cement was adopted.	1 for type I cement	[40]

394

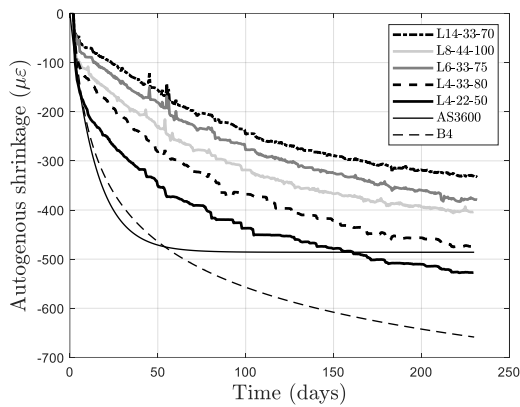
395 The results of this comparison in Fig. 6(a) show that neither AS3600 [16] and B4 [17] can  
396 adequately capture autogenous shrinkage in UHPC without recalibration. The B4 model  
397 captures the shape, but overestimates the experimental results for all sample sizes with no  
398 sample size correction included for autogenous shrinkage. Autogenous shrinkage plateaus  
399 before 100 days using the Australian Standard AS3600 also has no sample size correction, this  
400 plateau is however the result of the underestimate of the ultimate autogenous shrinkage strain  
401 rather than the functional form of the equation.

402 The comparison of model result for total shrinkage by AS3600, B4, GL2000 and ACI209, for  
403 all sample sizes is shown in Fig. 6(b)-(f). As would be expected the fit is generally poor, with  
404 the exception of GL2000, which is an empirical approach and appears to be better suited to  
405 extrapolate based on compressive strength in its current form. It should also be noted that no  
406 comparison to CEB-FIP could be undertaken because the approach is calibrated between 12 to  
407 80 MPa and extrapolation beyond this range yielded nonsensical outputs. Regarding the  
408 potential to extrapolate existing models, it can be seen in Fig. 6 that although each model has  
409 minor differences in form, all generally produce the same overall shape and so all have the  
410 potential to be recalibrated from the perspective of creating a simple expression for application

411 in design. However for the development of an approach that captures the underlying physics,  
412 the coupling of all shrinkage mechanisms will need to be reconsidered for UHPC given the  
413 increased significance of those driven by chemical reactions.

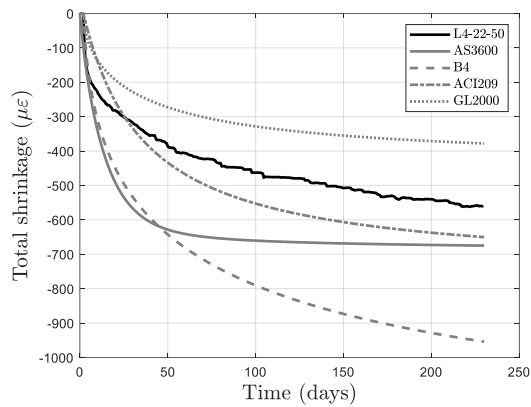
414 It should be noted that retarder, included in the superplasticizer, can also affect shrinkage and  
415 only B4 model considers retarder as parameter scaling factor, as given in Table 4 of [17].

416 However, when considering the combined effect of silica fume, retarder and superplasticizer,  
417 the lack of scaling factor requires further study and calibration.

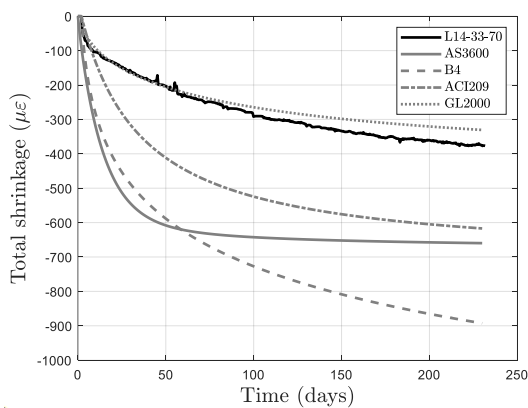


418

(a)

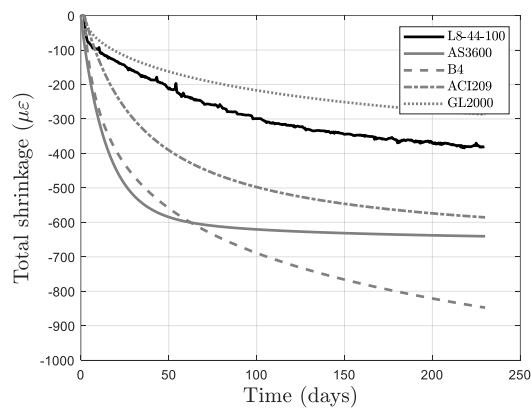


(b)



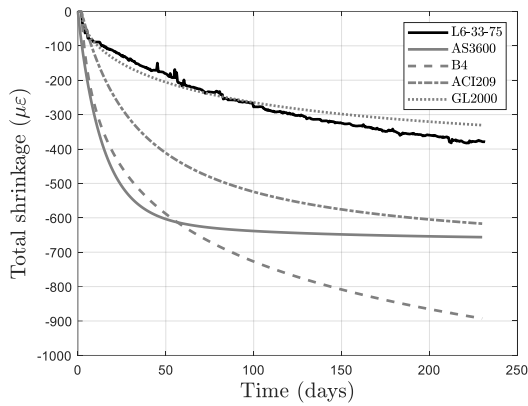
420

(c)

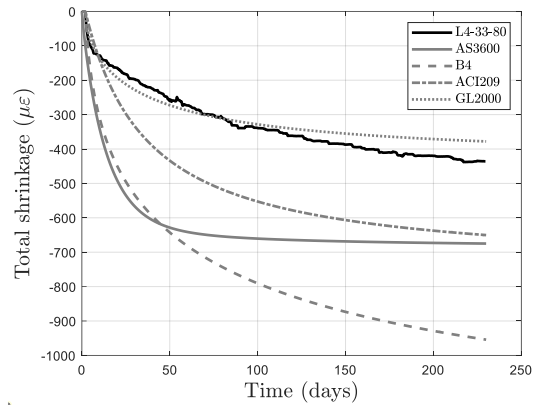


(d)

421



422



423

(e)

(f)

424

Fig. 6: Comparison between test results and design codes, (a): autogenous shrinkage (b)-(f):

425

total shrinkage

426

In future modelling work it is necessary to consider how to introduce a size effect to autogenous shrinkage, this is not a simple problem and will likely require the consideration of binder reactivity and the corresponding chemical shrinkage, temperature distribution due to hydration and pozzolanic reaction and heat exchange, moisture transfer and capillary tension and the interactions between them.

431

Further challenges in model development exist because of the limited length of existing test observations, that is despite 300 days of experimental observation, when plotted on a log-scale, it can be observed in Fig. 5 that shrinkage strains have not yet plateaued meaning that models requiring a shrinkage half-time or ultimate shrinkage magnitude may result in an ill-conditioned problem [9]. Short testing periods appear to be extremely common when considering UHPC with the vast majority of testing being conducted over a 7-day observation period (e.g. [5, 44-48]) thereby limiting the ability to broadly calibrate models.

438

In order to develop a unified model that can be used overall strength grades, further work is also required to identify the tipping point at which autogenous shrinkage begins to dominate drying shrinkage and where a strong autogenous shrinkage size effect begins to appear.

440

## 441 5. Conclusions

442 Unlike normal strength concretes in which drying is the dominant form of shrinkage, in  
443 concretes with a very low water to cement ratios autogenous and chemical shrinkage  
444 mechanisms can dominate. Although the impact of specimen size and shape on drying  
445 shrinkage is well understood for normal strength concrete, the same is not true for autogenous  
446 and chemical shrinkage, and this lack of understanding may limit model precision and accuracy,  
447 particularly for UHPC. To address this issue, an experimental study was conducted to measure  
448 shrinkage strains on UHPC elements with large variations in size and with large variations in  
449 size but identical  $v/s$  ratios and the following conclusions can be drawn from this research:

- 450 • Size dependency of shrinkage strains in UHPC has not previously been measured and  
451 both the highly variable binder type and limited variation in specimen size investigated  
452 in previous studies makes drawing conclusions from the compilation of previous  
453 studies difficult.
- 454 • The difference between total and autogenous shrinkage in UHPC is small, indicating  
455 negligible drying shrinkage occurred in UHPC mixes due to limited water content and  
456 dense microstructure. This implies the modelling of UHPC shrinkage and its size  
457 dependency cannot be captured using the same approaches employed for normal and  
458 high strength concretes.
- 459 • The description of sample dependent shrinkage in UHPC is not adequately described  
460 by volume to surface area ( $v/s$ ) scaling - significant variations in shrinkage strains are  
461 observed when  $v/s$  is constant.
- 462 • Given that autogenous shrinkage is the dominant shrinkage mechanism, which is not  
463 driven by interactions with the surrounding environment, the use of volume alone may  
464 be a better mechanism to scale for specimen size. Further research is required in this  
465 area to investigate samples that have larger variations in volume, that is, although the



466 specimens tested in this campaign had widely varying cross sections and lengths, their  
467 overall volume was all in the same order of magnitude except for specimen L4-22-50  
468 which was observed to have significantly larger shrinkage strains than the remaining  
469 specimens.

- 470 • The existing shrinkage models, such as B4, AS3600, ACI209, CEB-FIP and GL2000,  
471 are not presently capable of modelling UHPC shrinkage size effect, as these models  
472 attribute shrinkage size effect to drying shrinkage, which is opposite to UHPC. Given  
473 that, B4, AS3600 and GL2000 utilise  $v/s$  to scale and describe shrinkage size effect for  
474 normal and high strength concrete, it can be expected that these models will require  
475 fundamental modifications if they are to be extended to UHPC.

476

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480

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