Predicting Chemotherapy-Induced Febrile Neutropenia Outcomes in Adult Cancer Patients: An Evidence-Based Prognostic Model

Yee Mei, Lee

Cert Nursing (S'pore), RN, Adv. Dip. (Oncology) in Nursing (S'pore), Bsc of Nursing (Monash), Master of Nursing (S'pore)

Thesis submitted for the Doctor of Philosophy
School of Translational Health Science
The University of Adelaide
Adelaide, South Australia
Australia

Table of Contents

TABLI	E OF CO	NTENTS	
LIST C	OF TABLE	:S	VII
LIST C	OF FIGUR	RES	VIII
		EVIATIONS	
		N	
ACKI	NOWLED	GEMENTS	IXV
PUBLI	CATION	IS	XV
		CTION TO THE THESIS	
		AL CONTEXT	
		AL IMPACT OF CHEMOTHERAPY-INDUCED FEBRILE NEUTROPENIA	
1.3		DMIC IMPLICATIONS OF CHEMOTHERAPY-INDUCED FEBRILE NEUTROPENIA	
1.4		ING PRACTICE IN THE MANAGEMENT OF FEBRILE NEUTROPENIA	
1.5		NOSTIC FACTORS AND PROGNOSTIC MODELS	
1.6		NOSTIC FACTORS AND MODELS FOR RISK STRATIFICATION OF FEBRILE NEUTROP	
1.7	Curre	nt state of prognostic factors and models for risk stratification c)F
	FEBRILE	NEUTROPENIA PATIENTS	23
1.8		AL PROGNOSTIC MODEL FOR RISK STRATIFICATION OF FEBRILE NEUTROPENIA P	
1.9		CANCE OF THE RESEARCH	_
1.1	0 Struct	URE OF THE THESIS	26
2 B	ACKGRO	OUND	27
2.1		DUCTION TO THE CHAPTER	
		DPHILS AND THE BODY IMMUNE SYSTEM	
2.3		S OF NEUTROPENIA	
2.4	Снемо	OTHERAPY-INDUCED NEUTROPENIA	30
2.5	CHALL	ENGES IN THE MANAGEMENT OF CHEMOTHERAPY-INDUCED FEBRILE NEUTROP	ENIA 32
2.6	Снемо	DTHERAPY-INDUCED NEUTROPENIA AND FEBRILE NEUTROPENIA — RISK FACTOR	S AND
	PREVEN	ITIVE MEASURES	34
	2.6.1	Use of colony-stimulating factors in patients with neutropenic	y 35
	2.6.2	Use of antimicrobial prophylaxis in patients with neutropenia	37
2.7	FACTO	rs predictive of febrile neutropenia outcomes	
	2.7.1	Patient-related factors	
	2.7.2	Disease-related factors	
	2.7.3	Treatment-related factors	
	2.7.4	Febrile episode-related factors	
	2.7.5	Diagnostic / Laboratory markers	53

	2.8		ry of prognostic factors for the risk stratification of patients with	
			NEUTROPENIA	
	2.9		OSTIC MODELS FOR RISK STRATIFICATION OF PATIENTS WITH FEBRILE NEUTROPE	
		2.9.1	Talcott model	
		2.9.2	MASCC risk-index score	
		2.9.3	Risk model for patients with haematology malignancies	
		2.9.4	Summary of the prognostic models	
	2.10) Curren	IT STATE OF EVIDENCE	65
3	SY	STEMAT	IC REVIEW OF PROGNOSTIC FACTORS FOR FEBRILE NEUTROPENIA	1
	0	UTCOM	ES IN ADULT CANCER PATIENTS	67
	3.1	Introdu	JCTION TO THE CHAPTER	67
	3.2	EVIDENO	CE-BASED PRACTICE	67
	3.3	BARRIER	S OF EVIDENCE-BASED HEALTHCARE ADOPTION	69
	3.4	THE JOA	anna Briggs Institute model of evidence-based healthcare	70
		3.4.1	Framework of the Joanna Briggs Institute model	71
	3.5	Systema	ATIC REVIEW	74
	3.6	SCOPE (OF REVIEW FOR FACTORS ASSOCIATED WITH FEBRILE NEUTROPENIA OUTCOME	s 76
	3.7	CHALLE	NGES ASSOCIATED WITH SYSTEMATIC REVIEW OF PROGNOSTIC FACTORS	78
	3.8		NALYSIS OF INDIVIDUAL PATIENT DATA	
	3.9	Systema	ATIC REVIEW PROTOCOL	
		3.9.1	Statement of the review question	82
		3.9.2	Objectives of the review	
		3.9.3	Review Questions:	82
		3.9.4	Inclusion criteria	_
		3.9.5	Search strategy	
		3.9.6	Method of the review	
		3.9.7	Results of the systematic review	
		3.9.8	Review findings	98
		3.9.9	Patient-related factors	
		3.9.10	Disease-related factors	
		3.9.11	Treatment-related factors	101
		3.9.12	Febrile neutropenia episode-related factors	
		3.9.13	Laboratory markers	106
		3.9.14	Factors summarised according to the odd ratios	
		3.9.15	Summary of the review findings	
		3.9.16	Discussion	
		3.9.17	Limitations of the Review	
		3.9.18	Conclusions to the systematic review	
		3.9.19	Implications for practice	123
		3.9.20	Implications for research	124

4	PR	IMARY S	STUDY TO ESTABLISH CANDIDATE FACTORS FOR THE PROGNO	SIS OF
	FE		EUTROPENIA	
4	4.1		JCTION TO THE CHAPTER	
4	1.2		OSIS AND ITS UTILITY IN DECISION MAKING	
4	4.3 Single versus multiple predictors in prognostication			
4	1.4		F PROGNOSTIC STUDIES	
4	4.5		OSTIC FACTORS AND MODEL DEVELOPMENT	
2	4.6		OF PROGNOSTIC STUDIES	
		4.6.1	Study design: prospective compared with retrospective d	_
		4.6.2	Selection of candidate variables	
		4.6.3	Choice of appropriate outcome measures	
		4.6.4	Sample size and events in analysis – some limitations	
		4.6.5	Reporting of results	
_	1.7		y Study: Design and Methods	
		4.7.1	Justification for primary study	
		4.7.2	Purpose of the study	
		4.7.3	Study questions:	
		4.7.4	Site of study	
		4.7.5	Study design	
		4.7.6	Sampling	
		4.7.7	Study population	
		4.7.8	Sample Size	
		4.7.9	Operational definitions for variables	
		4.7.10	Outcomes	
		4.7.11	Data extraction and management	
		4.7.12	Statistical analysis	
		4.7.13	Ethics and Human Subject Issues	
_	1.8			
		4.8.1	Patient characteristics	
		4.8.2	Outcomes measure	
		4.8.3	Patient-related factors	
		4.8.4	Disease-related factors	_
		4.8.5	Treatment-related factors	
		4.8.6	Febrile episode-related factors	
		4.8.7	Laboratory results	
		4.8.8	Summary of the results	
		4.8.9	Discussion	
		4.8.10	Limitations of the primary cohort study	
		4.8.11	Conclusion	
5	PR	OGNOS	STIC MODEL DEVELOPMENT	185
	5.1		JCTION TO THE CHAPTER	
`			OSTIC MODELS – AN OVERVIEW	
			CTERISTICS OF GOOD PROGNOSTIC MODELS	

5.4	BUILDING	G A MULTIVARIABLE PROGNOSTIC MODEL	188		
	5.4.1	Selection of candidate predictors	188		
	5.4.2	Data quality and handling	189		
	5.4.3	Approach to final model derivation	190		
	5.4.4	Assessment of model performance	193		
	5.4.5	Model validation			
	5.4.6	Barriers to prognostic model implementation	196		
5.5	RESULTS:	:	198		
	5.5.1	Development of a prognostic model for febrile neutropenic			
		outcomes	198		
	5.5.2	Preliminary validation of BW and LB models			
5.6	DISCUS	SSION			
	5.6.1	Backward WALD elimination model and literature-based selec			
		predictors model	206		
	5.6.2	Comparison between the prognostic models			
	5.6.3	Limitations	_		
5.7	CONCL	USIONS			
	5.7.1	Implications for practice			
	5.7.2	Future research	215		
6 DI	scussic	ON AND CONCLUSION	- 216		
6.1	Introdu	JCTION TO THE CHAPTER	216		
6.2	REVIEW	REVIEW OF CURRENT APPROACH TO RISK STRATIFICATION AND MANAGEMENT OF PATIENTS			
	WITH FEB	RILE NEUTROPENIA	216		
6.3	SUMMAF	RY OF THE STUDIES	218		
	6.3.1	Systematic review of prognostic factors for febrile neutropenic	ĸ		
		outcomes	218		
	6.3.2	Primary study for prognostic factors associated with febrile			
		neutropenia outcomes	219		
	6.3.3	Development of a new prognostic model for febrile neutrope	nia		
		outcomes			
6.4	IMPLICA:	tions for Clinical Practice	220		
	6.4.1	The literature-based prognostic model for febrile neutropenia			
		outcomes			
6.5	IMPLICA:	tions for Synthesis Science	222		
	6.5.1	Improvement in the conduct and reporting of prognostic rese			
			- 222		
	6.5.2	Improvement in methodology for the systematic review of			
		prognostic studies			
6.6	IMPLICATIONS FOR RESEARCH 22				
	6.6.1 Risk-targeted interventions for management of febrile neutropenia				
			226		
	6.6.2	Validation of newly developed prognostic model for febrile			
		neutropenic outcomes			
	6.6.3	Comparative studies and impact analysis	- 228		

6.6.4 Heterogeneity in patient c	haracteristics 229
6.7 CONCLUSION	230
REFERENCES	232
APPENDIX I: DETAILED SEARCH TERMS USE	D FOR EACH DATABASE FOR SYSTEMATIC
REVIEW	260
APPENDIX II: CRITICAL APPRAISAL TOOLS	265
APPENDIX III: MASTARI DATA EXTRACTION	INSTRUMENT (ADAPTED) 267
APPENDIX IV: CHARACTERISTICS OF THE IN	ICLUDED STUDIES 268
APPENDIX V: CHARACTERISTICS AND REAS	SONS FOR EXCLUSION OF STUDIES 269
APPENDIX VI: THE JOANNA BRIGGS INSTIT	UTE LEVEL OF EVIDENCE 270
APPENDIX VII: DATA EXTRACTION FOR PRI	MARY STUDY 271

List of Tables

Table 2.1 National Cancer Institute Common Terminology Criteria for Adverse Events v3.0 (CTG for Neutrophils	CAE)
Table 3.1 Results for the critical appraisal of included studies using the JBI-MAStARI critical appraisal appra	
instrument	
Table 3.2 Summary of Clinical Factors Predictive of High- and Low-risk from the Included Studi	
according to Sub-groups	99
Table 3.3 Patient-related factors predictive of FN outcomes	
Table 3.4 Disease-related factors predictive of FN outcomes	
Table 3.5 Disease-related factors predictive of FN outcomes	101
Table 3.6 Febrile neutropenia episode-related factors predictive of FN outcomes	103
Table 3.7 Laboratory markers predictive of FN outcomes	106
Table 3.8 ORs above 5 (Factors associated with low-risk)	
Table 3.9 ORs above 5 (Factors associated with high-risk)	
Table 3.10 ORs above 2 (Factors associated with low-risk)	
Table 3.11 ORs above 2 (Factors associated with high-risk)	
Table 3.12 OR above 1 (Factors associated with high-risk)	
Table 3.13 Factors with OR not statistically significant	
Table 4.1 Candidate variables included in the primary study	
Table 4.2 Variables and their categories	
Table 4.3 Demographics of sample population	
Table 4.4 Outcomes measure for primary cohort study	
Table 4.5 Patient-related factors and febrile neutropenia outcomes	
Table 4.6 Disease-related factors and febrile neutropenia outcomes	
Table 4.7 Treatment-related factors and febrile neutropenia outcomes	
Table 4.8 Febrile episode-related factors and febrile neutropenia outcomes	
Table 4.9 Laboratory results and febrile neutropenia outcomes	
Table 5.1 The area under the receiver operating characteristic curve for 5 models generated multivariate logistic regression	from 200
Table 5.2 Backward WALD elimination prognostic model for chemotherapy-induced febrile	
neutropenia in adult cancer patients	201
Table $\dot{5}$.3 Literature-based (LB) model for chemotherapy-induced febrile neutropenia in adult	•
cancer patients	202
Table 5.4 Demographics for cancer patients experiencing second episode of febrile neutrope	
Table 5.5 Results from preliminary internal validation of BW and LB models	
Table 5.6 Preliminary internal validation for backward WALD (BW) and literature-based (LB) m	odels
Table 5.7 Current prognostic models for outcomes of febrile neutropenia in adult cancer pati	ents
	,,, <u>,</u> _

List of Figures

igure 2.1 Risk factors /Predictors for CIN and FN and its complications from published studies igure 3.1 JBI Model of Evidence-Based Healthcare	
igure 3.2 Overview of the JBI process for systematic review	
igure 3.3 Identification and selection of studies	92
igure 3.4 Meta-analysis for hypotension as a prognostic factor for high-risk patient with	
pacteraemia	.104
igure 3.5 Meta-analysis for hypotension as a prognostic factor for high-risk FN patients with gronegative bacteraemia	
igure 3.6 Meta-analysis for thrombocytopenia (platelets <50,000/mm³) as a prognostic factor nigh-risk patients	for
igure 4.1 Steps in the development of a multivariable prognostic model	
igure 5.1 Example and explanation of the area under the receiving operator characteristic cu	urves
igure 5.2 The area under the receiver operating characteristic curve for 5 models generated to the following the same that the receiver operating characteristic curve for 5 models generated to the following the f	

Abstract

Aims: This thesis explored and examined the clinical factors associated with the outcomes of chemotherapy-induced febrile neutropenia for adult cancer patients and confirms the independent predictive value of these factors.

Established as predictors, the factors were used to formulate a multivariable prognostic model to stratify patients according to their risk groupings (high- or low-risk) for adverse outcomes for febrile neutropenia. Newly developed models underwent preliminary validation for their performance as prognostic models for febrile neutropenia outcomes.

Background: Accuracy in risk stratification for cancer patients presenting with chemotherapy-induced febrile neutropenia is of critical importance. Serious morbidity may result when treatment is tailored according to misclassified levels of risk. New predictors and prediction tools used for risk stratification have been reported in the recent years. A systematic review was conducted on this topic as part of the thesis and the findings showed a lack of conclusive information on predictive values for some factors identified as predictors, and limitations in prognostic research studies' methodologies which affect the internal and external validity of the risk prediction tools.

Methods: Clinical factors identified through the systematic review contributed to the candidate factors investigated. Additional factors were also included based on other primary studies not included in the systematic review. A retrospective review of patients' medical records was conducted. Tests of association using

univariate analysis were conducted on these variables. Significant variables were tested and adjusted for confounders in a multivariate logistic regression analysis to formulate a multivariable tool for risk stratification of patients presenting with febrile neutropenia.

Results: Predictive values for some variables were re-established while some variables failed to demonstrate their predictive values in a univariate analysis. After statistically adjusting to the current factors used in existing prognostic models, a new risk prediction tool was developed predict the risk of adverse outcomes. This tool has been subjected to preliminary validation that confirmed its potential utility. Limitations of the study included single-centre data and the small sample size.

Conclusions: Application of a risk prediction tool has its benefits and limitations. However, enhancement of the methodological rigor and comprehensiveness of reporting of results in prognosis research needs to be emphasised for clarity in interpretation and implementation of the studies' findings. Despite the promising initial validation of the tool developed in this thesis, further extensive validation and evaluation of the tool's performance are needed to show the true impact of the tool on clinical practice.

List of abbreviations

ANC -Absolute neutrophil count

APC - Absolute phagocyte count

BW - Backward Wald

CBC - Complete blood cell

CCF - Congestive cardiac failure

CDI - Clinically documented infection

CIN - Chemotherapy-induced neutropenia

COPD - Chronic obstructive pulmonary disease

CSF - (Granulocyte) colony stimulating factor

EBHC - Evidence-based healthcare

EBM - Evidence-based medicine

ECOG - Eastern Cooperative Oncology Group

FN - Febrile neutropenia

IDSA - Infectious Diseases Society of America

IHD - Ischaemic heart disease

IPD - Individual patient data

JBI - Joanna Briggs Institute

LB - Literature-based (selected predictors)

MASTARI - Meta Analysis of Statistics, Assessment and Review Instrument

MDI - Microbiologically documented infection

MoAbs - Monoclonal antibodies

OR - Odds ratio

PUO - Pyrexia of unknown origin

ROC - Receiver operating characteristic

WBC - White blood cell

Declaration

I certify that this thesis contains is a record of original work and contains no material which has been accepted for the award of any other degree or diploma in any university or other tertiary institution and, to the best of my knowledge and belief, contains no material previously published or written by another person, except where due reference has been made in the text.

In addition, I certify that no part of this work will, in the future, be used in a submission for any other degree or diploma in any university or other tertiary institution without the prior approval of the University of Adelaide and where applicable, any partner institution responsible for the joint-award of this degree.

I give consent to this copy of my thesis when deposited in the University Library, being made available for loan and photocopying, subject to the provisions of the Copyright Act 1968. The author acknowledges that copyright of published works contained within this thesis (as listed below*) resides with the copyright holder(s) of those works.

I also give permission for the digital version of my thesis to be made available on the web, via the University's digital research repository, the Library catalogue and also through web search engines, unless permission has been granted by the University to restrict access for a period of time.

* Lee, YM, Lockwood, C. Prognostic factors for risk stratification of adult cancer patients with chemotherapy-induced febrile neutropenia: A systematic review and meta-analysis. Int J Nurs Pract. 2013.

*Lee, YM, Lang, D, Lockwood, C. Prognostic factors for risk stratification of adult cancer patients with chemotherapy-induced febrile neutropenia: A systematic review and meta-analysis JBI Library of Systematic Reviews. 2012;10(40):2593-2657.

Yee Mei. Lee	Date	

Acknowledgements

With sincere thanks to my supervisors Dr David Tivey and Dr Jared Campbell for their willing support, guidance and encouragement as I worked through the final preparation of this thesis and made it to completion.

My deepest appreciation to Associate Professor Craig Lockwood, Professor Alan Pearson AM and Dr Suzanne Robertson-Malt, for they have never failed to affirm and protect the flickers of curiosity, uncertainties and enthusiasm but encouraged me to let go of the sails, venture and discover.

This work has been possible with the support from the following persons:

Adjunct A/Prof Joe Sim, Chief Executive Officer and Adjunct A/Prof Lee Siu Yin, Director of Nursing, National University Hospital, Singapore for their commendation for the scholarship.

Professor John Wong E.L, Deputy Chief Executive of the NUHS and Director of the National University Cancer Institute, Singapore and Adjunct A/Prof Emily Ang, Deputy Director of Nursing, National University Hospital, Singapore for their continuous support of my aspirations for cancer care.

Dr Chan Yiong Huak, Head, Biostatistics Unit and Dr Ma Thin Mar Win, Yong Loo Lin School of Medicine National University Health System, Singapore, National University Hospital for their continuous advice and statistical support.

Royal Adelaide Hospital staff who have been a tremendous help in my data collection,

Colleagues and patients from NUH, fellow students and "family" from the Joanna Briggs Institute who have extended support, constant words of encouragement and friendship that have made a difference to the journey.

And, my family who believes in me.

Publications

The work of the chapter 3 has been published as follows:

Lee, YM, Lockwood, C. Prognostic factors for risk stratification of adult cancer patients with chemotherapy-induced febrile neutropenia: A systematic review and meta-analysis. Int J Nurs Pract. 2013.

Lee, YM, Lang, D, Lockwood, C. Prognostic factors for risk stratification of adult cancer patients with chemotherapy-induced febrile neutropenia: A systematic review and meta-analysis. JBI Library of Systematic Reviews.2012;10(40):2593-2657.