# **BIOLOGY OF TOOTH WEAR: PREVENTIVE STRATEGIES**



## SARBIN RANJITKAR

BDS, BScDent (Hons)

Thesis submitted for the degree of Doctor of Philosophy

School of Dentistry The University of Adelaide

August 2009

## **CHAPTER 1**

## **INTRODUCTION**

#### **1.1 Definitions**

Tooth wear is a complex process that includes attrition, abrasion and erosion, or a combination of these factors. In dental terms, attrition is defined as tooth wear caused by tooth-to-tooth contact without the presence of food. Abrasion is defined as the wearing of tooth substance resulting from friction of exogenous material forced over the surface by incisive, masticatory and grasping functions and tooth cleaning. Erosion refers to the superficial loss of dental hard tissue due to chemical demineralization not involving bacteria.<sup>1,2</sup> Recently, European researchers have used the term 'erosive tooth wear' to refer to tooth wear caused by erosion combined with mechanical factors, such as attrition and toothbrush abrasion.<sup>3</sup> Some researchers have also proposed abfraction as a separate wear process, leading to the formation of characteristically wedge-shaped non-carious cervical lesions.<sup>4,6</sup> However, there is a general lack of firm clinical evidence to support the abfraction hypothesis that the lesions result from cuspal flexure associated with heavy occlusal loading, and non-clinical models supporting this concept has limitations.<sup>7</sup>

There is a lack of consistency in the use of terms referring to different types of tooth wear in the literature.<sup>8,9</sup> For example, some researchers use the term erosion to describe overall tooth wear.<sup>8</sup> Anthropologists have also used all three terms, attrition, abrasion and erosion, inadvertently when referring to abrasion.<sup>9</sup> Furthermore, the context in which dental terms are used is different to that in the discipline of tribology that investigates the relationship between lubrication, friction and wear.<sup>10</sup>

In tribological terms, there are four fundamental frictional wear processes between opposing surfaces, including adhesive wear, abrasive wear, fatigue wear and tribochemical wear.<sup>10,11</sup> Adhesive wear occurs usually between sliding metals surfaces after asperities or microscopic projections on one surface becomes cold-welded to the opposing surface as a result of high attractive forces between them.<sup>10</sup> Abrasive wear refers to wear between opposing surfaces

resulting from asperities of a harder surface ploughing into a softer surface.<sup>11</sup> The surfaces are rubbed away either by direct contact between the surfaces (two-body abrasion), or in the presence of a slurry of abrasive particles (three-body wear).<sup>10</sup> Fatigue wear occurs when plastic deformation of the surface results in the propagation of subsurface micro-cracks, eventually leading to the loss of unsupported material.<sup>10,11</sup> Tribochemical wear occurs when a surface layer, whose inter-molecular bonds are weakened by a chemical reaction (corrosion), is removed by mechanical action of the opposing surface.<sup>12</sup> The generic definition for 'erosion' in tribology is the wear process of a surface caused by particles (solid or liquid) moving at a high velocity.<sup>1</sup>

Tribological terms for attrition and abrasion are two- and three-body wear respectively, and chemical dissolution of a material (erosion) is referred to as corrosion in tribology.<sup>1</sup> Although tribological terms provide a more accurate representation of wear processes than conventional dental terms, it is unlikely that they will be used routinely in dentistry. As the present study has a strong emphasis on clinical dentistry, established dental terms will be used to describe tooth wear processes throughout this thesis.

#### 1.2 Clinical appearance of different mechanisms of tooth wear

#### 1.2.1 Attrition

Teeth subjected to attritional wear present with well-defined, shiny wear facets that usually match with wear facets from the opposing arch.<sup>1</sup> Individuals with active tooth grinding can also display enamel chipping on the incisal edges of teeth (Fig. 1.1) and symptoms related to myofascial pain dysfunction.<sup>1</sup> An uneven occlusal table is also a common observation in individuals displaying heavy attrition (Fig. 1.2), but wear facets of teeth contributing to such unevenness can usually be matched in extreme mandibular positions (Fig. 1.3). Extreme mandibular position refers to the terminal phase of the tooth grinding (bruxing) cycle and does not occur during mastication.<sup>13</sup> In micrographs, wear facets display parallel striations following the direction of tooth grinding (Fig. 1.4).



Figure 1.1. Evidence of enamel chipping on the incisal edges of maxillary central incisor teeth (white arrows).



Figure 1.2

Figure 1.3

Figure 1.2. Dentition displaying attritional wear with uneven occlusal table.

Figure 1. 3. Same dentition shown in Fig. 1.2 in an extreme right mandibular position. Wear facets of teeth 11 and 31, and of teeth 12 and 42, match with each other.



Figure 1.4. Micrograph of a wear facet showing parallel striations running (horizontally) along the direction of attrition (tooth grinding).

#### 1.2.2 Abrasion

In pre-contemporary human populations, including Australian Aborigines, coarse food and grasping functions relating to the use of teeth as tools were the most common causes of abrasion, characterized by rounded off or blunt tooth cusps and pitting of tooth surfaces (Fig. 1.5).<sup>1</sup> Abrasion can also be caused by pipe smoking and grasping functions relating to the use of teeth as tools (for example, pencil chewing, nail biting, and seed crushing between teeth).<sup>1</sup> Micrographs of the surface of such teeth display scratch marks arranged haphazardly together with gouging and surface pitting (Fig. 1.6).<sup>2</sup> Dentine may also be scooped in abraded teeth because it is softer and wears faster than enamel (Fig. 1.5).<sup>1</sup>



Figure 1.5. Occlusal view of a mandibular right molar tooth displaying signs of abrasion, including pitting of the tooth surface.



Figure 1.6. Micrograph of the surface of a tooth displaying signs of abrasive wear which is characterized by scratch marks arranged haphazardly.

The most common form of abrasive tooth wear in modern human populations is believed to be associated with the formation of non-carious cervical lesions (NCCLs) (Fig. 1.7).<sup>14</sup> In a previous study assessing the micro-morphology of NCCLs in a sample of extracted teeth, around half of the specimens displayed evidence of 'rippled' horizontal furrows caused by toothbrush abrasion and erosion (Fig. 1.8).<sup>15</sup> Overall, abrasion and erosion were noted to be commonly associated aetiological factors in the formation of wedge-shaped NCCLs.<sup>15</sup> This finding contradicts the abfraction hypothesis which states that NCCLs form as a result of a concentration of tensile forces at the cervical region of teeth from cuspal flexure associated with heavy occlusal loading.<sup>4-6</sup>

NOTE:

This figure is included on page 7 of the print copy of the thesis held in the University of Adelaide Library.

Figure 1.7. Variation in the appearance of non-carious cervical lesions that extend from the cemento-enamel junction to the subjacent root surface in a mandibular right lateral incisor (A) and in a mandibular left central incisor (B). (Adapted from Nguyen et al.<sup>15</sup>)

NOTE: This figure is included on page 8 of the print copy of the thesis held in the University of Adelaide Library.

Figure 1.8. A micrograph of an NCCL showing numerous horizontal furrows that are around 20–100µm wide (x100 magnification). These furrows appear smooth which is characteristic of erosion. (Adapted from Nguyen et al.<sup>15</sup>)

#### 1.2.3 Erosion

The eroded enamel surface has a smooth and glazed appearance, with loss of micromorphological details (Fig. 1.9).<sup>12</sup> At an advanced stage, the tooth loses its original morphology (Figs. 1.9, 1.10, 1.11).<sup>16</sup> The convex profiles of the labial/ buccal and lingual surfaces of teeth may flatten, or concavities may develop with their widths exceeding their depths (Fig. 1.9).<sup>16</sup> Active erosion also leads to the removal of stains and acquired pellicle from the surface of the tooth, giving it a clean appearance.<sup>12</sup> Loss of enamel leads to increased translucency of the incisal and proximal surfaces of anterior teeth, and the tooth may appear darker in shade as a result of shadowing of underlying dentine.<sup>12</sup> On the occlusal surface, dentine exposure results in occlusal scooping or cupping of the cusp tips (Fig. 1.10). In micrographs, erosion lesions typically appear smooth and clean (Fig. 1.12) but may occasionally show honeycomb structures on enamel and exposed tubules in dentine.



Figure 1.9. Dental erosion is evident on the labial surfaces of maxillary left incisor and canine teeth, characterized by glazing, smoothing and loss of original tooth morphology.



Figure 1.10. Erosive tooth wear is evident on teeth 34, 35 and 36, characterized by scooping and cupping on the occlusal surface. The original occlusal morphology of these teeth has been lost.



Figure 1.11. Erosive tooth wear is evident on maxillary teeth characterized by cupping around cuspal regions of posterior teeth and notching of the palatal surfaces of incisor teeth.



Figure 1.12. Micrograph of an eroded lesion that appears smooth and clean compared with those of attrition (Fig. 1.4) and abrasion (Fig. 1.6).

#### 1.3 The prevalence of tooth wear

#### **1.3.1** The prevalence of tooth wear in pre-contemporary and modern populations

Tooth wear is a common occurrence in past and modern human populations.<sup>17</sup> Heavy abrasive tooth wear is characteristic of both ancient populations and present-day populations living under non-industrialized conditions (for example, ancient Anglo-Saxons, Egyptians and Australian Aboriginals), reflecting the nature of wear produced by abrasive diets (for example, fish, bones, seeds, nuts and sand in the food).<sup>18</sup> Furthermore, different patterns of tooth wear reflect cultural differences between human populations in the use of teeth as tools for various functions, including cracking and splitting bones, opening mollusc shells, cutting and tearing fresh meat, and preparing tools.<sup>18</sup> Barrett<sup>19</sup> reported that heavy functional demands on teeth of Australian Aboriginals before European settlement resulted in abrasive tooth wear characterized by reduction in crown height, interproximal wear and reduction in the length of the dental arches. This led to wider masticatory cycles and an edge-to-edge anterior bite relationship.<sup>19</sup> In the same population, the frequency of wear faceting resulting from tooth grinding (attrition) was also very high in 18-year-old individuals (ranging from 65% of premolar teeth to 90% of molar teeth), and the evidence of extreme mandibular movement was noted to occur in 93% of males and 100% of females.<sup>13</sup>

Studies investigating the prevalence of tooth wear in medieval and modern human populations indicate that erosive tooth wear is the primary wear process. Ganss et al.<sup>20</sup> reported a high prevalence of erosive tooth wear in medieval skulls from Germany compared with that of a modern population. Wiegand et al.<sup>21</sup> reported a high prevalence of erosion (32%) in primary teeth of 463 German children aged between two- and seven-years, with its distribution being highest in incisor teeth and lowest in molar teeth. The authors also found that 13.2% of children had at least one tooth with erosive tooth wear extending to the dentine or pulp. Deery et al.<sup>22</sup> reported that erosive tooth wear was common in adolescents in both the United States and the United Kingdom. Some caution is needed in interpreting the findings on the prevalence of

erosive tooth wear because all three wear processes (attrition, abrasion and erosion) contribute to general tooth wear in individuals.

Some researchers have described the general prevalence of tooth wear rather than focussing on erosive tooth wear. The prevalence of tooth wear in a sample of 1,007 British dental patients was about 97%, of which 7% of the tooth wear was classified as being pathological.<sup>23</sup> Dugmore and Rock<sup>24</sup> reported loss of surface characteristics of enamel in 50% of 1,753 British children aged 12-years. They also found that the proportion of individuals with extensive loss of enamel increased from 4.9% to 13.1% over a period of two years, and those with exposed dentine increased from 2.4% to 8.7%. In a recent British survey of 10,381 children aged between five-and 15-years, tooth wear was scored using the tooth surface loss index (TSL) on the labial and palatal surfaces of primary incisor and permanent incisor teeth and on the occlusal surface of permanent first molar teeth.<sup>25</sup> More than 50% of 5-year-old children displayed tooth wear, but TSL was less common and less severe in the permanent dentition of 12- and 15-year-old children. Bartlett<sup>26</sup> assessed the degree and progression of tooth wear in adult British patients who had been referred to a tooth wear clinic over a period of 18 years and found that it was a slow, minimally progressive process.

In a longitudinal study of Swedish adults conducted over six years, the prevalence of occlusal erosion increased from 3 to 8% in 26-30 year-old individuals and from 8 to 26% in 46-50 year-old individuals.<sup>27</sup> There is lack of information on the prevalence of tooth wear in adult Australians, but clinical studies on patients who have been referred to tooth wear clinics indicate that erosive tooth wear is common in this population.<sup>28</sup> Springbett et al.<sup>29</sup> also reported a high prevalence of tooth wear in the primary dentition of two cohorts of South Australian children, with 71% of all primary canines displaying exposed dentine in the younger age-group (with mean age of 4.7 years) compared with 100% of primary maxillary canines in the older age-group (with mean age of 10.6 years). The authors also noted that the frequency of faceting on enamel surfaces ranged from 50% to 85% in the younger age group, and from 83% to 100% in

the older age group. Bartlett and Dugmore<sup>8</sup> indicated that enamel wear is generally common in children and adolescents in modern populations, but that dentine wear associated with the loss of more than one-third of the crown is rare.

It is difficult to make direct comparisons about the prevalence of tooth wear between different studies because of differences in the methods used to assess tooth wear, including tooth wear indices. The two most commonly used tooth wear indices are those of Lussi et al.<sup>30</sup> and Smith and Knight,<sup>31</sup> but these indices have undergone many modifications over the years.<sup>8,32</sup> Inherent problems with existing wear indices are that they do not relate to aetiology or management of tooth wear.<sup>8</sup> Recently, a group of international experts proposed an international standard to record erosive tooth wear for both scientific and clinical purposes, termed the Basic Erosive Wear Examination (BEWE) index.<sup>33</sup> This index categorizes tooth wear into four grades according to severity, and wear scores are calculated in six different sextants in the oral cavity. Then, the highest scores from all sextants are added to obtain the final tooth wear score. This score can then be used to assess the overall risk of tooth wear and to help in formulating preventive and/or restorative management. Further research is needed to investigate its validity and reliability as an international standard for tooth wear assessment. Given that most reports on the prevalence of tooth wear have been based on around 1,000 subjects, it is also important to ensure that these studies are conducted on a large number of representative subjects from different populations.8

#### 1.3.2 Multifactorial aetiology of tooth wear

Studies investigating the prevalence of tooth wear have provided some insight into its multifactorial aetiology. Abrasion from harsh fibrous food in combination with heavy tooth grinding has been noted to be the primary cause of heavy tooth wear in a pre-contemporary Australian Aboriginal population.<sup>13</sup> Abrasive wear is also a characteristic feature of dentitions of pre-historic populations and hunter-gatherers.<sup>18</sup> In comparison, erosive tooth wear from dietary sources seems to be a modern-day condition.<sup>9</sup> Based on clinical examinations and

questionnaires focussing on dietary habits, Deery et al.<sup>22</sup> and Wiegand et al.<sup>21</sup> did not find associations between the occurrence of erosion and dietary factors. Other researchers have reported significant associations between erosion and carbonated drinks,<sup>24</sup> between erosion and acidic beverages (herbal and lemon tea),<sup>34</sup> and between erosion and citrus fruits, sports drinks, vomiting and presence of gastric symptoms.<sup>35</sup> There is a general consensus among clinicians and researchers that acidic diets cause erosion, and some caution is needed when interpreting data from questionnaires because of potential inaccuracy in subjects' recollections about past habits or events.<sup>35</sup>

It is generally believed that salivary factors, including acquired pellicle, are important in protecting teeth against tooth wear,<sup>36,37</sup> and that salivary dysfunction increases the risk of dental erosion by both extrinsic (dietary) and intrinsic acids.<sup>38</sup> Some in vitro, in situ and cohort studies<sup>35</sup> have also reported associations between dental erosion and compromised salivary factors (for example, salivary calcium and phosphate levels), but other studies do not support these findings.<sup>21,39</sup> Overall, these findings highlight the difficulty in assessing salivary factors with good reliability. In a review article, Dawes<sup>36</sup> reported that concentrations of both salivary electrolytes and proteins are influenced by glandular source, flow rate, nature and duration of stimuli, biological rhythms and hormonal factors. Furthermore, salivary flow rate displays daily and yearly variation and is associated with the degree of hydration of the body, body position, gland size and drug use.<sup>36</sup> Flink et al.<sup>40</sup> reported a significant three- to six-fold increase in unstimulated salivary flow rate (USFR) from 7.30am to 11.30am. The authors also found that a USFR of less than 0.1mL/min, characteristic of hyposalivation and Sjogren's syndrome, increased to more than 0.1mL/min in 70.3% of subjects during this period, supporting the notion that a lack of consideration of the variation in salivary properties can easily lead to misdiagnosis of salivary hyposalivation. Thus, future epidemiological studies with robust study designs are needed to further investigate the potential role of saliva in protecting against tooth wear.

#### 1.3.3 Physiological versus pathological tooth wear

Some clinicians consider any signs of tooth wear to be pathological and believe that teeth without wear are essential for a properly functioning "ideal" dentition.<sup>41</sup> However, the general consensus in clinical dentistry is that tooth wear is a slow, progressive process and is a physiological process in most individuals.<sup>8,16</sup> Kaidonis<sup>9</sup> indicated that gradual tooth wear in a pre-contemporary Aboriginal population was associated with physiological adaptation of the masticatory system, including widening of the 'teardrop' nature of the masticatory cycle, remodelling and flattening of the glenoid fossa, and continued tooth eruption. Based on the notion that the human dentition is designed for wear in the context of evolutionary adaptation,<sup>42</sup> tooth wear in this population can be considered to be physiological. However, the distinction between physiological and pathological forms of tooth wear can be difficult. Excessive tooth wear in modern populations is likely to be diagnosed as being pathological, even though the dentition may be functional. It is the perception of modern societies that white, unworn teeth represent health and youth, whereas a worn, yellowish dentition is usually attributed to old age and loss of power.<sup>16</sup> The diagnosis is more straight forward when the function is compromised by severe dentinal hypersensitivity, acute pulpal pain or chronic pulpal necrosis with periapical inflammation.<sup>16</sup>

Near or frank pulp exposure as a result of excessive tooth wear has been reported in around 11% of patients referred to a tooth wear clinic in Queensland, particularly on the palatal surfaces of maxillary incisor teeth and on the incisal surfaces of mandibular incisor teeth.<sup>43</sup> In another epidemiological survey of dental patients investigating the impact of various oral conditions in South Australia, pulpal infection was the most common condition causing moderate to extreme levels of discomfort followed by tooth wear and dentinal sensitivity.<sup>44</sup> In this study, a greater frequency of patients reported discomfort due to both tooth wear and dentinal hypersensitivity compared with other oral conditions (such as caries, denture problems, tooth fracture and periodontal disease). The impact of dentinal hypersensitivity on the quality of life, measured in terms of disability-adjusted life years (DALYs), was comparable with other oral conditions,

except that it was lower than that of pulpal infection. These findings are supported by findings from a different study, indicating that tooth wear had an impact on individuals' appearance, oral comfort and eating ability.<sup>45</sup>

There is a lack of standard guidelines to distinguish between physiological and pathological forms of tooth wear. Existing data on the prevalence of pathological tooth wear using the Smith and Knight index<sup>31</sup> are not soundly scientific based, but rather on the subjective judgement of the researchers.<sup>8</sup> Donachie and Walls<sup>46</sup> reported that the Smith and Knight index grossly overestimated the level of pathological tooth wear in British individuals aged 45 years or more, with the mean level of incisal wear scores in their study being classified as pathological according to the Smith and Knight index. After the authors argued that the threshold for detecting pathological tooth wear was inaccurate for the elderly population, Smith and Robb<sup>23</sup> revised these threshold values in their subsequent epidemiological study of tooth wear in 1996.<sup>8</sup> In this context, more defined and reproducible criteria need to be developed to differentiate pathological tooth wear from physiological tooth wear.<sup>8</sup>

#### 1.4 Methods for assessment of tooth wear

#### 1.4.1 Significance of in vivo, in situ and in vitro studies

In vivo studies provide more realistic information about tooth wear but they need to be conducted over a long period of time to detect significant changes, given that current methods for assessment of minute amounts of tooth wear in living subjects or using serial dental models are inadequate.<sup>47</sup> In situ model "can be placed at some point on the continuum between a clinical trial and an in vitro study", yet provides clinically relevant information in a relatively short period of time.<sup>48</sup> This model involves human subjects wearing intra-oral appliances containing slabs of enamel or dentine, but with demineralization and remineralization of tooth substrate as well as tooth wear measurements are conducted in vitro.<sup>47</sup> Given that in situ methods are unsuitable to investigate tooth wear related to attrition and given also that in vivo methods usually cannot separate the various components of tooth wear, in vitro studies have an

important role to play in our understanding of characteristics of tooth wear. Furthermore, in vitro studies are useful because various components of tooth wear can be investigated separately,<sup>49</sup> and a large number of teeth can be examined over a relatively short period of time. However, such results should only be used to provide information about general trends of tooth wear and they should not be extrapolated directly to the in vivo situation.<sup>47</sup>

#### 1.4.2 Different methods for assessment of tooth wear

Tooth wear can be assessed by using both quantitative and qualitative methods<sup>50</sup> but they vary in their precision from the macro- to nano-level. Attin<sup>51</sup> indicated that quantitative data are objective in nature and are desirable to investigate tooth wear. He also indicated that qualitative assessment can be subjective, but it complements quantitative findings by enabling the wear process to be visualized. The most popular method of tooth wear assessment in vivo involves tooth wear indices which rely on visual assessment of changes on teeth intra-orally or from study models or photographs.<sup>50</sup> However, wear indices cannot detect minute amounts of tooth wear. In comparison, most quantitative methods used in assessing tooth wear (for example, profilometry, microhardness and nanohardness, microradiography, chemical analysis of dissolved minerals and ultrasonic measurement of enamel thickness)<sup>51</sup> have high precision but are generally limited to in vitro and in situ situations. Most of these quantitative methods require special sample preparation, including sectioning and polishing of specimens flat to a level of around 1µm. Furthermore, they provide information on different aspects of tooth wear, and it is desirable to use them in combination to provide a holistic approach to understand the complex nature of tooth wear. Both quantitative and qualitative methods are used in the present study enabling assessments of small amounts of tooth wear together with visualization of the wear process.

Scanning electron microscopy is a popular method for qualitative assessment of tooth wear both in vitro and in vivo. Other methods, such as atomic force microscopy and mass spectrometry usually provide qualitative information but they can be used to provide partially quantitative

17

data with careful experimental design.<sup>51</sup> Methods with high precision can detect minute amounts of tooth wear but several areas of the same specimen need to be assessed to ensure representation of the whole specimen. A discussion of methods for assessment of tooth wear in this section will be limited to those methods which are commonly used, have high precision, and are considered to be suitable to investigate erosive tooth wear in vitro.

Profilometry is the most commonly used method for the assessment of tooth wear in vitro. It can involve a contacting profilometer (using a mechanical stylus) or a non-contacting profilometer (using laser or lights of different colours) that read co-ordinates of an object in two or three dimensions. Contacting profilometers provide accurate measurements but can damage the external surface of the tooth, whereas the accuracy of non-contacting profilometers may depend on the colour of the specimen tested. Furthermore, laser profilometers may produce 'overshots' at sharp edges, resulting in artefacts.<sup>51</sup> Although profilometers can be used to detect macroscopic changes to teeth,<sup>52</sup> most studies have used them to detect microscopic changes.<sup>53-56</sup> Some researchers have used profilometers to detect tooth wear below 1µm,<sup>53,57</sup> even though it is difficult to assess tooth wear accurately at that precision without reporting the validity and reliability of methods.<sup>51</sup> Profilometers require a stable reference plane to enable alignment of scanned surfaces before and after wear. It is difficult to use profilometers in vivo because such reference points need to be calculated using positions of best fit before and after wear.

Hardness measurements can provide reliable information about changes in the surface characteristics of dental hard tissues following erosive demineralization and remineralization. Two types of hardness measurements of teeth involve microhardness and nanohardness indentation, with both methods using indentors of given geometrical shapes. The size of indentation made on the tooth structure provides information about the hardness of the tooth structure and its susceptibility to wear, but the measurements tend to be difficult in dentine because of its elastic properties. Based on microhardness assessment, erosively demineralized enamel has been shown to be softer than sound enamel.<sup>58</sup> While microhardness indentation

provides general information about hardness of the bulk of the tooth structure, nanohardness indentation can be used to obtain hardness measurements and elastic modulus measurements more precisely. Previous studies have also shown that nanoindentation can be used to characterize biomechanical properties of sound tooth structure,<sup>59,60</sup> carious tooth structure<sup>61-63</sup> and eroded tooth structure.<sup>64-66</sup> Studies employing microhardness indentation typically use longer periods of demineralization and remineralization to detect measurable changes in mechanical properties of teeth, whereas nanoindentation is very sensitive to changes occurring during early stages of enamel dissolution. For example, nanohardness measurements have enabled researchers to detect enamel softening in vitro after erosion in citric acid (pH 3.3) for 2min<sup>67</sup> and in sports drinks for 10min,<sup>64</sup> whereas the experimental design for studies involving microhardness measurements has involved eroding teeth for around 30min or longer during each episode.<sup>68</sup>

Atomic force microscopy (AFM) and mass spectrometry are two relatively new techniques that also have potential to elucidate changes in tooth structure during the early stages of erosion. AFM scans the surface of a specimen at a very high resolution using a sharp tip and enables both quantitative assessment (involving height loss, surface roughness and nanohardness measurement) and qualitative assessment (involving high resolution surface topography). The resolution of AFM is greater than that of a profilometer.<sup>69</sup> Lippert et al.<sup>66</sup> used AFM to show that cola, lemonade and orange juice softened enamel. In a preliminary investigation, West and Jandt<sup>47</sup> showed that AFM could detect height loss of an abraded sealant in the order of around 5nm and they concluded that this technique might play a major role in future tooth wear research. AFM is also capable of exploring areas that are not accessible by other microscopic methods.<sup>47</sup> Furthermore, specimens can be tested in an ambient environment and do not undergo dehydration, unlike those used for scanning electron microscopy.

Secondary ion mass spectrometry (SIMS) is a very sensitive surface analytic technique, capable of detecting concentrations of elements or molecules at subparts per million. Lewis and

19

colleagues<sup>70,71</sup> have used this technique to identify corrosion products from CoCr orthopaedic implants at the site of physical wear. Barbour and Rees<sup>69</sup> described the application of SIMS in creating surface mapping of calcium and magnesium of an eroded enamel surface. SIMS has the potential to detect early mineral loss of eroded tooth surface, but further study is needed to validate its suitability for erosion studies.<sup>51</sup> SIMS has also been used to map chemical changes across an incipient carious lesion<sup>63</sup> and to elucidate the mechanism of fluoride uptake associated with CO<sub>2</sub> laser treatment on enamel.<sup>72</sup> In this context, this technique has the potential to provide insights into the mechanisms of tooth wear, including identification of third-body components responsible for lubrication at the wear interface between opposing tooth/tooth and tooth/material specimens.

Scanning electron microscopy (SEM) is commonly used to obtain high-resolution images of tooth surfaces, and the predominant wear process can be identified based on micrographic assessments. For example, a micrographic assessment of non-carious cervical lesions (NCCLs) showed that toothbrush abrasion and erosion were noted to be commonly associated aetiological factors.<sup>15</sup> SEM has also been used to examine erosive tooth wear both in vitro<sup>73</sup> and in vivo.<sup>74</sup> The use of SEM assessment in combination with profilometry has also provided insights into the complex relationship between wear rates, loads and pH values at the wear interface, improving our understanding about the characteristics of opposing tooth surfaces and the role of third body components in lubricating the wear interface.<sup>52,54</sup> The main advantage of SEM over other qualitative techniques is that tooth surfaces do not need to be prepared flat and polished, thus natural tooth surfaces can be examined reliably. However, specimens may require removal of a surface smear layer by treatment with chemicals (for example, acid etch and sodium hypochlorite), which may alter the surface morphology. Caution is needed in interpreting cracks in micrographs because dehydration of specimens under vacuum can cause crack propagation.

#### 1.5 Interaction between common forms of tooth wear

It has been shown in many clinical studies that tooth wear usually occurs as a combination of different wear processes (attrition, erosion and abrasion),<sup>7</sup> and that these wear mechanisms in combination can result in more destructive tooth wear than if these processes occurred independently.<sup>3,75</sup> However, it is often difficult during clinical examinations to determine the major cause of tooth wear or to assess how different wear processes have interacted with each other.<sup>7</sup> An understanding of the characteristics of tooth wear caused by a combination of different wear processes not only assists in clinical dentistry, but also provides insight into tribological aspects of tooth wear that may be useful in developing new methods of wear prevention.

#### **1.5.1** Combination of attrition and erosion

Previous in vitro studies have focussed on dental erosion, partly due to the relative ease of designing laboratory experiments to investigate the pathogenesis of erosion. Thus, the role of attrition in tooth wear has been under-researched.<sup>49</sup> Only a few in vitro models have been described by researchers from Europe and Australia to investigate the characteristics of wear between opposing tooth specimens.

Recently, Bartlett and colleagues from London (UK)<sup>76</sup> described an attritional model to investigate the effect of fluoride on dentine wear by treating flat, polished dentine specimens obtained from mandibular third molar teeth with a concentrated fluoride varnish (containing 22,600ppm fluoride at pH 3.93) for 24 hours. The specimens were then slid against enamel cusps of premolar teeth over a horizontal distance of 1mm for 5,000 cycles under a load of 150N using artificial saliva as a lubricant. The authors observed that fluoride application did not reduce enamel wear. Eisenburger and Addy from Bristol (UK)<sup>54</sup> described a model of investigating enamel wear by sliding opposing enamel surfaces against each other in a tooth wear machine for 2,280 cycles under a load of 6N (600gm) with citric acid (at pH 3.2, 5.5 and 7.0) and saline (pH 7.5) as lubricants. Attritional wear of enamel in a neutral environment was observed to be greater than that in acidic conditions due to smoothing effect of erosion on opposing enamel surfaces at the wear interface.

Kaidonis and his colleagues from Adelaide (Australia)<sup>77-79</sup> have developed an electromechanical tooth wear machine which has provided some insights into the complex nature of wear in enamel, dentine and restorative materials under conditions simulating attrition and erosion. A non-linear relationship between applied force and the rate of enamel reduction has been established, with the combined effects of attrition and erosion resulting in very rapid rates of tooth wear at low pH (simulating gastric regurgitation at pH 1.2).<sup>77</sup> The rate of enamel reduction was slow at all but the highest loads (>140N) in a moderately acidic environment (pH 3.0).<sup>77</sup> It has also been shown that the rate of wear of enamel is lower than that of dentine at low loads in a neutral environment but the rates are more comparable at higher loads, possibly due to the harder but more brittle nature of enamel and the more elastic nature of dentine.<sup>78</sup> A recent study has established that the relationship between wear, loads and pH for wear occurring between opposing enamel and dentine specimens is complex.<sup>52</sup> In particular, when enamel wears against dentine in an acidic environment, enamel will wear more rapidly at very low pH, while under less acidic conditions dentine will wear faster than enamel.<sup>52</sup> Wear facets of both enamel and dentine produced at pH 3.0 (hydrochloric acid) were noted to be smoother than those prepared at pH 6.1 (deionized water).<sup>52</sup> These findings are supported by explanations provided by Eisenburger and Addy,<sup>54</sup> who described differences in wear mechanisms in opposing enamel specimens at pH 3.0 and 7.0. Stress cracks and rough asperities break away from enamel surfaces resulting in fatigue wear and "three-body" abrasion at around pH 7.0. In contrast, at pH 3.0, enamel is softer and hydroxyapatite crystals at the surface become thin due to erosive demineralization.<sup>7</sup> Abrasion removes this softened layer, but not the sound, deeper enamel structure, so that smoother asperities on opposing enamel surfaces contribute to welllubricated, "two-body" abrasion.54

Most models used to investigate erosive tooth wear involving attrition are based on the notion that attrition and erosion occur concurrently. There are reports on the association between bruxism and gastric reflux in individuals.<sup>80</sup> There is some controversy regarding whether bruxism occurs during rapid eye movement (REM) or non-REM stages of sleep. Lavigne et al.<sup>81</sup> noted that rhythmic masticatory muscle activities (RMMA) occurred consistently in all stages of sleep. Other studies have indicated that bruxism occurs during REM sleep stage, whereas some authors have reported that bruxism occurs during both REM and non REM sleep stages.<sup>82</sup> Lavigne et al.<sup>81</sup> also found that bruxing episodes with grinding noises occurred during both rapid eye movement (REM) and non-REM stages of sleep, and that the mean frequency of bruxism episodes was 30.5 episodes per night (ranging from one to 84 episodes per night).

In comparison, gastric reflux episodes in individuals suffering from reflux oesophagitis have been noted to occur more frequently during non-REM sleep.<sup>83</sup> Gastro-oesophageal reflux is a common physiological process that occurs for about an hour per day in healthy subjects, but gastro-oesophageal reflux disease (GERD) is a pathological condition that produces signs and symptoms of tissue injury within the oesophagus, oropharynx, larynx and respiratory track.<sup>84</sup> Reflux oesophagitis is the most common form of GERD, recognized by the presence of symptoms (e.g. heartburn) and the presence of mucosal inflammation in the oesophagus.<sup>84</sup> It can be caused by transient relaxation of lower esophageal sphincter, delayed gastric emptying and hiatus hernia.<sup>85</sup>The frequency of reflux episodes has been reported to be greater than 100 during an eight-hour sleep in individuals suffering from obstructive sleep apnoea syndrome (OSAS).<sup>86</sup>

Information about sleep bruxism and gastric regurgitation indicate that both bruxism and gastric regurgitation can occur concurrently. Data on changes in intra-oral pH during sleep-related reflux episodes are scarce because previous researchers have limited their measurements to the distal oesophagus. Given that sleep facilitates proximal migration of acid and increases the probability of pulmonary aspiration,<sup>87</sup> regurgitation of gastric acid into the oral cavity may be a

common occurrence in individuals at high risk of GERD. It has been reported that OSAS and obesity seem to predispose individuals to nocturnal GERD.<sup>83</sup>

The amount of force generated during bruxism has been estimated to be, on average, three times that of functional chewing force of around 6.75 pounds (equivalent to 31N).<sup>82</sup> Li et al.<sup>76</sup> have indicated that there is little consensus on selection of loads in previous in vitro studies of attrition, with values ranging from 2 to 162N.<sup>54,77,88</sup> Other researchers have reported higher mean values for maximum voluntary bite (clenching) forces in humans, ranging from 189 to 1,181N,<sup>89-92</sup> but reported maximum nocturnal bite forces, on average, are relatively lower and range from 11 to 423N.<sup>91,93</sup> Maximum clenching forces are static forces that occur rarely in individuals,<sup>90,93</sup> and dynamic forces are more relevant to tooth wear involving attrition. Information on bite forces involved with chewing, on average, have been noted to be around 60 to 162N.<sup>90,94</sup> Thus, a load of 100N selected in previous studies<sup>52,95</sup> falls within the physiological limits of dynamic bite forces in humans.

#### 1.5.2 Combination of toothbrush abrasion and erosion

Normal tooth brushing habits with toothpaste are unlikely to produce excessive wear over a lifetime but the super-imposed influence of acids is known to increase wear rates.<sup>7</sup> However, an eroded tooth surface is susceptible to mild toothbrushing action or friction from the tongue.<sup>7</sup> Generally, toothbrush abrasion does not occur concurrently with erosion, but occurs after an intake of erosive diet. Thus, most previous researchers have investigated erosive wear involving toothbrush abrasion at around pH 3.0 simulating acidic diet rather than at lower pH values simulating gastric regurgitation.

Eroded enamel has a shallow, softened subsurface layer, with crystals being thin<sup>96,97</sup> and vulnerable to mechanical wear (for example, toothbrush abrasion).<sup>55</sup> Similarly, eroded dentine has a demineralized, subsurface layer<sup>98</sup> which is susceptible to wear by toothbrush abrasion.<sup>7</sup>

Dentine is more susceptible than enamel to toothbrush abrasion alone or in combination with erosion.<sup>57</sup> There is also some evidence showing that the abrasivity of toothpaste (often quantified using Relative Dentine Abrasivity values) increases abrasive wear in dentine but not in enamel.<sup>57</sup>

Our understanding of in vitro assessment of erosion on enamel and dentine, and erosive tooth wear involving toothbrush abrasion, is primarily based on models developed by European researchers (for example, Vieira et al.<sup>99</sup>). Their in vitro study design typically involves wear regimes, each involving erosion of flat, polished tooth specimens at around pH 3.0 followed by toothbrush abrasion in the presence of a slurry of toothpaste and artificial saliva. The specimens are stored in artificial saliva between wear regimes to simulate remineralization by natural saliva in vivo. It has been suggested that treatment of eroded enamel with artificial saliva for two hours partially rehardens enamel in vitro,<sup>100</sup> but Vanuspong et al.<sup>101</sup> indicated that this is less likely to occur in dentine. Nevertheless, Attin et al.<sup>102</sup> have observed that delaying toothbrush abrasion. A total of 600 brushing strokes applied during three wear regimes on bovine teeth was reported by Vieira et al.<sup>99</sup> to correspond to around two years of wear.

Most toothbrush abrasion models have used reciprocal toothbrush action against stationary tooth specimens, <sup>99,103</sup> but Parry et al.<sup>104</sup> suggested that the movement of reservoirs containing tooth specimens and toothpaste slurry against stationary toothbrushes would better maintain slurry homogeneity at the wear interface. Parry et al.<sup>104</sup> also reported that toothbrushing forces selected in previous in vitro studies ranged from 0.1N to 8.8N. However, a force of around 2N has been commonly used by most researchers to simulate normal toothbrushing force in vivo.<sup>56,99</sup> To enable detection of minute amounts of tooth wear using profilometry, most previous studies have used flat and polished specimens rather than natural tooth surfaces. However, it should be noted that natural tooth surfaces erode more slowly than polished surfaces.<sup>105</sup>

#### 1.6 Current methods for tooth wear prevention and gaps in knowledge

As tooth wear can result occasionally in extensive loss of enamel and dentine, leading to dentinal sensitivity and pulpal exposure,<sup>106</sup> dental professionals have a responsibility to identify individuals at risk and to preserve the long-term health of teeth.<sup>107</sup> It is not always practical to eliminate the causes of tooth wear, so effective preventive strategies need to be developed as part of clinical management.<sup>108</sup> Underlying medical conditions predisposing individuals to dental erosion (for example, anorexia and bulimia nervosa, gastroesophageal reflux disease) need to be recognized and managed as necessary, including referral to a medical practitioner or a clinical psychologist.<sup>109</sup> Previously suggested strategies for preventing erosive tooth wear involving toothbrushing include the application of a protective resin coating on teeth<sup>108</sup> or of topical fluoride that increases the resistance of tooth structure against erosive wear.<sup>110</sup> The management of erosive tooth wear involving attrition includes dietary advice, stress management, wearing a nightguard, use of fluoride and complex restorative work.<sup>37</sup> Other strategies for prevention of erosion include identification of the cause, enhancement of salivary flow and buffering capacity, and maintenance of tooth surface integrity by using remineralizing agents (such as fluoride and casein phosphopeptide - stabilized amorphous calcium phosphate, CPP-ACP).<sup>111</sup> Given that most recommendations for the management of erosion using remineralizing agents have been based on in vitro studies and have been extrapolated from evidence for the management of dental caries, well-designed clinical trials are needed to test their effectiveness in managing dental erosion.<sup>111</sup>

Previous in vitro and in situ studies have provided a foundation for the development of strategies for tooth wear prevention in vivo. Earlier reports indicated that fluoride provided minimal protection to enamel against dental erosion<sup>112,113</sup> and against toothbrush abrasion after an erosive eopisode.<sup>114</sup> However, more recent reports generally agree that fluoride protects enamel and dentine against erosion at around pH 3.0.<sup>115-117</sup> Hove et al.<sup>118</sup> observed that intensive application of fluoride provided significant protection against enamel erosion under conditions simulating gastric reflux at pH 2.0. In contrast, Willumsen et al.<sup>119</sup> found that sodium or

stannous fluoride did not protect enamel against erosion at pH 1.2, although stannous fluoride provided greater protection against enamel erosion at pH 2.0 than sodium fluoride.<sup>118</sup> Other reports have indicated that topical fluoride can protect enamel and dentine against a combination of erosion and toothbrush abrasion,<sup>102,103,120</sup> but not against attritional wear between opposing enamel and dentine specimens.<sup>76</sup> Thus, there is still a need to improve preventive strategies relating to erosive insults on teeth.<sup>107</sup>

#### 1.6.1 Remineralizing agents, including CPP-ACP

In an in vitro study investigating the effect of frequent application of remineralizing agents on enamel erosion, Lennon et al.<sup>14</sup> found that highly fluoridated acidic amine gel (containing 12,500ppm F) provided significant protection to enamel from erosion, but Topacal (casein/calcium-containing gel, CasCP), 250ppm F, or a combination of CasCP and 250ppm F did not provide any protection. In a recent study on prevention of wine erosion, Tooth Mousse® (TM, GC Corporation, Japan), which is a water-based cream containing a remineralizing agent in the form of 10% casein phosphopeptide stabilized amorphous calcium phosphate (CPP-ACP), reduced erosion by citric acid<sup>121</sup> and white wine.<sup>122</sup> These findings are supported by the observation that four separate applications of TM within a period of 48hrs significantly increased microhardness of enamel that had been eroded for 8min.<sup>68</sup>

Recent in vitro studies on mineral supplementation to acidic solutions have also provided encouraging results in reducing tooth wear. Attin et al.<sup>123</sup> reported that adding calcium, phosphate and fluoride supplements to citric acid drink (pH 2.2) significantly reduced its erosive potential. Jensdottir et al.<sup>124</sup> also confirmed that addition of calcium and phosphate reduced the erosive potential of orange juice. Ramalingam et al.<sup>125</sup> showed that adding CPP-ACP (casein phosphopeptide and amorphous calcium phosphate) ranging in concentration from 0.09 to 0.25% to the sports drink Powerade provided a protective effect against enamel corrosion. Scanning electron micrographs of enamel treated with 0.63% to 0.25% CPP-ACP in this study showed superficial granular structures adherent to enamel surface, which the authors speculated to be remineralized enamel crystals, repair crystals, deposits formed as a result of subsequent demineralization and remineralization, redeposited mineral phase from CPP-ACP, or remineralization of the smear layer created during sample preparation. These findings suggest three potential mechanisms by which CPP-ACP reduced erosion, including reduction in the erosive potential of the sports drink, remineralization of the eroded lesion and physical protection of the enamel surface by precipitates of CPP-ACP. Further research is needed to clarify the mechanisms by which CPP-ACP reduces tooth wear.

The role of CPP-ACP as an anticariogenic agent has been well documented, <sup>126,127</sup> and current evidence from in vitro studies is promising in relation to its use in the management of erosion. Its properties and potential for use in preventing tooth wear are discussed further in the following chapters of this thesis. In a recent in vitro study, attritional wear of dentine was almost eliminated with continuous application of a paste containing an anticariogenic remineralizing agent CPP-ACP (casein phosphopeptide - amorphous calcium phosphate nanocomplexes) compared with hydrochloric acid lubricant (pH 3.0) and deionized water lubricant.<sup>95</sup> Furthermore, intermittent application of CPP-ACP paste also reduced dentine wear at both acidic and near neutral environments, highlighting its lubricating and remineralizing properties in reducing erosive dentine wear.<sup>95</sup> These findings are supported by observations that CPP-ACP can reduce dental erosion by citric acid,<sup>121</sup> white wine<sup>122</sup> and sports drinks.<sup>125</sup> These findings warrant an investigation of the effect of CPP-ACP on reducing other forms of erosive tooth wear involving attrition and toothbrush abrasion. These findings have the potential to lead to the development of a new method for tooth wear prevention. Indeed, there is a need for better strategies of tooth wear prevention because fluoride only provides partial protection against erosive tooth wear. Furthermore, little is understood about the role of remineralizing agents in reducing attritional wear, and nightguards, which are the only accepted method to prevent attritional wear,<sup>49</sup> may not be tolerated by some individuals.

#### **1.6.2** Need for new, more effective methods of tooth wear prevention

Attempts to develop better strategies of tooth wear prevention conform with the paradigm of minimal intervention dentistry, which indicates that clinical management of tooth wear should focus on early detection and prevention before a restorative approach is considered.<sup>128</sup> This is becoming an issue of increasing importance in clinical dentistry, given that existing evidence points to an increase in the prevalence of tooth wear as more elderly patients are retaining their natural teeth to a stage when they present with extensive wear.<sup>128</sup> In addition, more younger patients are also presenting with erosive wear of both primary and permanent teeth.<sup>74,129</sup> As erosion in the primary teeth is an indicator of erosion in permanent teeth,<sup>130</sup> early intervention is desirable.

#### 1.7 Preliminary findings, aims and hypotheses

#### **1.7.1** Preliminary findings

A previous study investigating the role of wet and dry lubricants on enamel wear reduction has shown that lubricants between opposing specimens reduced enamel wear by producing highly polished wear facets.<sup>131</sup> A recent study reported that attritional wear of dentine was almost eliminated with continuous application of TM (pH 7.2) compared with hydrochloric acid lubricant (pH 3.0) and deionized water lubricant (pH 6.1).<sup>95</sup> Furthermore, intermittent application of TM reduced dentine wear in both acidic and near neutral environments, highlighting its lubricating and remineralizing properties in reducing attritional dentine wear.<sup>95</sup> As TM was applied intermittently between erosive wear episodes and appeared to have been washed off during visual assessment,<sup>95</sup> it seems that traces of its ingredients (for example, glycerol and CPP-ACP nanocomplexes) adhered to the enamel surface and then lubricated the wear interface by acting as third-bodies. These findings point to the need to test the effectiveness of TM in reducing erosive enamel wear and to better understand the types of third bodies at the wear interface contributing to wear reduction.

Recent advances in sensitive surface analytic techniques have enabled the detection of traces of materials on an implant or a tooth surface. Previous researchers have used ToF-SIMS to identify corrosion products at sub-parts per million from CoCr orthopaedic implants at the site of physical wear.<sup>70,71</sup> SIMS has also been used to map chemical changes across an incipient carious lesion<sup>63</sup> and to elucidate the mechanism of fluoride uptake associated with CO<sub>2</sub> laser treatment on enamel.<sup>72</sup> In this context, ToF-SIMS has the potential to identify the types of third-body components at the wear interface, and to elucidate the mechanism by which TM reduces tooth wear.

#### 1.7.2 Aims and hypotheses

The aim of this research project was to determine the effect of TM on enamel wear under in vitro conditions simulating three clinical situations: (i) heavy attrition at a load of 100N in combination with severe acid erosion simulating gastric regurgitation at pH 1.2; (ii) toothbrush abrasion after an exposure to dietary acid at pH 3.2; and (iii) erosion from gastric regurgitation at pH 1.2. In addition, potential modes of action of TM in preventing enamel wear were investigated by characterizing the enamel surface after treatment with TM using ToF-SIMS.

It was hypothesized that enamel wear would be reduced by intermittent application of TM under all three conditions involving a combination of attrition and erosion, toothbrush abrasion and erosion, and erosion alone. It was also hypothesized that ToF-SIMS analysis would be able to detect traces of ingredients of TM (for example, calcium and glycerol) on the enamel surface after treatment with TM. Although other ingredients of TM with a sticky consistency (for example, D-sorbitol, propylene glycol, sodium carboxycellulose and guar gum) may contribute to wear reduction, the present study was limited to the preliminary investigation of calcium, phosphorous and glycerol contents.

The findings from this project have direct application in the clinical management of tooth wear. They supplement other data on tooth wear by simulating tooth-to-tooth contact and three-body wear, and will lead to the developmental of a tribological model of tooth wear from which general conclusions should be able to be drawn about clinical situations, including bruxism and acid regurgitation. They will supplement other ongoing studies of tooth wear, including a longitudinal study of tooth wear in oenology students as well as other clinical and genetic studies being undertaken by the Craniofacial Biology Research Group, School of Dentistry, The University of Adelaide.

### **CHAPTER 2**

### **GENERAL MATERIALS AND METHODS**

This chapter provides a general description of the materials and methods used in the present study. Specific experimental details on tooth wear prevention relating to a combination of attrition and erosion, a combination of toothbrush abrasion and erosion, and erosion alone are covered in Chapters 3, 4 and 5, respectively. Experimental details relating to the characterization of enamel surfaces using ToF-SIMS are covered in Chapter 6.

#### 2.1 Project outline

The effect of Tooth Mousse® (TM) in reducing enamel wear was tested using three established models of tooth wear (Table 1). Experimental details relating to these models are provided further in this chapter (section 2.3.2). A separate model was used for ToF-SIMS analysis. The effect of TM in reducing enamel wear was also compared with that of Tooth Mousse Minus (TM- without CPP-ACP) in these models. The attrition/erosion model to simulate wear of opposing enamel specimens was based on a previous model that has been used extensively by researchers in the School of Dentistry at the University of Adelaide in the investigation of enamel and dentine wear.<sup>52,77</sup>

The toothbrush abrasion/erosion and erosion models were adopted from established models developed by European researchers. The toothbrush erosion/abrasion model used in the present study is similar to that applied by Vieira et al.<sup>99</sup> to investigate the effect of fluoride treatment on erosive enamel wear after 600 toothbrushing cycles. To simulate the intra-oral environment, toothbrush abrasion was conducted in the presence of a toothpaste-artificial saliva slurry. A two-hour remineralization period with artificial saliva was also included between wear regimes. The erosion model was essentially similar to that of the toothbrush abrasion/erosion model, but without the toothbrush abrasion component.

The ToF-SIMS model for characterization of enamel specimens treated with TM was adopted from that of Lewis et al.<sup>70,71</sup> This model has been used to identify corrosion products from CoCr orthopaedic implants at the site of physical wear.

on chumer weur unde	i unici ent conditions.		
Experimental conditions	Simultaneous occurrence of attrition (100N) &	Erosion (pH 3.2) followed by toothbrush	Erosion alone (pH 1.2)
	erosion (pH 1.2)	abrasion (2N)	
Type of electro-mechanical tooth wear machine	Tooth wear (attrition) machine designed in the University of Adelaide	Toothbrushing machine designed in King's College London	-
Experimental groups (n = 12 per group)	Experimental group 1 (paste with CPP-ACP)	Experimental group 1 (paste with CPP-ACP)	Experimental group 1 (paste with CPP-ACP)
	Experimental group 2 (paste without CPP-ACP)	Experimental group 2 (paste without CPP-ACP)	Experimental group 2 (paste without CPP-ACP)
	Control group (no paste)	Control group (no paste)	Control group (no paste)
Contour of specimens (obtained from human third molar teeth)	Natural enamel surfaces with wear facets	Flat enamel surfaces from buccal and lingual surfaces of teeth polished to 1µm	Flat enamel surfaces from buccal and lingual surfaces of teeth polished to 1µm
Number of wear episodes (with each episode comprising wear cycles and demineralization and remineralization stages)	Around 63 episodes	10 episodes	10 episodes
Number of wear cycles in each wear episode	160	200	(No mechanical wear)
Steps in each wear episode	Attrition under a load of 100N at 80 cycles per minute + erosion at pH 1.2 for 2min (160 cycles) Washing (30s) + air drying (15s) Application of paste with and without CPP-ACP (or no paste) for 5min Washing (2min) + air drying (15s)	Application of paste with and without CPP-ACP (or no paste) for 5min Washing (2min) + air drying (15s) Erosion in 0.3% citric acid (pH 3.2) for 10min Washing (1min) + blot- drying with paper towels Toothbrushing in a toothpaste and artificial saliva slurry (1:3 weight ratio) under a load of 2N at 100rpm Washing (1min) + blot- drying with paper towels Storage in artificial saliva (2hrs)	Application of paste with and without CPP-ACP (or no paste) for 5min Washing (2min) + air drying (15s) Erosion in 0.3% citric acid (pH 3.2) for 10min Washing (1min) + blot- drying with paper towels Storage in artificial saliva (2hrs)
Assessment of tooth wear	Quantitative: Volume worn (mm <sup>3</sup> ) using 3D profilometry + Qualitative: Scanning electron microscopy (SEM)	Quantitative: Erosion depth (µm) using 3D profilometry	Quantitative: Erosion depth (µm) using 2D profilometry
Thesis chapter	3	4	5
Relevant publications	Paper 2. Section 9.1.1.1	Paper 3. Section 9.1.1.1	_

# Table 1. Summary of the experiments conducted to investigate the effect of CPP-ACP paste on enamel wear under different conditions.

#### 2.2 Sample preparation

Fresh human third molar teeth were selected from a collection of extracted teeth for all four models, including three models of tooth wear and a separate model of ToF-SIMS analysis. They were collected as part of medical waste following routine dental care at the Adelaide Dental Hospital and King's College London. The teeth collected in the Adelaide Dental Hospital had been stored in formalin (Chapters 3, 5 and 6) and those collected in the King's College London had been stored in saturated aqueous thymol solution (Chapter 4). The teeth were sectioned into buccal and lingual halves and were then mounted so that mechanical testing could be undertaken. In the attrition/erosion model, opposing enamel specimens were not polished flat but the natural surfaces were worn against each other. To create a reference plane to enable the assessment of tooth wear, three 2mm diameter spherical steel balls were mounted around each specimen. For the other two models of tooth wear and the model for ToF-SIMS analysis, the specimens were polished flat with silicon carbide sandpapers and diamond discs. Flat areas adjacent to enamel specimens were used as reference planes to calculate wear depths in both the toothbrush abrasion/erosion and erosion models.

#### 2.3 Mechanical testing

#### 2.3.1 Tooth wear machines and ToF-SIMS equipment

#### 2.3.1.1 Tooth wear (attrition) machine

Two electro-mechanical tooth wear machines built to the same specifications were used to investigate attritional wear in this study (Fig. 2.1). A detailed description of the electromechanical tooth wear machine has been given by Kaidonis et al.<sup>77</sup> This machine has two components: an upper, mobile cam-driven unit and a lower fixed unit (Fig. 2.2). The machine is driven by a 75-watt D.C. electric motor configured to operate the upper unit at variable speeds. The motor powers a 10:1 reduction gearbox that moves a series of interchangeable cams in the upper unit. Both units have holders that allow mounted specimens to be accurately repositioned at all times. Depending on the selected cam, movement can be controlled in two dimensions
(horizontal and vertical). A simple adjustment screw on the cam follower accurately establishes the degree of movement in the horizontal plane, allowing for control of the duration of contact of opposing specimens. A magnetic counter, attached to the resultant drive of the gearbox, records the number of wear cycles.



Figure 2.1. Electro-mechanical tooth wear machines used to investigate tooth wear at different loads and pH values. Each machine has a mobile upper unit and a fixed lower unit. A detailed description of these units is provided in Fig. 2.2.

The upper mobile compartment of the machine was designed to support weights for applying loads to the specimens. Without the addition of load, the inherent weight of the upper component is 32N. Additional weights can be added to the upper unit to investigate tooth wear

at higher loads. To reduce the load of the upper unit below 32N, loads can be added to the upper unit to counterbalace its weight using an overhead pulley system.



Figure 2.2. A frontal view of the tooth wear machine showing the upper (mobile) and lower (fixed) units. Each unit is composed of a cylindrical holder (H) into which SEM stubs with enamel (E) and dentine (D) specimens can be mounted adjacent to three spherical steel reference balls using acrylic resin (AR). The upper, cam-driven unit rotates in a clockwise direction with an amplitude of 4mm. It weighs 32N and can support additional weights. A plastic drip (PD) supplies lubricants at the wear interface. (Adapted from Ranjitkar et al.<sup>52</sup>)

## 2.3.1.2 Toothbrushing machine

The design of the toothbrushing machine that simulates a horizontal scrub technique has been described previously by Bartlett and colleagues (Fig. 2.3).<sup>114,132,133</sup> This machine is driven by a motor and has four reciprocating arms attached at each corner. The end of each arm has a rectangular slot on which toothbrush heads can be mounted. A small cylindrical load is attached to each brushing arm, and additional loads can be attached to each rectangular slot so that each

brush holder weighs 2N. Each reciprocating arm extends into a Perspex baths (120mm long x 60mm wide x 70mm deep) containing mounting bases to hold tooth specimens. During action, each toothbrush head that is attached to a reciprocating arm can scrub against a tooth specimen in a Perspex bath at a frequency of 100 revolutions per min (rpm). The bath can be filled with various solutions, for example a slurry of tooth paste and artificial saliva.



Figure 2.3. Toothbrushing machine which is driven by a motor and has four reciprocating arms (with horizontal movements in the left-right direction), each extending to a Perspex bath containing a tooth specimen. The arrow for "holder for toothbrush head" points to the load attached on top of the holder. A toothbrush is attached to the lower end of this holder and a tooth specimen is positioned beneath it. (Note: A tooth specimen is not shown in this picture.)

## 2.3.1.3 ToF-SIMS equipment

Secondary ion mass spectrometer (SIMS), one of the most sensitive of all the surface analytical

techniques, is capable of measuring concentrations at subparts per million for elements such as

calcium and phosphorous.<sup>70,71</sup> Time of Flight-Secondary Ion Mass Spectrometry (ToF-SIMS) involves desorption of ionised particles from the surface with an energetic primary ion beam, followed by acceleration through a ToF-analyzer by applying a high voltage potential between the sample surface and the mass analyser.<sup>134</sup> Secondary ions travel through the ToF-analyzer with different velocities, depending on their mass to charge ratio (Fig. 2.4). Finally, a full mass spectrum is obtained for each primary ion pulse by converting arrival times of secondary ions to their masses.<sup>134</sup> ToF-SIMS is a highly surface sensitive technique, as only the secondary ions generated from the outer 10-20Å region have enough energy to escape the surface for detection and analysis.<sup>134,135</sup>

ToF-SIMS measurements were performed using a PHI TRIFT 2100 ToF-SIMS equipped with a gallium liquid metal ion gun (LMIG) operating with a beam current of 1nA and a net impact energy of 20keV. In spectrographic mode the instrument allows chemical characterization for selected areas and, with careful experiment design, it can be quantitative. In imaging mode, the ToF-SIMS produces maps of chemical species on surfaces at concentrations of parts per million, with the spatial resolution being 1-2 $\mu$ m. Depth profiles can be performed by scanning an area of 195 x 180 $\mu$ m with a continuous beam, with analyses taken at regular time intervals from the central 100 x 90 $\mu$ m area. Sputter times for the depth profiles can be converted to material removal depths by calibrating the instrument with a 100nm Ta<sub>2</sub>O<sub>5</sub> film on Ta foil and using a Monte Carlo ion Trajectory computer program (TRIM) to estimate the sputter rates of the relevant materials.



Figure 2.4. Schematic diagram of Time of Flight-Secondary Ion Mass Spectrometry (ToF-SIMS) equipment.<sup>134</sup> When the surface is bombarded with a primary ion gun (for example, Ga+ gun), ionic particles are emitted from the surface and then accelerated into the time of flight chamber (including Sectors 1, 2 and 3). A full mass spectrum is obtained at the Detector by converting the arrival times of secondary ions to their masses (reproduced with permission from Physical Electronics).

#### **2.3.2** General experimental protocols

In the attrition/erosion model, enamel surfaces were worn against each other under a load of 100N with hydrochloric acid lubricant (pH 1.2) in two electro-mechanical tooth wear machines. A primary phase lasting 20,000 wear cycles under a load of 32N with deionized water lubricant (pH 6.1) ensured that wear facets of similar surface areas were prepared well into enamel before its wear characteristics were assessed. The characteristics of enamel were then assessed in the secondary wear phase for around 10,000 cycles at pH 1.2. Enamel was worn nearly to the level of the dentino-enamel junction by the end of this many cycles. As tooth wear cannot be tested under all possible conditions that occur in the intraoral environment, a severe environment simulating heavy attrition (under a load of 100N) and gastric regurgitation (at pH 1.2) was chosen in this study. The rationale behind selection of a 100N load to simulate heavy attrition in

the tooth wear (attrition) machine has been described in a previous report<sup>52</sup> and also discussed in Chapter 1, Section 5.1 of this thesis.

In the toothbrush abrasion-erosion model, flat and polished enamel specimens were subjected to 10 wear regimes in a toothbrushing machine as described by Vieira et al.<sup>99</sup> Each wear regime involved erosion in 0.3% citric acid (pH 3.2) for 10min followed by toothbrushing in a slurry of fluoride-free toothpaste and artificial saliva (1:3 ratio by weight) under a load of 2N for 200 cycles. The specimens were immersed in artificial saliva for 2 hours between wear regimes. The rationale behind selection of artificial saliva and the number of toothbrushing cycles has been described by Vieira et al.<sup>99</sup> and has also been discussed in Chapter 1, Section 5.2.

The erosion model was similar to the toothbrush abrasion/erosion model without the toothbrush abrasion component, except that enamel specimens were subjected to erosion with hydrochloric acid at pH 1.2 instead of citric acid at pH 3.2.

Experimental protocols for each of the three models of tooth wear (attrition/erosion, toothbrush abrasion/erosion and erosion models) involved three experimental groups, comparing the effectiveness of TM in reducing enamel wear with those of TM- (without CPP-ACP) and no treatment. In experimental group 1, TM was applied for 5min and then the specimens were washed and dried before the next wear episode continued. Similar protocols were used in experimental group 2 and the other group that served as controls, but TM- was applied in experimental group 2 and no TM was applied in control group.

The ToF-SIMS analysis was limited to only two flat, polished enamel specimens. The buccal (experimental) specimen was subjected to treatment with TM for 5min followed by washing with ultra-pure double deionized water for 2min before being dried with liquid nitrogen for 1min. No TM was applied to the lingual (control) specimen. As is indicated in Chapter 6, it is

intended to increase the sample size in future studies based on the preliminary findings reported in this thesis.

#### 2.4 Assessment of tooth wear and ToF-SIMS analysis

The investigations of the effect of TM in reducing enamel wear by attrition, toothbrush abrasion and erosion were based primarily on quantitative assessments. Qualitative assessment of wear facets was limited to an assessment of gross enamel loss in the attrition/erosion model. Differences in the appearance of enamel surfaces in the different groups were obvious during both visual and microscopic assessments. In contrast, the amounts of enamel wear in the toothbrush abrasion/erosion and erosion models occurred at a microscopic level, and no obvious differences in the appearances of enamel surfaces were noted between different experiments (treatments).

#### 2.4.1 Quantitative assessment

#### (i) Attrition/erosion model

The quantitative assessment of enamel wear was undertaken by measuring the reduction in volume of enamel specimens before and after wear in the secondary wear phase. This involved scanning the specimens by using a 3D profilometer (PIXA4, Roland DG, Tokyo, Japan) provided with 'Dr. PICZA' software. In this system, the heights (z) of surface mesh (x and y) were recorded at the highest resolution (50 microns for x and y, and 25 microns for z). The data in triplets (x,y,z) were then exported to purpose-written software developed using MATLAB, which provided a series of options for defining a reference plane, graphing the data in 3D and describing the volume of the scanned object.<sup>136</sup>

For data acquisition, a 3D scanner (PIX4A, Roland DG, Tokyo, Japan) interfaced with a personal computer was used to record the heights (Z) of surface mesh points (X and Y). In this system an active piezo sensor detects contact between its stylus and the scanned surface (Fig. 2.5). The X and Y mesh steps can be set between 50µm and 5mm in 50µm steps and the Z-axis

direction has a resolution of 25µm. The "Dr.PICZA" software (Roland DG, Tokyo, Japan) provided with the scanner is a Windows or MAC OSX-based tool that allows the scan area to be defined to accommodate the dimensions of the specimen and the scanning resolution to be set according to the user's needs. This decision involves balancing the need for high resolution against the size of the resultant data set and the scanning duration, both of which are increased with increasing resolution. In addition, a lower limit and the approximate X and Y coordinates of the highest point of the specimen can be defined to further optimize the size of the data set and shorten the scanner's calibration and scanning times. The software allows basic manipulation and visualization of the data and has the facility to export data in a range of formats for subsequent analysis.

NOTE: This figure is included on page 43 of the print copy of the thesis held in the University of Adelaide Library.

# Figure 2.5. A 3D profilometer with a specimen mounted on scanner table. (Adapted from Liu et al.<sup>136</sup>)

For data analysis, a purpose-written software package was developed using MATLAB (version 6, The Mathworks Inc, Natick MA, U.S.A.). The package accepts data from "Dr PICZA" in the form of (X, Y, Z) triples, where the X values are the west-east coordinates and the Y values the north-south coordinates. To make optimal use of MATLAB and its graphic facilities, data sets

were converted to a regular mesh grid and the Z-values were saved to a matrix (Z). The menudriven software package then provided a series of options for defining the reference plane, graphing the data in 3D and deriving data describing the volume of the scanned object.

The mean changes in volumes before and after wear episodes were compared between different experiments to obtain information about the effect of TM in reducing enamel wear under conditions simulating heavy tooth grinding and gastric regurgitation.

#### (ii) Toothbrush abrasion/erosion model

Impressions of each specimen were obtained in polyvinyl siloxane ("Blue" Light Body-Fast Set, 3M ESPE Express<sup>TM</sup>, St Paul, USA) before the first wear episode and after the final wear episode, as described by Sundaram et al.<sup>133</sup> Then, they were scanned on a Xyris 2000TL noncontacting laser profilometer (Taicaan® Technologies, Southampton, UK), which consisted of a transmitter emitting a 785nm red laser beam with a spot size diameter of 30µm. The accuracy (maximum resolution) of the scanner was 0.1µm and its reliability under repeated measurements was 0.3µm. Data acquisition and analysis was performed with Boddies v1.81 software (Taicaan® Technologies- Southampton UK). Tooth wear was calculated by measuring the difference in step heights of tooth surfaces before and after the erosion-abrasion regime using the surrounding stainless steel disc as a reference plane. A mean of three measurements was recorded for each specimen. Negative replicas were used to quantify wear depths because clear acrylic resin around enamel and dentine specimens could not be detected by the laser beam. Furthermore, the accuracy of the scanning process was dependent on the homogeneity of surface colour of polyvinyl-siloxane material, with blue colour providing more accurate surface profiles than other colours.

The mean changes in heights of enamel specimens before and after wear regimes were compared between different experiments to obtain information about the effect of TM on reducing enamel wear under conditions simulating toothbrush abrasion after an erosive episode.

44

#### (iii) Erosion model

Quantitative measurements were undertaken for erosion depths by using a 2D contacting profilometer 'Zeiss Handysurf E-35A' (Advanced Metrology Systems Ltd, Leicester, UK), whose resolution in the z-axis is less than one micron (Fig. 2.6). In this system, an active piezo sensor detects contact between the sensor and the scanned surface and data are then exported to a personal computer to create a 2D surface topography. The maximum tracing distance for this sensor is 4.0mm over a height of 150µm. Difference in the height of each specimen after erosion was calculated using the flat surfaces on both sides as a reference plane. A total of three erosion depths were calculated for each specimen, and the average value was used as the final measurement for that specimen.



Figure 2.6. A 2D profilometer (towards the top left of the image) placed on a holder. Its piezo sensor with a stylus tip is positioned above a glass scanner table (towards the top right of the image). A control and display unit is shown at the lower part of the image.

The mean changes in heights of enamel specimens before and after erosion episodes were compared between different experiments to obtain information about the ability of TM to reduce enamel erosion wear under conditions simulating gastric regurgitation.

#### (iv) ToF-SIMS model

The SIMS library was searched for peak areas corresponding to the molecular weights of different ions, including silicon, calcium, phosphate and glycerol. After the peak areas were obtained in each spectrum, they were normalized to the total counts of peaks of interest (with the total peak area for all the ions and molecules being unity). This allowed for any variation in the peak intensity that could have been caused by variation in sample topography, differences in sample positioning in the holder and instrument set-up.

Mean peak areas corresponding to calcium, phosphorous and glycerol were compared between the experimental and control specimens to obtain information on the types of third-body components on the enamel surface.

#### 2.4.2 Qualitative assessment

For qualitative assessment of enamel wear in the attrition/erosion model, negative impressions of enamel specimens were obtained with a light-body polyvinyl siloxane impression material (Imprint II, 3M-ESPE Corporation, St Paul, USA). Epoxy resin replicas of enamel specimens were prepared in clear epoxy resin and then splutter-coated with gold/carbon for further assessment under a stereomicroscope (Leica StereoExplorer, Leica Microsystems Ltd, Heerbrugg, Switzerland). This microscope captures two images from slightly different angles using the associated optical data (objective working distance, magnification, pixel size) and assembles the images to create a topographical surface model in three dimensions.

#### 2.5 Statistical analyses

Based on power calculations (assuming an effect size = 0.55; type I error probability,  $\alpha$  = 0.05; power, 1-  $\beta$  = 0.80 for comparison of mean values between three experimental samples displaying intermediate dispersion of individual values), 12 enamel specimens were initially included in each experiment for separate investigations of enamel wear under conditions simulating attrition/erosion, toothbrush abrasion/erosion and erosion alone. Although units of measurements were different between these wear categories (for example, cubic mm for attrition/erosion vs microns for toothbrush abrasion/erosion and erosion alone), their effect sizes (referring to the ratios of the mean differences in enamel wear between two groups and their standard deviations) were assumed to be similar. Linear mixed model analyses were designed using SAS software (Proc Mixed, SAS 9.1, SAS Institute Inc, Cary, USA) to determine whether there were significant differences in enamel wear between the three experiments described under conditions simulating attrition/erosion and toothbrush abrasion/erosion. One-way ANOVA was used to determine whether there were significant differences in mean erosion depths between the experimental and control groups in the erosion. Statistical significance was set at the 0.05 probability level.

As the findings from the present erosion alone study are preliminary, more experiments are planned in near future comparing the erosion-inhibiting properties of CPP-ACP and fluoride. Once these experiments are completed, a more sophisticated statistical analysis using linear mixed model will be conducted.

The findings of ToF-SIMS analysis were treated as being preliminary because the analysis was based on only one experimental and one control specimen. Thus, no statistical analysis was conducted to compare mean peak areas for different ingredients of TM between these specimens.

## **CHAPTER 3**

## THE EFFECT OF CPP-ACP ON ENAMEL WEAR UNDER SEVERE EROSIVE CONDITIONS

This paper has been published in the Archives of Oral Biology and is attached in the Appendices (Paper 2 of Chapter 9, Section 1.1.1). Permission has been obtained from the Elsevier to reproduce this paper in this thesis.

## Statement of contribution by all authors

Title: The effect of CPP-ACP on enamel wear under severe erosive conditions

S Ranjitkar was primarily responsible for this project. S Ranjitkar designed and co-ordinated the study, conducted the experiments, and collected and interpreted the data.

JA Kaidonis, LC Richards and GC Townsend provided critical comments and general supervision.

All co-authors were involved with the preparation of the manuscript.

We give written permission for this paper to be included in S Ranjitkar's thesis titled "Biology of tooth wear: preventive strategies".

The effect of CPP-ACP on enamel wear under severe erosive conditions

Sarbin Ranjitkar\* John A. Kaidonis Lindsay C. Richards Grant C. Townsend

School of Dentistry The University of Adelaide Adelaide SA 5005 Australia

Running title: Prevention of erosive enamel wear

Key words: abrasion, erosion, CPP-ACP, remineralization, lubrication, experimental studies

\*Corresponding author Dr Sarbin Ranjitkar School of Dentistry The University of Adelaide Adelaide SA 5005, Australia Phone: + 61 8 8303 3069 Fax: + 61 8 8303 3444 E-mail: <u>sarbin.ranjitkar@adelaide.edu.au</u>

#### 3.1 Abstract

Objective: In addition to its role as a remineralizing agent in preventing dental caries, recent evidence has shown that casein phosphopeptide- amorphous calcium phosphate (CPP-ACP) can protect teeth against erosion. The aim of this study was to determine whether CPP-ACP could reduce enamel under severe erosive conditions simulating heavy attrition and gastric regurgitation.

Design: Enamel specimens were subjected to 10,000 cycles of wear at a load of 100N and pH 1.2 in tooth wear machines. The machines were stopped every 2min (160 cycles), and CPP-ACP in the form of a paste (Tooth Mousse, GC Corporation, Japan) was applied for 5min in the experimental group 1. A paste with the same formulation but without CPP-ACP was applied in experimental group 2. No paste was applied in the control group.

Results: A linear mixed model analysis indicated that the mean wear rates in the experimental group 1 ( $0.44 \pm 0.05$ mm<sup>3</sup> per 1,000 cycles) and in the experimental group 2 ( $0.63 \pm 0.06$ mm<sup>3</sup> per 1,000 cycles) were significantly lower than that in the control group ( $0.92 \pm 0.11$ mm<sup>3</sup> per 1,000 cycles) (p<0.05). The mean wear rate in experimental group 1 was also lower than that in experimental group 2 (p<0.05). Wear facets in experimental groups 1 and 2 were noted to be smoother and more polished than those in the control group.

Conclusions: Both remineralizing and lubricating properties of the paste containing CPP-ACP appear to contribute to wear reduction in enamel. These findings may lead to new strategies for the clinical management of tooth wear.

#### 3.2 Introduction

There is an increasing awareness in clinical dentistry of the need to better understand the aetiology and management of tooth wear<sup>17</sup> as increasing numbers of elderly patients are retaining their natural teeth to a stage when they present with extensive wear.<sup>128</sup> In addition, more younger patients are presenting with wear of both primary and permanent teeth.<sup>74,129</sup> It has been suggested that clinical management of tooth wear should focus on early detection and prevention before a restorative approach is considered.<sup>128</sup>

Recent evidence indicates that fluoride can protect tooth structure from severe erosion at pH 2.3<sup>110</sup> and pH 3.0.<sup>116,117</sup> Hove et al.<sup>118</sup> observed that intensive application of fluoride provided significant protection against enamel erosion under conditions simulating gastric reflux at pH 2.0, but Willumsen et al.<sup>119</sup> noted that fluoride did not protect enamel against erosion at pH 1.2. Other reports have indicated that topical fluoride can protect enamel and dentine against a combination of toothbrush abrasion and erosion at around pH 3.0,<sup>102,103,120</sup> but not against attritional wear between opposing enamel and dentine surfaces.<sup>76</sup> Thus, there is still a need to improve preventive strategies relating to erosive insults on teeth involving attritional wear of opposing tooth surfaces.

Nightguards are the most commonly used devices to prevent attritional wear,<sup>49</sup> but they may not be tolerated by some individuals. An alternative preventive method could involve application of a lubricating agent at the wear interface. For example, Kaidonis et al.<sup>131</sup> showed that lubrication at the wear interface, provided by calcium fluoride powder (CaF) and CaF/olive oil slurry, reduced enamel wear compared with dry (no additional) lubrication. In a recent in vitro study, attritional wear of dentine was almost eliminated with continuous application of a paste containing an anticariogenic remineralizing agent CPP-ACP (casein phosphopeptide amorphous calcium phosphate nanocomplexes).<sup>95</sup> Furthermore, intermittent application of CPP-ACP paste also reduced dentine wear at both acidic and near neutral environments, highlighting its lubricating and remineralizing properties in reducing erosive dentine wear.<sup>95</sup> These findings are supported by observations that CPP-ACP can reduce dental erosion by citric acid,<sup>121</sup> white wine<sup>122</sup> and sports drinks,<sup>125</sup> as well as erosive tooth wear involving toothbrush abrasion.<sup>137</sup> Given these findings, an investigation of the effect of CPP-ACP on enamel wear by a combination of attrition and erosion is warranted.

The aim of this study was to determine whether CPP-ACP could reduce enamel wear under in vitro conditions simulating a combination of heavy attrition (at a load of 100N) and severe erosion by gastric regurgitation (at pH 1.2). It was hypothesized that enamel wear would be reduced by intermittent application of CPP-ACP.

#### **3.3** Materials and methods

#### 3.3.1 Sample preparation

Thirty-six freshly extracted, intact human third molar teeth were selected from a collection of extracted teeth with unknown history. The teeth had been extracted as part of routine dental treatment in dental practices in South Australia and subsequently stored in formalin. The protocol for collection of extracted teeth was approved by the University of Adelaide Human Research Ethics Committee (H/27/90). After discarding pulpal and periodontal tissues, each tooth was sectioned longitudinally into a buccal and a palatal/lingual half and mounted on scanning electron microscope (SEM) stubs, adjacent to three 2mm diameter spherical steel reference balls, using autopolymerizing acrylic resin. The enamel specimens retained the original contour of the buccal and palatal/lingual surfaces of the crown.

#### 3.3.2 Mechanical testing

Opposing enamel specimens from the same tooth were worn along their longitudinal axes in one of the two randomly selected electro-mechanical tooth wear machines to simulate heavy attrition under a fixed load of 100N at a rate of 80 cycles per min. The design of the tooth wear machines and assessment of tooth wear using a 3D profilometer have been described previously.<sup>52</sup> Enamel has been reported to wear in two phases, including a fast-wearing, short

primary phase and a slow-wearing, longer secondary phase. A primary phase lasting 20,000 cycles under a load of 32N with deionized water lubricant (pH 6.1) ensured that wear facets of similar surface areas were prepared well into enamel before its wear characteristics were assessed. Then, the specimens were coated with acid resistant nail varnish, except on wear facets, and were worn under a load of 100N during the secondary wear phase. All wear measurements for the purpose of this study were obtained during the secondary wear phase.

In experimental group 1 (n = 12), enamel specimens were worn for around 10,000 cycles in the presence of hydrochloric acid at pH 1.2 (titratable acidity = 0.063M). The machine was stopped every 2min (160 cycles) and specimens were washed for 30s and dried for 15s before a 5min application of CPP-ACP in the form of a paste (Tooth Mousse®, GC Corporation, Japan). Specimens were washed again for 2min and dried for 15s before the cycle was continued. The experimental conditions are relevant to the sequence of acid exposure and CPP-ACP application that might occur in vivo. The same protocol was followed for experimental group 2 (n = 12), using a paste with the same formulation but without CPP-ACP (Tooth Mousse Minus, GC Corporation, Japan). Specimens in the control group were worn at pH 1.2 but no paste was applied. Nail varnish around the wear facets was removed prior to quantifying enamel wear using 3D profilometry.

#### 3.3.3 Assessment of enamel wear

The quantitative assessment of enamel wear was undertaken by measuring the reduction in volume of enamel specimens using a 3D profilometer (PIXA-4®, Roland DG, Tokyo, Japan) provided with 'Dr. PICZA' and MATLAB softwares.<sup>136</sup> The maximum resolution of the profilometer in X and Y axes is 50µm and that in the Z axis is 25µm. The validity of this system for calculating volumes of objects with complex geometry is greater than 90%, and its intra- and inter-examiner reliability is also high.<sup>136</sup>

For qualitative assessment of enamel wear, negative impressions of enamel specimens were obtained with a light-body polyvinyl siloxane impression material (Imprint II®, 3M-ESPE Corporation, St Paul, USA). Epoxy resin replicas of enamel specimens were prepared in clear epoxy resin and then splutter-coated with gold/carbon for further assessment under a stereomicroscope (Leica StereoExplorer, Leica Microsystems Ltd, Heerbrugg, Switzerland). This microscope captures two images from slightly different angles using the associated optical data (objective working distance, magnification, pixel size) and assembles the images to create a topographical surface model in three dimensions.

#### 3.3.4 Statistical analysis

Based on power calculations (assuming an effect size = 0.55; type I error probability,  $\alpha$  = 0.05; power, 1-  $\beta$  = 0.80 for comparison of three samples with intermediate dispersion of mean values) and the time required for each experiment (approximately 30h), 12 specimens were included in each group. Linear mixed models were designed using SAS software (Proc Mixed, SAS 9.1, SAS Institute Inc, Cary, USA) to determine whether there were significant differences in wear rates between the three experiments described. These models accounted for clustering of data within the same teeth. As data were log-normally distributed, they were transformed using natural logarithm for statistical analysis. Statistical significance was set at the 0.05 probability level.

#### 3.4 Results

#### 3.4.1 Quantitative analysis

Intervention involving treatment with pastes both with and without CPP-ACP was found to significantly reduce enamel wear (p<0.01). Pairwise comparisons of wear rates between different groups indicated significant differences between the experimental group 1 (mean  $\pm$  S.E., 0.44  $\pm$  0.05mm<sup>3</sup> per 1,000 cycles) and the control group (0.92  $\pm$  0.11mm<sup>3</sup> per 1,000 cycles) (p<0.001), between the experimental group 2 (0.63  $\pm$  0.06mm<sup>3</sup> per 1,000 cycles) and the control group (p<0.05), and between the experimental groups 1 and 2 (p<0.05). The mean wear rates of

enamel in experimental groups 1 and 2 were lower than that in the control group by 52.2% and 31.5% respectively.



Figure 3.1. Comparison of rates of mean enamel wear  $\pm$  S.E. (mm<sup>3</sup> per 1,000 cycles) between different groups at a load of 100N and pH 1.2 for 10,000 cycles.

- <sup>a</sup> Pairwise comparison using a mixed model indicates a significant difference in wear rates between experimental group 1 and control group (p<0.001)
- <sup>b</sup> Pairwise comparison using a mixed model indicates a significant difference in wear rates between experimental group 2 and control group (p<0.05)
- <sup>c</sup> Pairwise comparison using a mixed model indicates a significant difference in wear rates between experimental groups 1 and 2 (p<0.05)

## 3.4.2 Qualitative analysis

Wear facets of enamel specimens in experimental group 1 and experimental group 2 were noted to be more polished, and appeared burnished in gold/carbon coated epoxy resin replicas (Figs. 3.2A and B), compared with those in the control group (Fig. 3.2C). Extensive surface destruction was observed in wear facets in all groups, but this was more noticeable in control specimens (Fig. 3.2). The shiny, burnished parts of the wear facets in experimental groups 1 and 2 corresponded to surfaces where sliding wear occurred between opposing specimens, but not

where they made an initial impact (Fig. 3.3). The burnished areas were also positioned higher than the site of initial impact (Fig. 3.3).





Figure 3.2A





Figure 3.2C

Figure 3.2. Representative micrographs of epoxy resin replicas of enamel specimens prepared at a load of 100 N and a pH of 1.2 (x15). The specimens were subjected to intermittent treatment with (A) paste with CPP-ACP, (B) paste without CPP-ACP, and (C) no paste. A continuous white curve around the periphery shows the wear facet, and the dotted lines in (A) and (B) represent polished, burnished areas. The shiny parts of the replicas around the wear facets are artifacts from varnish coating. Other artifacts (air bubbles) are shown with small white arrows.



Figure 3.3. The profile of an enamel specimen presented in Fig. 3.2A. The arrowheads in a circle above the specimen show the direction of movement of the opposing enamel specimen (anti-clockwise). A small white arrow on the left shows the area of the wear facet where the opposing specimen made an initial impact, and large straight white arrows show that the polished, burnished area was located higher than the site of initial impact.

## 3.5 Discussion

The attrition/erosion model used in this study is similar to that described by Kaidonis et al.,<sup>77</sup> but it was modified to conform with intermittent application of CPP-ACP. The rationale behind selection of load (100N) and a pH value of 1.2 has been described previously.<sup>52</sup> A load of 100N falls within a physiological range and represents a heavy attritional force.<sup>52</sup> Bartlett et al.<sup>138</sup> reported that the mean pH value of gastric juice in patients undergoing assessment for gastro-esophageal reflux disease (GERD) was 2.9, ranging from 1.2 to 6.8. Previous researchers have used a pH value of between 1.2 and 2.0 to simulate gastric regurgitation in vitro.<sup>52,118,139</sup> Enamel wear was limited to around 10,000 cycles because it was worn to its full thickness after this many cycles. Some previous researchers have included stages of remineralization with artificial saliva while investigating the characteristics of erosive tooth wear by dietary acids.<sup>53,118</sup> However, a separate remineralization stage was not included in the present study, or in another study simulating gastric regurgitation.<sup>139</sup> Salivary factors are unlikely to provide protection

against strong erosive challenges (for example, gastric reflux).<sup>140</sup> Furthermore, the rates of salivary flow and acid clearance are lower during sleep-related gastric regurgitation than during daytime regurgitation.<sup>83</sup>

We are unaware of previous reports confirming the effect of remineralizing agents on reducing erosive tooth wear at a very low pH of 1.2. Furthermore, information on the effect of remineralizing agents on the prevention of attritional tooth wear in combination with erosion is limited. A previous study by Li et al.<sup>76</sup> found that pre-treatment of dentine specimens with a highly concentrated fluoride varnish (containing 22,600ppm fluoride at pH 3.9) did not reduce wear between opposing enamel and dentine specimens. In this context, the findings of the present study are encouraging in the management of tooth wear.

CPP-ACP was observed to reduce erosive enamel wear under severe erosive conditions simulating a combination of heavy attrition and gastric regurgitation. However, the nature of association between sleep bruxism and GERD is largely unexplored. Miyawaki et al.<sup>80</sup> reported that electromyographic (EMG) recording, and physiological gastroesophageal reflux (GER) episodes corresponding to oesophageal pH values between 3.0 and 5.0, occurred more frequently in bruxers than in healthy control subjects. However, their findings cannot be extrapolated to individuals suffering from GERD. It is likely that sleep bruxism and GERD may occur concurrently in heavy bruxers who also suffer from obstructive sleep apnoea syndrome (OSAS) and obesity. It has been reported that OSAS and obesity seem to predispose individuals to nocturnal GERD.<sup>83</sup>

Previous work has shown the potential of CPP-ACP as an anticariogenic agent both in vitro and in situ,<sup>126,141,142</sup> with CPP-ACP preventing demineralization and promoting remineralization of sub-surface carious lesions in enamel and dentine.<sup>143,144</sup> However, the mechanism by which CPP-ACP reduces tooth wear is unclear.<sup>95,125</sup> A recent study has shown that CPP-ACP can increase microhardness of enamel and reduce erosion by cola drinks,<sup>68</sup> implying that CPP-ACP is capable of remineralizing eroded lesions. The process of remineralization of eroded lesions is unclear, but it is likely to involve a repair process by deposition of mineral into the porous zone rather than crystal regrowth.<sup>100</sup>

The differences in wear depths between experimental groups 1 and 2 are likely to reflect the remineralizing potential of CPP-ACP in reducing erosive enamel wear. Interestingly, paste without CPP-ACP also reduced erosive enamel wear, probably by lubricating the wear interface (Fig. 3.2). This finding is consistent with the observation that parts of wear facets treated with pastes with or without CPP-ACP were shiny and burnished, and corresponded to areas where sliding wear occurred between opposing specimens (Figs. 3.2A and B). CPP-ACP paste seemed to have provided greater protection against three-body abrasive wear (involving sliding wear of opposing specimens) than impact stress (involving a greater vertical component of force at the site of initial impact) (Fig. 3.3). The third bodies acting at the wear interface are likely to include broken down enamel fragments, CPP-ACP and other ingredients of CPP-ACP paste with a sticky consistency (for example, glycerol, D-sorbitol and propylene glycol). In addition, the role of proteins in CPP-ACP paste (for example, casein) also needs to be investigated because the protein phase can modify mechanical and tribological properties of enamel. Recently, Swain and colleagues have noted that the protein phase between apatite crystals of enamel helps to distribute shear strain,<sup>145</sup> to deflect and arrest cracks<sup>146</sup> and to sustain repetitive cyclic contact loading.147

Further studies are needed to clarify the nature of lubricating and remineralizing potential of CPP-ACP on tooth wear prevention, and to compare its effectiveness with nightguards, other lubricating agents (for example, artificial saliva and food slurry) and remineralizing agents (for example, fluoride products and a combination of CPP-ACP and fluoride). It is also unclear whether there might be an additive effect of different preventive strategies on tooth wear.

On the basis of this investigation, it appears that CPP-ACP may help reduce enamel wear under severe wear conditions, simulating heavy attrition and gastric regurgitation. Both remineralizing and lubricating properties of CPP-ACP paste appear to contribute to wear reduction. The lubricating effect of this paste seems to be more pronounced on areas of wear facets where sliding wear occurred between opposing enamel surfaces than on surfaces subjected to impact stress. Future in situ studies and clinical trials are needed to determine the true potential of CPP-ACP in preventing erosive tooth wear.

## 3.6 Acknowledgements

This project was supported by grants from the Australian Dental Research Foundation Inc (Grant no: 40/2005) and the National Health and Medical Council of Australia (Grant no: 349431). We would like to thank Ms Nancy Briggs for statistical analysis, G C Asia Pty Ltd for providing Tooth Mousse® samples, and the 3M ESPE Corporation for donating impression materials. Technical assistance provided by Dr My Anh Vu, Mr Victor Marino and Adelaide Microscopy is also gratefully acknowledged.

## **CHAPTER 4**

## THE EFFECT OF CASEIN PHOSPHOPEPTIDE - AMORPHOUS CALCIUM PHOSPHATE ON EROSIVE ENAMEL WEAR BY TOOTHBRUSH ABRASION

This manuscript forms part of a paper titled "The effect of casein-phosphopeptide amorphous calcium phosphate on erosive enamel and dentine wear by toothbrush abrasion", which has been published in the Journal of Dentistry (J Dent). This paper has been attached in the Appendices (Paper 3 of Chapter 9, Section 1.1.1). As this thesis focuses on enamel wear prevention, findings on dentine wear prevention are not included in this chapter. Experiments related to this chapter (and the J Dent paper) were conducted during a sabbatical in King's College London between June 2007 till September 2007.

Permission has been obtained from the Elsevier to reproduce part of the J Dent paper in this thesis.

#### Statement of contribution by all authors

Title: The effect of casein phosphopeptide - amorphous calcium phosphate on erosive enamel wear by toothbrush abrasion

S Ranjitkar was primarily responsible for this project. S Ranjitkar and DW Bartlett designed and co-ordinated the study and wrote the manuscript. S Ranjitkar also conducted the experiments, collected the data and interpreted them.

JM Rodriguez provided data on the titratable acidity of citric acid and reliability of 3D profilometer, and also participated in co-ordinating the study as well as preparing the manuscript.

JA Kaidonis, LC Richards and GC Townsend provided critical comments and general supervision, and contributed to the preparation of the manuscript.

We give written permission for this paper to be included in S Ranjitkar's thesis titled "Biology of tooth wear: preventive strategies". The effect of casein phosphopeptide - amorphous calcium phosphate on erosive enamel wear by toothbrush abrasion

S. Ranjitkar<sup>a</sup> J. M. Rodriguez<sup>b</sup> J.A. Kaidonis<sup>a</sup> L.C. Richards<sup>a</sup> G.C. Townsend<sup>a</sup> D.W. Bartlett<sup>b,\*</sup>

<sup>a</sup>School of Dentistry The University of Adelaide Adelaide SA 5005 Australia

<sup>b</sup>Department of Prosthodontics King's College London Dental Institute Guy's Hospital London SE1 9RT UK

Short title: Prevention of erosive tooth wear

Key words: abrasion, erosion, CPP-ACP, remineralization, lubrication

\*Corresponding author Prof David W. Bartlett Professor/Hon Consultant in Prosthodontics King's College London Dental Institute Floor 25, Guy's Tower London Bridge SE1 9RT, UK Tel: +44 20 7188 5390; Fax: +44 20 7188 1792 E-mail: david.bartlett@kcl.ac.uk

#### 4.1 Abstract

Objective: In addition to its role as a remineralizing agent in preventing dental caries, calcium product (CPP-ACP) delivered as a mousse (Tooth Mousse®, TM) can reduce erosion of enamel. The aim of this study was to determine whether CPP-ACP could also reduce erosive tooth wear involving toothbrush abrasion. Methods: Flat, polished enamel specimens (n = 36)were subjected to 10 wear regimes, with each regime involving erosion in 0.3% citric acid (pH 3.2) for 10min followed by toothbrush abrasion in a slurry of fluoride-free toothpaste and artificial saliva (1:3 ratio by weight) under a load of 2N for 200 cycles. The specimens were immersed in artificial saliva for 2hrs between wear regimes. In the experimental group 1, TM (containing CPP-ACP) was applied at the beginning of each wear episode for 5min whereas TM- (without CPP-ACP) was applied in the experimental group 2. No mousse was applied in the control group. Results: TM significantly reduced enamel wear (mean  $\pm$  SE,  $1.26 \pm 0.33 \mu m$ in the experimental group 1 vs  $3.48 \pm 0.43 \mu m$  in the control group). There was also a trend for mean enamel wear in experimental group 2  $(2.41 \pm 0.50 \mu m)$  to be less than that in control group but this was not significantly different. Conclusion: The finding that TM reduced erosive enamel wear involving toothbrush abrasion, probably by remineralizing and lubricating eroded enamel surfaces, may have implications in the management of tooth wear.

#### 4.2 Introduction

A combination of erosion with attrition or abrasion, referred to as erosive tooth wear, can result in more destructive wear than if these processes occur independently.<sup>3,75</sup> Eroded enamel has a shallow, softened subsurface layer, with crystals being thin and vulnerable to mechanical wear.<sup>96,97</sup> Similarly, eroded dentine has a demineralized, subsurface layer<sup>98</sup> which is susceptible to wear by toothbrush abrasion.<sup>7</sup>

Normal tooth brushing habits with toothpaste are unlikely to produce excessive wear over a lifetime but the super-imposed influence of acids is known to increase wear rates.<sup>7</sup> Previously suggested strategies for preventing erosive tooth wear by toothbrushing include the application of a protective resin coating on teeth<sup>108</sup> or of topical fluoride, which increases the resistance of tooth structure against erosive wear.<sup>110</sup> It is not always practical to eliminate the causes of tooth wear in individuals, so it is desirable to develop other effective preventive strategies to manage tooth wear.<sup>108</sup>

Recent in vitro studies have indicated that Tooth Mousse® (TM, GC Corporation, Japan), containing a phosphopeptide that stabilizes amorphous calcium phosphate (CPP-ACP) may reduce dental erosion caused by citric acid<sup>121,122</sup> and an acidic sports drink.<sup>125</sup> These findings support the observation that TM reduces erosion from wine in both enamel and dentine.<sup>122</sup> Recently, Ranjitkar et al.<sup>95</sup> reported that attritional wear of dentine was almost eliminated in vitro with continuous application of TM compared with hydrochloric acid lubricant (pH 3.0) and deionized water lubricant (pH 6.1). Furthermore, intermittent application of TM also reduced dentine wear in both acidic and near neutral environments, highlighting its lubricating and remineralizing properties in reducing erosive dentine wear.<sup>95</sup> However, the effectiveness of TM in reducing erosive tooth wear involving toothbrush abrasion has not been assessed.

The aim of this study was to determine whether TM could reduce enamel wear under in vitro conditions simulating toothbrush abrasion after an exposure to dietary acid. It was hypothesized that CPP-ACP contained in a mousse would reduce enamel wear under these conditions.

#### 4.3 Materials and methods

#### 4.3.1 Sample preparation

Twelve intact, human third molars with unknown history were randomly selected from a pool of extracted teeth that had been stored in saturated aqueous thymol solution at 4<sup>o</sup>C to reduce deterioration in storage, following approval from the Guy's Ethical Committee (reference no. 04/Q0704/57). Enamel specimens were obtained from the mesial, buccal, distal and lingual surfaces of the mid-coronal portion of each tooth using a diamond blade (Diamond wafering blade XL 12205, Benetec Ltd, London, UK). Each specimen was then mounted in a brass specimen holder using chemically-cured acrylic resin, with a reference stainless steel ring placed around the periphery to enable measurement of erosion depths. An outer layer of 400µm of enamel was removed, based on protocols developed by Ganss et al.,<sup>110</sup> by polishing the specimens flat progressively with 800, 1200, 2400 and 4000 grit silicon carbide sandpapers (SiC-Paper, Struers A/S, Copenhagen, Denmark) on a rotating polishing machine (Struers Labopol-1, Denmark).

#### 4.3.2 Study design

Enamel specimens (n = 36) were randomly allocated into two experimental groups and one control group so that each group (n = 12) contained equal numbers of specimens from the mesial, buccal, distal and lingual surfaces of teeth. CPP-ACP delivered in a mousse was applied to enamel specimens in experimental group 1 (TM), whereas the mousse with the same formulation but without CPP-ACP (TM-) was applied intermittently in experimental group 2. No mousse was applied in the control group.

The effectiveness of TM and TM- in reducing enamel wear was tested in an abrasion-erosion model using a toothbrushing machine to reproduce the oral environment.<sup>99</sup> All specimens were bathed in artificial saliva for two hours prior to experimentation. Artificial saliva was prepared according to the protocol used by Eisenburger et al.<sup>100</sup> and contained the following ingredients in deionized water: CaCl<sub>2</sub>.2H<sub>2</sub>O 0.7mmol/l; MgCl<sub>2</sub> 0.2mmol/l; KH<sub>2</sub>PO<sub>4</sub> 4.0mmol/l; HEPES buffer (acid form) 20.0mmol/l; KCl 30.0mmol/l, with the pH being adjusted to 7.0. Enamel sections were subjected to 10 wear regimes, with each regime involving erosion in 0.3% citric acid (pH adjusted to 3.2 using NaOH buffer at 23.8<sup>o</sup>C) for 10min followed by toothbrush abrasion in a slurry of fluoride-free toothpaste and artificial saliva (1:3 ratio by weight) under a load of 2N for 200 cycles at 100rpm. The reference stainless steel rings were covered with an adhesive tape to prevent abrasion by toothbrushing.

In experimental group 1, TM was applied for a total of 5min following manufacturer's recommendation. After an initial application for 3min, TM was diluted to a 30% solution with artificial saliva during the remaining 2min to simulate the washing effect of saliva intra-orally. The same protocol was used for experimental group 2 using TM-, and for control group but without the mousse. At the end of each wear regime, TM and TM- were gently rinsed off the surface with tap water for 2min and then air-dried. Specimens were subjected to erosion in 0.3% citric acid for 10min. The titratable acidity of the acid solution, measured as the volume of 0.1mol NaOH required to raise the pH of the solution to pH 7, was 5.8ml. The specimens were then washed with water for a further 1min and blot-dried gently using paper towels.

The specimens were subjected to toothbrush abrasion in a toothbrush machine using previously published protocols.<sup>133</sup> The tooth sections were placed on a mounting base and bathed in a slurry of fluoride-free toothpaste (Kingfisher natural toothpaste, Kingfisher, Norwich, UK) and artificial saliva (1:3 ratio by weight) before being subjected to toothbrushing with soft-bristled toothbrush (Oral-B® Plus Size 40, Oral B, UK). The brush heads were replaced after a total of 4000 wear cycles. At the end of this stage, the specimens were washed for 1min and blot-dried

gently using paper towels and then stored in artificial saliva for 2hrs before the next wear regime began.

#### 4.3.3 Assessment of tooth wear

Impressions of each specimen were obtained in polyvinyl siloxane ("Blue" Light Body-Fast Set, 3M ESPE Express<sup>TM</sup>, St Paul, USA) before the first wear episode and after the final wear episode as described by Sundaram et al.<sup>133</sup> Then, they were scanned on a Xyris 2000TL non contacting laser profilometer (Taicaan® Technologies, Southampton, UK), which consisted of a transmitter emitting a 785nm red laser beam with a spot size diameter of 30µm. The accuracy (maximum resolution) of the scanner was 0.1µm and its reliability under repeated measurements was 0.3µm. Data acquisition and analysis was performed with Boddies v1.81 software (Taicaan® Technologies- Southampton UK). Tooth wear was calculated by measuring the difference in step heights of tooth surfaces before and after the erosion-abrasion regime using the surrounding stainless steel disc as a reference plane, and a mean of three measurements was recorded for each specimen. Negative replicas were used to quantify wear depths because clear acrylic resin around enamel specimens could not be detected by the laser beam. Furthermore, the accuracy of the scanning process was dependent on the homogeneity of surface colour of polyvinyl-siloxane material, with blue colour providing more accurate surface profiles than other colours.

## 4.3.4 Statistical analysis

Based on power calculations (assuming an effect size = 0.55; type I error probability,  $\alpha$  = 0.05; power, 1-  $\beta$  = 0.80 for comparison of mean values between three samples displaying intermediate dispersion of individual values), 12 enamel specimens were included in each group. A linear mixed model analysis was designed using SAS software (Proc Mixed, SAS 9.1, SAS Institute Inc, Cary, USA) to determine whether there were significant differences in wear depths between the three experiments described. This model accounted for clustering of data from different surfaces of the same teeth. Data were log-normally distributed, so they were transformed to natural logarithms for statistical analysis. Statistical significance for the final model was set at the 0.05 probability level.

#### 4.4 Results

Figure 1 shows the mean (SE) of enamel wear in different groups. The values for enamel wear were  $1.26\mu m (0.33\mu m)$  in experimental group 1 (with TM application),  $2.41\mu m (0.50\mu m)$  in experimental group 2 (with TM- application) and  $3.48\mu m (0.43\mu m)$  in the control group (Fig. 4.1). Intervention involving TM and TM- was found to have a significant effect on both enamel and dentine wear (p<0.01). Post-hoc multiple comparison tests for enamel wear showed that the mean wear depth in experimental group 1 (TM) was significantly less than that in the control group (p<0.001).





<sup>a,b,c</sup> Pairwise comparisons using a mixed model indicate significant differences in mean

log(wear depths) between experimental group 1 (TM) and control group (p<0.001)<sup>a</sup>

#### 4.5 Discussion

The toothbrush erosion/abrasion model used in this study is similar to that applied by Vieira et al.<sup>99</sup> to investigate the effect of fluoride treatment on erosive enamel wear after 600 toothbrushing cycles. To simulate the intra-oral environment, toothbrush abrasion occurred in the presence of a toothpaste-artificial saliva slurry and a two-hour remineralization period with artificial saliva was included between wear regimes. It has been suggested that treatment of eroded enamel with artificial saliva for two hours partially rehardens enamel in vitro.<sup>100</sup> but Vanuspong et al.<sup>101</sup> indicated that this is less likely to occur in dentine. Nevertheless, Attin et al.<sup>102</sup> have observed that delaying toothbrushing by at least 30min after an erosive regime in situ provides some protection against toothbrush abrasion. A total of 600 brushing strokes applied during three wear regimes on bovine teeth was reported by Vieira et al.<sup>99</sup> to correspond to around two years of wear. The present model, and those used by most previous researchers,<sup>99,103</sup> have used reciprocal toothbrush action against stationary tooth specimens, but Parry et al.<sup>104</sup> suggested that the movement of reservoirs containing tooth specimens and toothpaste slurry against stationary toothbrushes would better maintain slurry homogeneity at the wear interface. Parry et al.<sup>104</sup> also reported that toothbrushing forces selected in previous in vitro studies ranged from 0.1N to 8.8N. However, a force of around 2N has been used by most researchers to simulate normal toothbrushing force in vivo.<sup>56,99</sup> To detect minute amounts of tooth wear using profilometry, flat and polished specimens were used in the present study. However, it should be noted that natural tooth surfaces erode more slowly than polished surfaces and that coronal dentine erodes faster than radicular dentine.<sup>105</sup>

TM (containing CPP-ACP) resulted in significantly less erosive wear of enamel sections than TM- (without CPP-ACP) or no TM (control specimens) (Fig. 4.1). The effect of the TM-probably reflects the lubricating potential of its ingredients (such as glycerol) in wear reduction, and the differences in wear depths between the experimental group 1 (TM) and experimental group 2 (TM-) are likely to reflect the remineralizing potential of CPP-ACP in reducing erosive
tooth wear (Fig. 4.1). However, these findings should be interpreted with some caution because of relatively small differences in wear depths between different groups.

The potential of CPP-ACP as an anticariogenic agent has been reported both in vitro and in situ,<sup>141,142</sup> with CPP-ACP preventing demineralization and promoting remineralization of subsurface carious lesions in enamel and dentine.<sup>143,144</sup> CPP-ACP maintains saturation levels of calcium and phosphate at the tooth surface and provides a reservoir of neutral ion pairs (CaHPO<sub>4</sub><sup>0</sup>), which inhibit demineralization and promote the formation of hydroxyapatite crystals inside carious lesions.<sup>143</sup> CPP-ACP is also detectable in the plaque matrix and the surface of bacterial cells of subjects three hours after consuming CPP-ACP-containing mouthrinse or chewing gum.<sup>141</sup> The mechanisms by which CPP-ACP reduces erosive tooth wear are unclear. However, the finding that TM increases hardness of enamel eroded by cola drink<sup>68</sup> implies that its erosion-inhibiting potential probably involves remineralization action. Unlike the process of remineralization of carious lesions, eroded tooth structure is likely to be repaired by deposition of mineral into the porous zone rather than crystal regrowth.<sup>100</sup> This hypothesis is consistent with the observation that superficial granular structures were noted to form on the enamel surface, probably representing remineralized enamel structure, after treatment with a sports drink containing CPP-ACP.<sup>125</sup>

A non-fluoridated toothpaste was used instead of fluoridated toothpaste in the present study because fluoride has been found to act synergistically with CPP-ACP in remineralizing carious lesions,<sup>148</sup> potentially making it a confounding variable. Previous studies have observed that non-fluoridated toothpaste results in greater erosive tooth wear than fluoridated toothpaste,<sup>132</sup> and that the level of protection also varies with fluoride formulation.<sup>107</sup> In this context, the use of non-fluoridated toothpaste in our experiments could have resulted in relatively higher effectiveness of CPP-ACP in tooth wear prevention. Future studies are needed to compare the effectiveness of TM and fluoride, when used individually or in combination, in preventing erosive tooth wear.

On the basis of this investigation, it appears that TM application may help reduce erosive tooth wear of coronal enamel by toothbrush abrasion. These findings provide a basis for future in situ studies and clinical trials that will determine the true potential of TM in preventing erosive tooth wear.

### 4.6 Acknowledgements

This project was completed during the tenure of the NHMRC (National Health and Medical Council of Australia) Dental Postgraduate Research Award to the first author. It was also supported by research grants from the Australian Dental Research Foundation Inc. and G C Asia Pty Ltd, and by travelling awards to the first author from the University of Adelaide and the NHMRC of Australia. The authors also wish to thank Prof Tim Watson for providing laboratory facility to complete this study, Ms Nancy Briggs for conducting statistical analysis, and Mr Peter Pilecki and Mr Richard Mallet for providing technical assistance.

## **CHAPTER 5**

# THE EFFECT OF CPP-ACP ON ENAMEL EROSION UNDER CONDITIONS SIMULATING GASTRIC REGURGITATION

This manuscript has been written in the format for the Australian Dental Journal. The findings of this study are preliminary, and it will be submitted for publication after further experiments comparing the erosion-inhibiting potential of CPP-ACP and fluoride have been conducted. This manuscript is listed as paper 1 under Chapter 9, Section 1.1.2.

Statement of contribution by all authors

Title: The effect of CPP-ACP on enamel erosion under conditions simulating gastric regurgitation

S Ranjitkar was primarily responsible for this project. S Ranjitkar designed and co-ordinated the study, and interpreted the data.

JA Kaidonis, LC Richards and GC Townsend provided critical comments and general supervision.

All co-authors were involved with the preparation of the manuscript.

We give written permission for this paper to be included in S Ranjitkar's thesis titled "Biology of tooth wear: preventive strategies".

The effect of CPP-ACP on enamel erosion under conditions simulating gastric regurgitation

Ranjitkar S\* Kaidonis J\* Richards LC\*

Townsend GC\*

\*School of Dentistry The University of Adelaide Adelaide SA 5005

Key words: tooth wear, demineralization, remineralization, experimental studies

Number of figures: 1

Address for correspondence: Dr Sarbin Ranjitkar School of Dentistry The University of Adelaide Adelaide SA 5005 Telephone: +61 8 8303 3069 Fax: +61 8 8303 3444 E-mail: sarbin.ranjitkar@adelaide.edu.au

### 5.1 Abstract

Background: Tooth Mousse® (TM), containing an anticariogenic remineralizing agent in the form of casein phosphopeptide – amorphous calcium phosphate (CPP-ACP), has been found to reduce erosive enamel wear under conditions simulating heavy attrition and gastric regurgitation. The aim of this in vitro study was to determine the effect of TM on enamel erosion under conditions simulating gastric regurgitation alone.

Methods: Flat, polished enamel specimens were subjected to regimes of 10 erosion episodes, with each episode involving application of a mousse containing CPP-ACP (TM), a mousse with the same formulation but without CPP-ACP (TM-) or no mousse for 5min followed by erosion in hydrochloric acid (HCl) at pH 1.2. The specimens were immersed in artificial saliva for 2 hrs between erosion episodes. In the experimental group 1, TM was applied at the beginning of each erosion episode for 5min whereas TM- was applied in the experimental group 2. No TM or TM- was applied in the control group. One-way ANOVA was conducted to determine whether there were significant differences in mean erosion depths between the three groups (p>0.05).

Conclusions: TM did not protect enamel against erosion under conditions simulating gastric regurgitation at pH 1.2. Comparison of this finding with those of a previous study involving heavy attrition at pH 1.2 suggests that lubricating effect of TM, rather than its remineralizing effect, was probably the primary factor responsible for reduction of wear in that study.

### 5.2 Introduction

There has been an increase in the prevalence of erosive tooth wear (referring to tooth wear by erosion combined with mechanical factors, such as attrition and toothbrush abrasion) as increasing numbers of elderly patients are retaining their natural teeth to a stage where they present with extensive wear.<sup>128</sup> In addition, more younger patients are also presenting with wear of both primary and permanent teeth.<sup>74,129</sup> In this context, clinical management should focus on early detection and prevention before a restorative approach is considered.<sup>128</sup>

Larsen et al.<sup>112,113</sup> reported that fluoride at high concentration provided minimal protection against dental erosion. However, Hove et al.<sup>118</sup> observed that intensive application of fluoride provided significant protection against enamel erosion under conditions simulating gastric reflux (at pH 2.0). Other researchers have indicated that fluoride can protect enamel and dentine from both erosion<sup>53,110,116</sup> and a combination of erosion and toothbrush abrasion,<sup>53,102</sup> but not from attritional wear between opposing enamel and dentine specimens.<sup>76</sup> Thus, there is still a need to improve preventive strategies to manage the risk of erosive insult on teeth.<sup>107</sup>

Tooth Mousse® (TM), manufactured by the GC Corporation, Japan, contains an anticariogenic remineralizing agent CPP-ACP (amorphous calcium phosphate nanocomplex stabilized by casein phosphopeptide) that prevents demineralization and promotes remineralization of subsurface carious lesions in both enamel and dentine.<sup>143,144</sup> In addition to its anticariogenic property, CPP-ACP has been found to reduce enamel erosion from citric acid<sup>121</sup> and to reduce the erosive potential of an acidic sports drink.<sup>125</sup> A recent study reported that attritional wear of dentine was almost eliminated with continuous application of TM compared with hydrochloric acid lubricant (pH 3.0) and deionized water lubricant (pH 6.1).<sup>95</sup> Furthermore, intermittent application of TM reduced dentine wear in both acidic and near neutral environments, highlighting its lubricating and remineralizing properties in reducing attritional dentine wear.<sup>95</sup> properties of TM appeared to contribute to reduction in enamel wear under severe conditions simulating heavy attrition (100N) and gastric regurgitation (pH 1.2). However, the effect of TM on enamel erosion under conditions simulating gastric reflux alone is unknown.

The aim of this in vitro study was to determine the effectiveness of TM in reducing enamel erosion under conditions simulating gastric regurgitation at pH 1.2. It was hypothesized that TM would prevent enamel erosion under this condition.

### 5.3 Materials and methods

### 5.3.1 Sample preparation

Twelve intact, human third molar teeth with unknown history were selected from a pool of extracted teeth that had been stored in formalin after extraction as part of routine dental treatment in South Australia. The protocol for collection of extracted teeth was approved by the University of Adelaide Human Ethics Committee (H/27/90). Enamel specimens were obtained from the mesial, buccal, distal and lingual surfaces of the mid-coronal portion of each tooth using a diamond blade. Each specimen was then mounted in clear epoxy resin, and an outer layer of 500µm of enamel was removed by polishing the specimens flat progressively with 500 grit silicon carbide sandpaper (SiC-Paper, Struers A/S, Copenhagen, Denmark) and diamond discs with grain size of 15µm, 3µm and 1µm (MD-Dur cloths, Struers A/S, Copenhagen, Denmark).

### 5.3.2 Study design

Enamel specimens (n = 36) were randomly allocated into two experimental groups and one control group so that each group (n = 12) contained equal number of specimens from the mesial, distal, buccal and lingual surfaces of teeth. TM was applied intermittently to enamel specimens in the experimental group 1, and Tooth Mousse with the same formulation but without CPP-ACP (TM-) was applied intermittently in the experimental group 2. No TM or TM- was applied in the control group.

The effectiveness of TM and TM- in reducing enamel erosion was tested in an erosion model that is similar to the erosion-abrasion model<sup>99</sup> used in a previous study [Chapter 4], but without the abrasion component. All specimens were bathed in artificial saliva for two hours prior to experimentation. Artificial saliva was prepared according to the protocol used by Eisenburger et al.<sup>100</sup> and contained the following ingredients in deionized water: CaCl<sub>2</sub>.2H<sub>2</sub>O 0.7mmol/l; MgCl<sub>2</sub> 0.2mmol/l; KH<sub>2</sub>PO<sub>4</sub> 4.0mmol/l; HEPES buffer (acid form) 20.0mmol/l; KCl 30.0mmol/l, with the pH being adjusted to 7.0.

Enamel specimens were subjected to regimes of 10 erosion episodes at room temperature (around 23<sup>o</sup>C), with each regime involving application of TM or TM-, erosion in hydrochloric acid (HCl) at pH 1.2 and then remineralization with artificial saliva. In experimental group 1, TM was applied for a total of 5min following manufacturer's recommendation. After an initial application for 3min, TM was diluted with artificial saliva during the remaining 2min to simulate the washing effect of saliva intra-orally. The same protocol was used for experimental group 2 using TM-, and for the control group but without the application of TM or TM-. At the end of each regime, TM and TM- were gently rinsed off the surface with tap water for 2min and then air-dried. Specimens were then subjected to erosion in 0.063M hydrochloric acid (pH 1.2) for 2min. The specimens were then washed with water for a further 1min and blot-dried gently using paper towels. Then, they were stored in artificial saliva for 2hrs before the next erosion episode began.

### 5.3.3 Assessment of tooth wear

Quantitative measurements were undertaken for erosion depths by using a 2D contacting profilometer 'Zeiss Handysurf E-35A' (Advanced Metrology Systems Ltd, Leicester, UK), whose accuracy (resolution in z-axis) is less than one micron. In this system, an active piezo sensor detects contact between the sensor and the scanned surface and then data is exported to a personal computer to create a 2D surface topography. The maximum tracing distance for this sensor is 4.0mm over a height of 150µm. An average of three erosion depths was recorded for each specimen. Nine specimens were discarded (two in the experimental group 1, four in the experimental group 2 and three in the control group) because of the difficulty in creating surface profiles of these specimens.

### 5.3.4 Statistical analysis

Based on power calculations (assuming an effect size = 0.55; type I error probability,  $\alpha$  = 0.05; power, 1-  $\beta$  = 0.80 for comparison of mean values between three samples displaying intermediate dispersion of individual values), 12 enamel specimens were initially included in each group. One-way ANOVA was used to determine whether there were significant differences in mean erosion depths between the experimental and control groups. Statistical significance was set at the 0.05 probability level.

### 5.4 Results

Mean erosion depths and standard errors for enamel were  $37.5 \pm 2.4 \mu m$  in the experimental group 1,  $30.1 \pm 2.5 \mu m$  in the experimental group 2 and  $34.7 \pm 2.5 \mu m$  in the control group. There were no significant differences in mean erosion depths between the three groups (p>0.05) (Fig. 5.1).



**Treatment groups** 

Figure 5.1. Comparison of the mean erosion depths  $\pm$  S.E.( $\mu$ m) between different groups treated with Tooth Mousse®, Tooth Mousse Minus (without CPP-ACP) or no mousse.

## 5.5 Discussion

The finding that TM did not protect enamel against erosion under conditions simulating gastric regurgitation at pH 1.2 is consistent with that of Willumsen and colleagues,<sup>119</sup> who concluded that enamel was severely eroded regardless of pre-treatment with sodium or stannous fluoride solutions at this pH value. Our finding was surprising, given that enamel wear was reduced by 52.2% with intermittent TM application and by 31.5% with intermittent TM- application in a previous study conducted under similar conditions involving heavy attrition at pH 1.2 (Chapter 3). In that study, it was concluded that both remineralizing and lubricating properties of TM probably contributed to the reduction in erosive wear. TM and TM- were delivered as a paste in that study, and, in comparison, the experimental conditions in the present study were more conducive for remineralization of eroded lesions. These findings indicate that the lubricating effect of TM was probably the primary factor responsible for the reduction in erosive enamel wear in the previous study (Chapter 3). An alternative explanation to the remineralizing potential of TM in reducing erosive enamel wear in that study (Chapter 3) is that CPP-ACP enhanced the lubricating potential of other ingredients of TM (for example, glycerol), resulting in greater reduction in enamel wear with TM than with TM-.

The anticariogenic properties of TM, involving prevention of demineralization and enhancement of remineralization, are well-documented.<sup>111,143</sup> By maintaining saturation levels of calcium and phosphate at the tooth surface, CPP-ACP provides a reservoir of neutral ion pair (CaHPO<sub>4</sub><sup>0</sup>) that inhibits enamel demineralization and promotes formation of hydroxyapatite crystals inside carious lesions.<sup>143</sup> CPP-ACP is also detectable in the plaque matrix and the surface of bacterial cells of subjects three hours after consuming CPP-ACP-containing mouthrinse or chewing gum.<sup>141</sup> TM has also been reported to increase hardness of enamel after erosion in a cola drink (pH 2.7),<sup>68</sup> implying that its erosion-inhibiting properties are likely to involve prevention of demineralization and remineralization of eroded lesions.<sup>122</sup> However, the finding of the present study indicates that the erosion-inhibiting potential of TM is pH dependent, with little protection being provided in a very strong erosive environment at pH 1.2.

The findings of the present study along with those of other studies involving CPP-ACP<sup>121,122,125</sup> have implications in the clinical management of tooth wear. Current recommendations for self-application of TM for individuals at risk of dental erosion include application of TM before or after the occurrence of erosive episodes.<sup>149</sup> Liberali<sup>150</sup> formulated a preventive management plan involving Neutraflour toothpaste application (containing 5000ppm fluoride) twice daily and TM application nightly in an individual suffering from gastro-oesophageal reflux disease. It has been reported that neither fluoride<sup>119</sup> nor CPP-ACP seem to protect enamel against erosion at pH 1.2, although stannous fluoride provides greater protection against enamel erosion at pH 2.0 than sodium fluoride.<sup>118</sup> These findings imply that a more effective erosion-inhibiting product is needed for the clinical management of erosion in individuals suffering from gastric regurgitation.

The present study did not compare the erosion-inhibiting effect of TM with that of fluoride as it is difficult to make direct comparisons between our findings and those for fluoride<sup>118,119</sup> due to

methodological differences. Future studies are needed to compare the relative benefits of CPP-ACP and fluoride, when used individually or in combination, in preventing dental erosion.

### 5.6 Conclusion

Within the limitations of the present in vitro study, it is concluded that TM does not protect enamel against erosion under conditions simulating gastric regurgitation at pH 1.2. This finding is consistent with a previous finding that fluoride also does not protect enamel against erosion at this pH value. Thus, a more effective erosion-inhibiting product is needed for the clinical management of erosion in individuals suffering from gastric regurgitation. Comparison of our finding with those of a previous study involving heavy attrition at pH 1.2 (Chapter 3) indicates that lubricating effect of TM, rather than its remineralizing effect, was probably the primary factor responsible for reduction of enamel wear in that study.

### 5.7 Acknowledgements

This project was supported by a research grant from the Australian Dental Research Fund Inc. The second author was a recipient of the Dental Postgraduate Research Award from the National Health and Medical Research Council of Australia (NHMRC). Technical assistance provided by Dr Chin Nguyen, Adelaide Microscopy, Mr Victor Marino, Ms Archana Paneru and Mr Nabaraj Dahal is gratefully acknowledged.

# **CHAPTER 6**

# SPECTRAL ANALYSIS OF THE ENAMEL SURFACE TREATED WITH CPP-ACP

This manuscript has been written in the format for the Australian Dental Journal. The findings of this study are preliminary, and it will be submitted for publication after further experiments using a larger sample have been conducted. This manuscript has also been listed as paper 2 under Chapter 9, Section 1.1.2.

### Statement of contribution by all authors

Title: Characterization of the enamel surface treated with CPP-ACP using mass spectrometry

S Ranjitkar was primarily responsible for this project. S Ranjitkar and A Lewis both designed and co-ordinated the study, and collected and interpreted the data. A Lewis ran enamel specimens under the ToF-SIMS equipment. V Marino participated in experimental design and sample preparation.

JA Kaidonis, LC Richards and GC Townsend provided critical comments and general supervision.

All co-authors were involved with the preparation of the manuscript.

We give written permission for this paper to be included in S Ranjitkar's thesis titled "Biology of tooth wear: preventive strategies".

Spectral analysis of the enamel surface treated with CPP-ACP

S Ranjitkar\* A Lewis\*\* J Kaidonis\* V Marino\* LC Richards\* GC Townsend\* \*School of Dentistry

The University of Adelaide

Adelaide SA 5005

\*\*ACeSSS

The University of South Australia

Mawson Lakes SA 5095

Key words: tooth wear, abrasion, prevention, Tooth Mousse®, lubrication, ToF-SIMS

Number of figures: 2

#### 6.1 Abstract

Background: Tooth Mousse® (TM) can reduce abrasive tooth wear by lubrication, but the nature of third-body components at the wear interface is not properly understood. The aim of this study was to characterize the enamel surface after treatment with TM and to clarify its mode of action in reducing tooth wear.

Methods: Two flat, polished enamel specimens from the buccal and lingual halves of a human third molar tooth were selected for this study. The buccal (experimental) specimen was subjected to treatment with TM for 5min followed by washing with ultra-pure double deionized water for 2min before being dried with liquid nitrogen for 1min. No TM was applied to the lingual (control) specimen. Spectroscopy of the specimens was undertaken using ToF-SIMS (Time of Flight - Secondary Ion Mass Spectrometry) to obtain mass spectra in six different areas on each specimen.

Results: The peak areas for silicon and ethyl siloxane, which represented their relative concentrations, were greater in the experimental specimen than in the control specimen. The peak area for calcium was lower in the experimental specimen. Comparison of possible substances representing glycerol ( $C_3H_8O_3$ ,  $C_3H_7O_3$ ,  $CH_2O$  and  $CH_3O$ ) between the two specimens yielded inconsistent results.

Conclusions: Traces of silicon and ethyl siloxane, found to be ingredients of TM, are potential third-bodies leading to polishing at the wear interface and reduction in tooth wear. Lower calcium content on the experimental specimen indicated that a thin layer of CPP-ACP was probably present on its surface, with its calcium content being lower than that of the hydroxyapatite of the control specimen. Further research is planned to obtain reference peaks for glycerol and other organic ingredients of TM in order to improve the accuracy of the ToF-SIMS analysis.

### 6.2 Introduction

To conform with the paradigm of minimal intervention dentistry, clinical management of tooth wear should focus on early detection and prevention before a restorative approach is considered.<sup>128</sup> Recent evidence suggests that fluoride can protect enamel and dentine from both erosion<sup>110,118</sup> and a combination of erosion and toothbrush abrasion,<sup>53,102</sup> but not against attritional wear between opposing enamel and dentine specimens.<sup>76</sup>

An anticariogenic product (for example, Tooth Mousse®, TM, G C Asia Pty Ltd, Japan) containing remineralizing agent in the form of CPP-ACP (amorphous calcium phosphate nanocomplex stabilized by casein phosphopeptide) has been reported to reduce enamel erosion from citric acid<sup>121</sup> and white wine (pH 3.2),<sup>122</sup> and to reduce erosive tooth wear involving toothbrush abrasion (pH 3.2).<sup>137</sup> However, it does not appear to be effective against erosion simulating gastric regurgitation (pH 1.2) (Chapter 5). In another study investigating the effect of intermittent application of TM on erosive enamel wear between opposing enamel specimens at pH 1.2, TM with and without CPP-ACP was found to reduce erosive enamel wear (Chapter 3). Ranjitkar et al.<sup>95</sup> also reported that intermittent application of TM reduced dentine wear in both acidic and near neutral environments, indicating that both its remineralizing and lubricating properties were probably responsible for reduction in erosive dentine wear. Furthermore, the observation that attritional wear of dentine was almost eliminated with continuous application of TM compared with hydrochloric acid lubricati (pH 3.0) and deionized water lubricati (pH 6.1) suggests that the lubricating property.<sup>95</sup>

As TM was applied intermittently between erosive wear episodes and appeared to have been washed off during visual assessment in Chapter 3 and in our previous study,<sup>95</sup> and it seems that traces of its ingredients (for example, glycerol and CPP-ACP nanocomplexes) adhered to the enamel surface and that they lubricated the wear interface by acting as third-bodies. Previous

researchers have used a very sensitive surface analytic technique, the secondary ion mass spectrometry (SIMS) that is capable of detecting concentrations of elements or molecules at subparts per million, to identify corrosion products from CoCr orthopaedic implants at the site of physical wear.<sup>70,71</sup> SIMS has also been used to map the chemical changes across an incipient carious lesion<sup>63</sup> and to elucidate the mechanism of fluoride uptake associated with CO<sub>2</sub> laser treatment on enamel.<sup>72</sup> In this context, Time of Flight - Secondary Ion Mass Spectrometry (ToF-SIMS) has the potential to identify the types of third-body components at the wear interface, and to elucidate the mechanism by which TM reduces tooth wear.

By characterizing the enamel surface after treatment with TM, the aim of the present study was to clarify the likely modes of action of TM in preventing enamel wear. It was hypothesized that ingredients of TM would be detected on the enamel surface treated with TM. Although other ingredients with a sticky consistency (for example, D-sorbitol, propylene glycol, sodium carboxycellulose and guar gum) may contribute to wear reduction, the present study was limited to preliminary investigation of calcium, phosphorous and glycerol contents in TM.

### 6.3 Materials and methods

### 6.3.1 Sample preparation

One intact, human third molar tooth was selected from a pool of extracted teeth that had been stored in formalin. This tooth had been extracted as part of routine dental treatment in South Australia. The protocol for collection of extracted teeth was approved by the University of Adelaide Human Ethics Committee (H/27/90). After the tooth was sectioned into buccal and lingual halves, each half was mounted in clear epoxy resin followed by the removal of an outer layer of 500µm of enamel by polishing flat progressively with 500, 1000 and 2400 grit silicon carbide sandpapers (SiC-Paper, Struers A/S, Copenhagen, Denmark) and diamond discs with grain size of 15µm, 3µm and 1µm (MD-Dur cloths, Struers A/S, Copenhagen, Denmark). Ultra-pure, double

deionized water (pH 6.1) was used as a lubricant with silicon carbide sandpapers, and standard diamond pastes and green lubricant were used with diamond discs.

### 6.3.2 Study design

After sample preparation, the specimens were washed thoroughly with ultra-pure, double deionized water for 2min and dried gently with air. The experiments were conducted in a laminar flow cabinet providing an aseptic and dust-free environment. The buccal (experimental) specimen was treated with TM for 5min and then washed with ultra-pure deionized water for 2min before being dried with liquid nitrogen for 1min. The lingual (control) specimen was washed and dried using similar protocols, but no TM was applied to it.

Spectroscopy of specimens was undertaken using ToF-SIMS to image mass spectra in six different areas on each specimen. Spectral analysis was performed using a PHI TRIFT 2100 ToF-SIMS equipped with gallium liquid metal ion gun (LMIG) operating with a beam current of 1nA and a net impact energy of 20kV. In spectrographic mode the instrument allows chemical characterization for selected areas and, with careful experiment design, this characterization can be quantified. In imaging mode, the ToF-SIMS produces maps of chemical species on surfaces at concentrations of parts per million, with the spatial resolution being 1- $2\mu$ m. The system uses a pulsed primary ion beam to desorb and ionize species from a sample surface. Damage to the uppermost monolayers is minimized by applying low primary gallium ion fluxes. Depth profiles were performed by scanning an area of 195 x 180 $\mu$ m with a continuous beam, with analysis being taken at regular time intervals from the central 100 x 90 $\mu$ m area. Sputter times for the depth profiles were converted to material removal depths by calibrating the instrument with a 100-nm Ta<sub>2</sub>O<sub>5</sub> film on Ta foil and using a Monte Carlo ion Trajectory computer program (TRIM) to estimate the sputter rates of the relevant materials.

The SIMS library was searched for peak areas corresponding to the molecular weights of different ions, including silicon, calcium, phosphate and glycerol. SIMS is very sensitive in

detecting traces of inorganic ions, but the identification of organic ions requires some caution because of the possibility of desorption of atoms during the ionization process. To identify glycerol ( $C_3H_8O_3$ ), the spectral analysis was conducted on two combinations of carbon, hydrogen and oxygen elements ( $C_3+H_7+O_3$  and  $C_3+H_8+O_3$ ) as well as two molecules with atomic masses of 91.05 (for  $C_3H_7O_3$ ) and 92.05 (for  $C_3H_8O_3$ ). As carbon chains of a molecule can be disintegrated during the ionization process, SIMS analysis was also conducted for the hydroxy methyl species of glycerol [- $CH_2-OH$  (- $CH_3O$ ) and -CH-OH (- $CH_2O$ )]. Preliminary investigations showed that there were no significant differences in the contents of C3+H7+O3 and C3+H8+O8 between the experimental and the control specimens, so final SIMS analysis for glycerol was limited to glycerol ions ( $C_3H_7O_3$  and  $C_3H_8O_3$ ) and their methyl species (- $CH_3O$  and - $CH_2O$ ). Based on the assessment of molecular weights, a peak corresponding to amu 30.04 was identified to represent - $CH_2O$  and peaks with amu 31.02 or 31.04 were identified to represent - $CH_3O$ .

Given that electropositive and electronegative ions are represented in +ve spectrum (+SIMS) and –ve spectrum (-SIMS) respectively, and that organic ions are represented in both +ve and – ve spectra (+SIMS and –SIMS), the SIMS analyses for silicon (Si<sup>+4</sup>), calcium (Ca<sup>+2</sup>) and phosphorous (P<sup>-3</sup>) were conducted on their respective spectra and the SIMS analysis for glycerol was conducted on both +SIMS and –SIMS. These organic ions were identified on the spectra after the SIMS library was calibrated for CH<sub>3</sub>, C<sub>2</sub>H<sub>5</sub> and C<sub>3</sub>H<sub>7</sub>. The peak areas for these organic ions (representing their relative concentration) were normalized to the total counts of peaks, with the total peak area for all ions being 1. This allowed for any variation in the peak intensity that could have been caused by the variation in sample topography, differences in sample positioning in the holder and instrument set up. Total peak areas for the organic ions were then compared between the experimental and the control specimens.

During preliminary analysis, peak areas for silicon and ethyl siloxane ( $C_2H_5SiO$ ) were noted to be greater in the experimental than the control specimens. As ethyl siloxane is a common industrial contaminant, a separate investigation was conducted by characterizing the surface of a clean silicon wafer after treatment with TM for 5min followed by washing and drying using standard protocols. This analysis confirmed that ethyl siloxane was indeed present in TM. Thus, spectral analysis for silicon and ethyl siloxane was also included in the overall spectral analysis.

As the spectral analysis was limited to sampling from six regions of one experimental and one control specimen, no statistical analysis was conducted to compare the mean peak areas for organic and inorganic ions because they were likely to be highly correlated. Thus, the assessment of enamel surfaces treated with TM involved comparison of mean values for six peak areas of inorganic and organic ions of interest between the specimens.

### 6.4 Results

The peak areas for silicon and ethyl siloxane were greater by 30.4 fold and 12.3 fold in the experimental specimen than in the control specimen (Fig. 6.1). The peak area for calcium was lower by 0.8 fold in the experimental specimen than in the control specimen (Fig. 6.1), but the peak areas for phosphorous were similar between the specimens.



Figure 6.1. Comparison of the peak areas for silicon, calcium and ethyl siloxane between the experimental specimen (treated with Tooth Mousse®) and the control specimen.

Analysis of +SIMS library indicated that the peak area for a molecule of amu 92.04 (probably representing  $C_3H_8O_3$ ) was greater by 6.0 fold in the experimental specimen compared with the control specimen. However, the peak area for a molecule of amu 91.05 (probably representing  $C_3H_7O_3$ ) was less by 0.5 fold in the experimental specimen than the control specimen. In the – SIMS library, the peak areas for these organic ions were similar between the experimental and the control specimens (Fig. 6.2).



Figure 6.2. Comparison of the relative concentration of molecules with atomic mass units of 91.054 and 92.0473, probably representing glycerol, between the experimental specimen (treated with Tooth Mousse®) and the control specimen.

Comparison of the peak areas for methyl species of glycerol (-CH<sub>2</sub>O and -CH<sub>3</sub>O) between the two specimens also yielded inconsistent results. The peak areas for an organic ion with amu 30.04 (probably representing -CH<sub>2</sub>O) were similar between the experimental specimen (0.021) and the control specimen (0.023) in the +SIMS library, but the peak area was greater in the experimental specimen than the control specimen in the –SIMS library (0.204 vs 0.090 respectively). Two organic ions with amu 31.02 and 31.04 were identified as possible methyl species -CH<sub>3</sub>O of glycerol. The peak areas for an organic ion with amu 31.02 were similar

between the experimental and control specimens in both +SIMS and –SIMS libraries. The peak area for an organic ion with amu 31.04 was less in the experimental specimen than that in the control specimen in the +SIMS library (0.003 vs 0.006 respectively), but these areas were similar between the specimens in the –SIMS library.

### 6.5 Discussion

Traces of silicon and ethyl siloxane were found on the enamel surface after treatment with TM, indicating that they were ingredients of TM. Silicon carbide discs were used to polish enamel specimens in this study, and the possibility of silicon contamination from these discs cannot be ruled out. However, this is unlikely to be a major problem because enamel specimens were further polished with three diamond discs, and silicon particles were likely to have been removed during this stage. Furthermore, the same polishing protocols were used for both the experimental and control specimens, and silicon contamination in the laboratory, the experiments were conducted in an ultra clean environment. Possible bias arising from the variation in the enamel structure between teeth is also unlikely because both the experimental and control specimens were obtained from the same tooth. Furthermore, anisotropic microstructure of enamel structure of an effect ToF-SIMS analysis.<sup>63</sup>

The calcium content on the surface of the control specimen represented that of hydroxyapatite in enamel. The observation that calcium content was lower in the experimental specimen suggests that a thin layer of TM (including CPP-ACP) was present on its surface and that the calcium content was lower in this film than that in hydroxyapatite. The calcium concentration in the experimental specimen was probably lowered by presence of other ingredients in TM (for example, casein phosphopeptide and other organic and non-organic ingredients) on the surface of the experimental specimen.

95

The findings of the present study support the hypothesis that CPP-ACP nanocomplexes and silicon in the form of silica and ethyl siloxane in TM lubricate the wear interface to reduce tooth wear caused by both attrition<sup>95</sup> and toothbrush abrasion.<sup>137</sup> The mechanism by which silicon in TM lubricates tooth surface may resemble that involved in polishing of tooth specimens using silicon carbide discs in laboratories. The lubricating action of TM may also involve other ingredients that have a sticky consistency (for example, D-sorbitol, propylene glycol, sodium carboxycellulose and guar gum). Thus, further spectral analysis is needed for these ingredients to better understand the nature of third-body components at the wear interface. In addition, the role of proteins in TM (for example, casein) also needs to be investigated because they have a potential to modify the mechanical and tribological properties of enamel. Recently, it has been noted that the protein phase between apatite crystals of enamel helps to distribute shear strain,<sup>145</sup> to deflect and arrest cracks<sup>146</sup> and to sustain repetitive cyclic contact loading.<sup>147</sup>

Characterization of glycerol and its methyl species on the enamel surface yielded inconsistent results, probably because of the lack of 'reference peaks' for glycerol. In this context, further experiments need to be conducted to characterize enamel specimen after treatment with glycerol alone. This will highlight which organic ions (with amu 92.04 or 91.05) and methyl species (-CH<sub>3</sub>O or -CH<sub>2</sub>O) represent glycerol and will improve the reliability for the analysis of glycerol content in TM. In this context, individual 'reference peaks' should be prepared for other ingredients of TM that can lubricate the wear interface before conducting their spectral analyses.

This study has identified some third-bodies that are probably responsible for lubricating the wear interface at a relatively neutral pH value. Further studies are needed to provide an insight into the mechanisms involved in reducing erosive tooth wear. Barbour and Rees<sup>69</sup> described the application of SIMS in creating surface mapping of calcium and magnesium of an eroded enamel surface. This method has the potential to enable early mineral loss of eroded tooth surface, but further study is planned to validate its suitability for erosion studies.<sup>51</sup>

### 6.6 Conclusion

Improved understanding about the characteristics of opposing wear surfaces, and the role of third-body components in lubricating the wear interface, should assist in the development of new strategies for tooth wear prevention.<sup>52</sup> In this context, this study has provided some insight into potential third-body components from TM that can lubricate the wear interface. In particular, silicon, ethyl siloxane and CPP-ACP nanocomplexes are likely to contribute to polishing at the wear interface and therefore lead to reduction in tooth wear. Further research is planned to better understand the role of other organic ingredients of TM in reducing tooth wear, including proteins (for example, casein), that are likely to modify the mechanical properties of enamel.

## 6.7 Acknowledgements

This project was supported by a research grant from the Australian Dental Research Foundation Inc. We would like to thank Dr My Anh Vu for providing technical assistance.

# **CHAPTER 7**

# GENERAL DISCUSSION AND CONCLUSIONS

Understanding of the characteristics of tooth wear under different conditions (for example, under different loads and pH values) has been based primarily on in vitro studies. Although findings from in vitro studies should only be used to obtain general information about tooth wear, and should not be extrapolated directly to in vivo situations, in vitro studies can separate out different components of tooth wear. In vitro studies cannot replicate all possible conditions in vivo, so three conditions involving enamel wear were chosen for the present study simulating heavy attrition (under a load of 100N) in combination with gastric regurgitation (at pH 1.2), toothbrush abrasion (under a load of 2N) after an erosive episode (at pH 3.2), and erosion simulating gastric regurgitation alone (at pH 1.2).

TM was observed to reduce erosive enamel wear under conditions simulating heavy attrition in combination with gastric regurgitation at pH 1.2 (Chapter 3). It also reduced enamel wear under conditions simulating toothbrush abrasion after an erosive episode at pH 3.2 (Chapter 4). In these two models, Tooth Mousse Minus (TM- without CPP-ACP) reduced erosive enamel wear, probably by lubricating the wear interface. This hypothesis is consistent with the observation in the attrition/erosion model that parts of wear facets of opposing enamel specimens treated with Tooth Mousse® (TM) and TM- were shiny and burnished, and corresponded to areas where sliding wear occurred between opposing specimens (Figs. 3.2A and 3.2B). The third bodies acting at the wear interface are likely to include broken down enamel fragments and ingredients of TM (such as CPP-ACP, glycerol and silica). It seems that the differences in the amounts of tooth wear between experimental groups 1 and 2 reflect the remineralizing potential of CPP-ACP in reducing erosive enamel wear. An alternative explanation to its remineralizing potential is that CPP-ACP may have enhanced the lubricating potential of other ingredients of TM. During the experiments, TM was indeed noted to be more viscous than TM- and was likely to have adhered better to the enamel surface.

TM did not protect enamel against strong erosive demineralization at pH 1.2. Previous studies have indicated that CPP-ACP can reduce enamel erosion<sup>125</sup> and increase the microhardness of enamel after erosion in a cola drink at a higher pH value of around 3.0,<sup>68</sup> implying that its erosion-inhibiting properties are likely to involve prevention of demineralization and remineralization of eroded lesions.<sup>122</sup> Thus, the erosion-inhibiting potential of CPP-ACP seems to be pH dependent, with little protection being provided in a very strong erosive environment at pH 1.2. These findings indicate that the mechanism by which TM reduces erosive tooth wear is complex, with its lubricating effect probably being the primary factor in wear reduction in a very strong acidic environment.

The findings of ToF-SIMS analysis support the hypothesis that CPP-ACP nanocomplexes and silicon in TM can lubricate the wear interface and reduce tooth wear caused by attrition and toothbrush abrasion. Traces of silicon and ethyl siloxane were found on the enamel surface after treatment with TM, indicating that they were ingredients of TM. The mechanism by which silicon in TM may have polished the wear interfaces in the attrition/erosion and toothbrush abrasion/erosion models (including both enamel and dentine specimens) may resemble the way that silicon carbide discs polish tooth specimens in laboratories. In the spectral analysis, the calcium content on the surface of the control specimen represented that of hydroxyapatite in enamel. The observation that calcium content was lower in the experimental specimen suggests that a thin layer of TM (including CPP-ACP) was present on its surface and that the calcium content was lower in this film than in hydroxyapatite. The calcium concentration in the experimental specimen was probably lowered by the presence of other ingredients in TM (for example, casein phosphopeptide and other organic and non-organic ingredients) on the surface of the experimental specimen. The lubricating action of TM may also involve other ingredients that have a sticky consistency (for example, D-sorbitol, propylene glycol, sodium carboxycellulose and guar gum). Thus, further spectral analysis investigating a greater number of ingredients of TM is needed to better understand the nature of third-body components at the wear interface.

100

Our understanding of the potential role of TM in remineralizing eroded lesions is based mainly on its anticariogenic properties. The potential of CPP-ACP as an anticariogenic agent has been reported both in vitro and in situ,<sup>141,142</sup> with CPP-ACP preventing demineralization and promoting remineralization of sub-surface carious lesions in enamel and dentine.<sup>144</sup> CPP-ACP maintains saturation levels of calcium and phosphate at the tooth surface and provides a reservoir of neutral ion pairs (CaHPO<sub>4</sub><sup>0</sup>), which inhibit demineralization and promote the formation of hydroxyapatite crystals inside carious lesions.<sup>143</sup> CPP-ACP is also detectable in the plaque matrix and the surface of bacterial cells of subjects three hours after they have consumed CPP-ACP-containing mouthrinse or chewed gum.<sup>141</sup> The mechanism by which TM remineralizes eroded enamel lesions may be fundamentally different to its anticariogenic mechanisms. Given that erosion is predominantly a surface phenomenon and that it is likely to result in only a shallow, softened subsurface layer compared with carious lesions,<sup>7,96,97,128</sup> remineralization of eroded lesions is likely to involve repair by deposition of mineral into the porous zone rather than growth of eroded crystals.<sup>100</sup>

The findings of the present study have direct clinical implications in the management of erosive tooth wear involving a mechanical component. Current recommendations for self-application of TM in the management of dental erosion include application of TM before or after the occurrence of erosive episodes.<sup>149</sup> Professional recommendations for the management of dental erosion in individuals suffering from gastro-oesophageal reflux disease also include use of concentrated fluoride products and TM.<sup>150</sup> However, these recommendations may need to be revised in light of findings of the present study. Although TM may provide protection against erosive wear in heavy bruxers who also suffer from gastric regurgitation, it is unlikely to provide protection against erosive demineralization alone at pH 1.2. A previous study has also indicated that fluoride does not protect enamel against erosion at pH 1.2,<sup>119</sup> although stannous fluoride provides greater protection than sodium fluoride against enamel erosion at pH 2.0.<sup>118</sup>

These findings point to the need for the development of a more effective erosion-inhibiting (remineralizing) agent to protect teeth from strong erosive challenges.

The present study did not compare the erosion-inhibiting effect of TM with that of fluoride, and it is difficult to make direct comparisons between our findings and those for fluoride<sup>118,119</sup> due to methodological differences. Future studies are needed to compare the relative benefits of CPP-ACP and fluoride in preventing dental erosion, when used individually or in combination. Recent findings that calcium fluoride phosphate stabilized by CPP-ACP (CPP-ACFP) is more effective in preventing dental caries and remineralizing incipient carious lesions than CPP-ACP and fluoride products separately<sup>148</sup> also point to the need to investigate the role of Tooth Mousse Plus (containing ingredients of Tooth Mousse® along with 0.2% NaF) in tooth wear prevention.

The limitations of in vitro studies need to be considered when interpreting the findings of the present study. The experimental design in the present study did not include fluoride, acquired pellicle or natural saliva that would occur in vivo. The effectiveness of TM in reducing enamel wear may have been slightly overestimated in this study compared with in vivo situations because of additional protection provided by natural saliva<sup>37</sup> and fluoride.<sup>102,110</sup> However, the incorporation of additional variables would have complicated our models, which may have then been unable to investigate the erosion-inhibiting mechanisms of TM. Furthermore, spectral analysis using ToF-SIMS had to be conducted with few variables because of the difficulty associated with the identification of large organic ions. Addition of salivary factors in the model would have resulted in desorption of salivary proteins, increasing the complexity of spectral analysis.

The use of natural saliva in vitro can also be problematic because of individual and circadian variation in its properties,<sup>36</sup> and because of the need to implement strict infection control protocols in laboratories. Furthermore, storage conditions may alter its properties. In this context, artificial saliva was more desirable to use in the toothbrush abrasion/erosion model in

order to simulate the conditions in which erosive demineralization by dietary acid occurs intraorally. Artificial saliva with the same ingredients as in the present study has been shown to remineralize eroded enamel lesions,<sup>100</sup> but it does not have protein components and is unlikely to simulate all of the properties of natural saliva. Recent evidence suggests that proteins may have the potential to modify the mechanical and tribological properties of enamel. Swain and colleagues have suggested that shear strain on enamel is distributed along the protein phase between apatite crystals,<sup>145</sup> and that this protein phase helps to deflect and arrest cracks<sup>146</sup> and to sustain repetitive cyclic contact loading on enamel.<sup>147</sup>

On the basis of the findings of this study, it appears that the mechanism by which TM protects enamel against erosive wear is complex. TM with and without CPP-ACP may help reduce enamel wear under severe wear conditions simulating heavy attrition and gastric regurgitation, and also reduce erosive enamel wear by toothbrush abrasion. Although previous reports have indicated that TM protects enamel from erosion at around pH 3.0, probably by its remineralizing action, this did not occur at pH 1.2 in the present study. These findings imply that the lubricating effect of TM is more pronounced than its remineralizing effect in reducing erosive enamel wear. Furthermore, qualitative analysis of wear facets in the attrition/erosion indicates that anti-erosive potential of TM is more pronounced in areas subjected to sliding wear than impact wear.

Further studies are needed to clarify the nature of lubricating and remineralizing potential of TM on tooth wear prevention, and to compare its effectiveness with nightguards and other lubricating agents (for example, artificial saliva and food slurry) and remineralizing agents (for example, fluoride products and a combination of TM and fluoride) both individually and in combination. A further study involving nanoindentation measurements is being conducted to elucidate the effect of different remineralizing agents in reducing enamel erosion. At the completion of this study, a similar study will be conducted on dentine but this will first require establishment of baseline nanohardness data of sound and eroded dentine. The structure of

103

dentine is more complex than that of enamel, and, unlike enamel, hardness of dentine decreases with proximity to the pulp because of an increase in the size and density of dentinal tubules (containing softer intratubular dentine) and a decrease in microhardness of intertubular dentine.<sup>151</sup>

Improved understanding about the characteristics of opposing wear surfaces, and the role of third-body components in lubricating the wear interface, should assist in the development of new strategies for tooth wear prevention.<sup>52</sup> In this context, ToF-SIMS analysis has provided some insight into potential third-body components from TM. In particular, silicon, ethyl siloxane and CPP-ACP nanocomplexes are likely to contribute to polishing at the wear interface and therefore lead to reduction in tooth wear. Further research is planned to better understand the role of other organic ingredients of TM in reducing tooth wear, including proteins (for example, casein) that are likely to modify the mechanical properties of enamel.

The findings reported in this thesis have clinical implications in the management of tooth wear and may lead to the development of new strategies for preventing tooth wear. They provide a basis for future in situ studies and clinical trials that may determine the true potential of TM in preventing erosive tooth wear. Further studies are needed to compare its anti-erosive properties with those of fluoride, both individually and in combination.