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# High-Contrast Visualization of Upconversion Luminescence in Mice Using Time-Gating Approach

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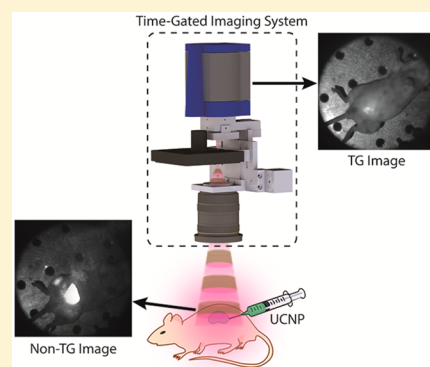
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## Supporting Information

**ABSTRACT:** Optical imaging through the near-infrared (NIR) window provides deep penetration of light up to several centimeters into biological tissues. Capable of emitting 800 nm luminescence under 980 nm illumination, the recently developed upconversion nanoparticles (UCNPs) suggest a promising optical contrast agent for *in vivo* bioimaging. However, presently they require high-power lasers to excite when applied to small animals, leading to significant scattering background that limits the detection sensitivity as well as a detrimental thermal effect. In this work, we show that the time-gating approach implementing pulsed illumination from a NIR diode laser and time-delayed imaging synchronized via an optical chopper offers detection sensitivity more than 1 order of magnitude higher than the conventional approach using optical band-pass filters (S/N, 47321/6353 vs 5339/58), when imaging UCNPs injected into Kunming mice. The pulsed laser illumination (70  $\mu$ s ON in 200  $\mu$ s period) also reduces the overall thermal accumulation to 35% of that under the continuous-wave mode. Technical details are given on setting up the time-gating unit comprising an optical chopper, a pinhole, and a microscopy eyepiece. Being generally compatible with any camera, this provides a convenient and low cost solution to NIR animal imaging using UCNPs as well as other luminescent probes.



Near-infrared (NIR) optical imaging has drawn increasing attention due to the desire for whole animal and deep tissue imaging at high resolution.<sup>1,2</sup> This is because (1) NIR light of 700–1100 nm is capable of penetrating several centimeters into tissues with much lower scattering compared to visible wavelengths<sup>3–5</sup> and (2) much lower autofluorescence background exists in the NIR range, facilitating sensitive fluorescence detection.<sup>6,7</sup> Thanks to the availability of NIR fluorescent dyes such as indocyanine green, *in vivo* NIR imaging has been adopted preclinically and clinically for identifying disease biomarkers,<sup>8,9</sup> monitoring disease progression,<sup>10,11</sup> determining the pharmaceutical effects of new drugs,<sup>12–14</sup> and fluorescence image-guided surgery.<sup>15–17</sup> Other nanoprobes, such as dye-encapsulated silica nanoparticles and semiconductor quantum dots, have also been proposed and demonstrated for quality NIR imaging under preclinical settings.<sup>18–22</sup>

Compared to these down-conversion materials, lanthanide-based upconversion nanoparticles (UCNPs) offers a promising

alternative with their unique anti-Stokes-shifted and long-lived luminescence.<sup>23–25</sup> The past decade has witnessed rapid progress in material science to develop highly controlled UCNPs as a new type of high-sensitive, photostable, low-toxic, and multifunctional optical contrast agent for broad biological and biomedical applications.<sup>26–31</sup> In particular, UCNPs codoped with Yb<sup>3+</sup> and Tm<sup>3+</sup> ions are capable of stepwise absorbing 980 nm low-energy photons and emitting strong 800 nm luminescence, thus suitable for deep-tissue imaging in the NIR window.<sup>14,32–39</sup> However, when whole animals are interrogated in practice, substantial scattering from skin and fur is often encountered for the excitation light as well as the emission luminescence, dramatically reducing the imaging contrast and blurring the targeted area.<sup>4,40,41</sup> Additionally, high excitation power under the continuous-wave mode is 64

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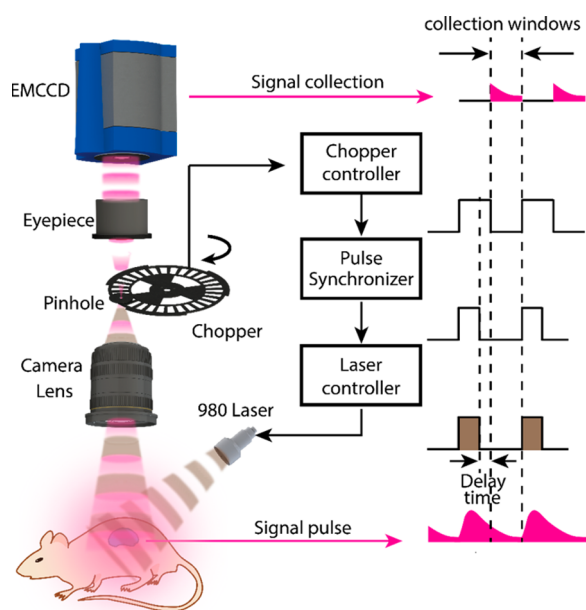


65 typically used, resulting in accumulated absorption and thermal  
66 effect that adversely affects or even damages the samples.<sup>42</sup>

67 To overcome these challenges, one opportunity arises from  
68 the long luminescence lifetimes of UCNP (tens to hundreds of  
69 microseconds) that allows the time-gated luminescence (TGL)  
70 technique to be applied. We have previously demonstrated  
71 TGL microscopes employing pulsed excitation and time-  
72 delayed detection to eliminate short-lived background from  
73 autofluorescence (with lifetimes typically of  $\sim$ nanoseconds),  
74 achieving high detection sensitivity and imaging contrast using  
75 long-lived luminescent probes.<sup>43–45</sup> In this paper, we explore  
76 the time-gating approach for NIR imaging of UCNP in small  
77 animals. We demonstrate a system consisting of a fast-  
78 switchable 980 nm diode laser and a high-speed optical  
79 chopper, which is precisely synchronized for high-contrast time-  
80 gated imaging without posing any restrictions on the camera.  
81 The performance is evaluated in comparison to the conven-  
82 tional filter-based imaging approach, using Kunming mice  
83 injected with water-soluble Yb<sup>3+</sup>/Tm<sup>3+</sup> codoped UCNP as the  
84 model.

## 85 ■ EXPERIMENTAL SECTION

86 **TGL Imaging System.** The schematic diagram of the TGL  
87 system for *in vivo* animal imaging is given in Figure 1. Briefly, a  
88 time-gating unit comprising a high-speed optical chopper and a  
89 microscope eyepiece (Olympus WHN10X) was inserted  
90 between a camera lens (Nikon SIGMA 50MM F1.4 EX DG  
91 HSM) and an EMCCD camera (Andor iXon Ultra 897). TGL



**Figure 1.** Schematics illustrating the TGL system for *in vivo* NIR imaging. The optical chopper (5 kHz, 1:1 duty ratio) generates a TTL pulse from its reference output to trigger an in-house pulse synchronizer, which times a fixed 70  $\mu$ s pulse (with 5  $\mu$ s delay from the chopper output) to enable the 980 nm NIR laser. The pulsed 980 nm light illuminates the mouse to excite the UCNP. The luminescence signal is collected by a camera lens, passes through a 1 mm pinhole attached to the optical chopper, relayed by a microscope eyepiece, and recorded by an EMCCD camera for *in vivo* imaging. The delay time between the switching-off of the 980 nm pulse and the switching-on of the EMCCD via chopping is 25  $\mu$ s, followed by a collection window of 100  $\mu$ s.

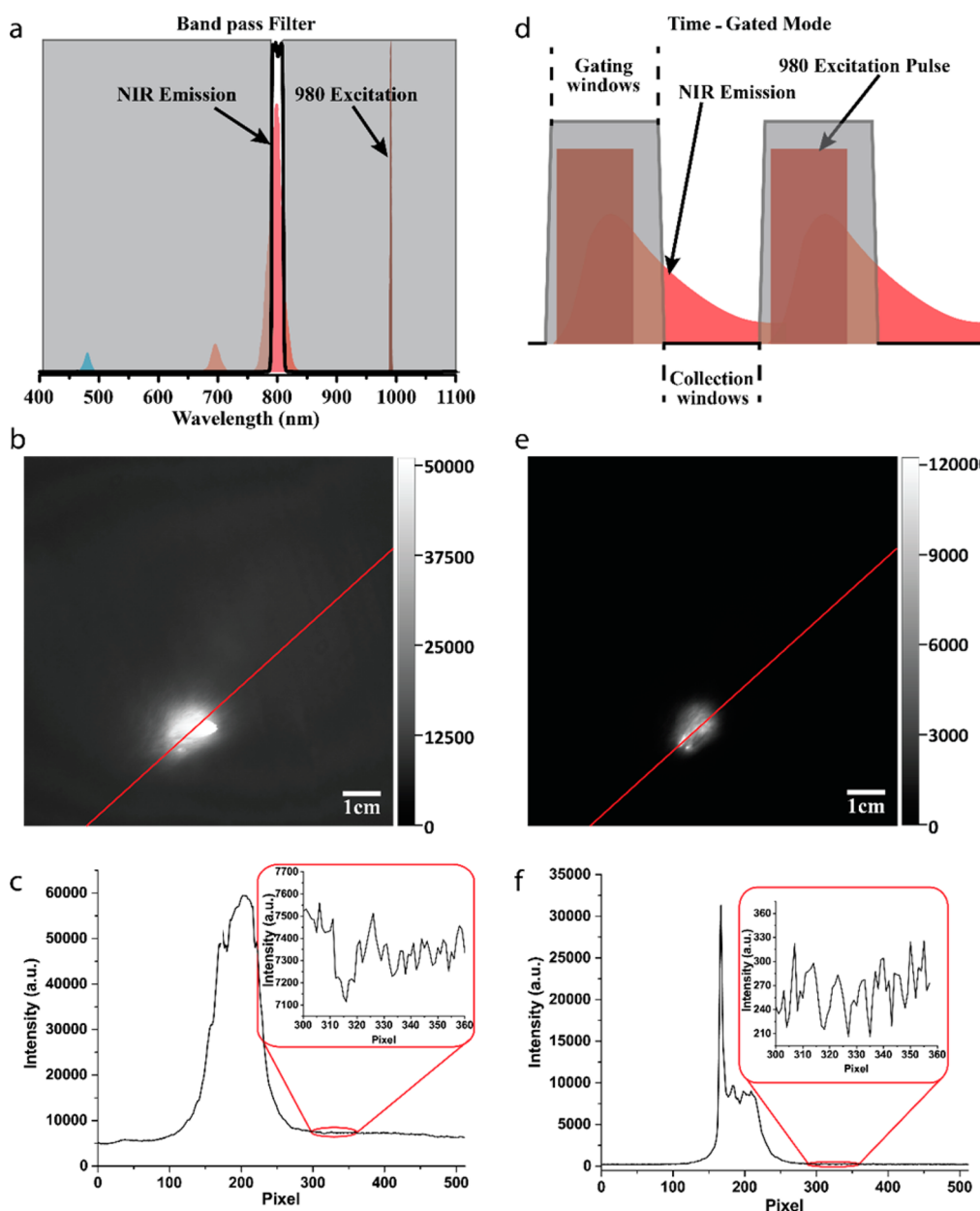
imaging was realized by synchronizing the chopper with a  
pulsed 980 nm fiber-coupled diode laser (LE-LS-980-10000T  
FC, LEO Photonics; maximum output power 10 W) in  
antiphase, so that the detection path only opened after the laser  
switched off and any short-lived background decayed to  
negligible. The chopper used here (C99S, Terahertz Tech-  
nologies) had a blade consisting of 30 slots with a duty cycle of  
1:1. When operating at maximum frequency of 5 kHz (with an  
accuracy of 0.001 Hz), it gave a rotational speed of  $\sim$ 167 rev/s.  
A 1 mm diameter pinhole aperture was attached very close to  
the chopper blade at a radius of 4.2 cm, so that an ON/OFF  
switching time of 23  $\mu$ s was achieved for the signal light and  
any stray light was removed. The chopper output a TTL signal,  
generated from the slotted optical switch built in the chopper  
head, to trigger a homemade pulse synchronizer. The latter  
delivered pulses of 70  $\mu$ s duration to the laser controller/driver  
to switch on the 980 nm laser when the detection path was  
blocked by the chopper blade, so that the EMCCD camera  
became effectively time-gated. Delay times of 5  $\mu$ s and 25  $\mu$ s  
were applied before and after the laser pulses, respectively, for  
optimizing the time-gating performance in practice.

**In Vivo Animal Imaging.** Hydrophilic NaLuF<sub>4</sub>:Yb,Tm  
UCNP were injected hypodermally in the abdomen of  
Kunming mice (refer to Supporting Information S1 for details).  
Under the imaging system, they were illuminated with the  
pulsed 980 nm laser beam output from the fiber without  
collimation, at an average intensity of 3.18 W/cm<sup>2</sup>. The  
luminescence signal from the UCNP was collected by the  
camera lens, purified by the time-gating unit, and recorded by  
the EMCCD camera. For comparison, the same mice were also  
imaged using the conventional filter-based approach under  
continuous-wave 980 nm excitation at the identical intensity,  
and the upconversion luminescence was collected with one or  
two pieces of band-pass filters (FF01-800/12, Semrock)  
inserted in the detection path while the optical chopper was  
switched off. Bright-field imaging was also conducted  
simultaneously alongside the time-gated imaging, using a  
compact light-emitting diode (LED) to illuminate the mice.

**Thermal Effect Evaluation.** Thermal images and temper-  
ature elevation curves of mice under continuous-wave and time-  
gated 980 nm laser were recorded by an infrared thermal  
camera (FLIR E40). As a typical procedure, mice were  
anesthetized first through intraperitoneal injection of ket-  
amine/xylazine solution (75 mg kg<sup>-1</sup> ketamine and 15 mg kg<sup>-1</sup>  
xylazine) and then placed under the *in vivo* imaging system.  
The thermal camera recorded the temperature changes of mice  
when the 980 nm laser was switched on and irradiated the mice  
for half a minute. After the recording, the laser was switched off  
and the mice were placed on warming pad to avoid an excessive  
body temperature decrease. Temperature elevation curves were  
produced using the maximum temperature value in the  
irradiated region versus irradiation time.

## ■ RESULTS AND DISCUSSION

We compared the imaging contrast obtained by our time-gating  
approach with that using the conventional filter-based,  
nontime-gating approach. Although the band-pass filter used  
here should have eliminated residual excitation at 980 nm as  
well as other optical background, so that the camera only  
collected NIR emission within the range of 800  $\pm$  6 nm (Figure  
2a), in reality strong signal was also observed from the  
surroundings of the injection site (Figure 2b). Along a line  
drawn across the injection area on the 16-bit grayscale image,

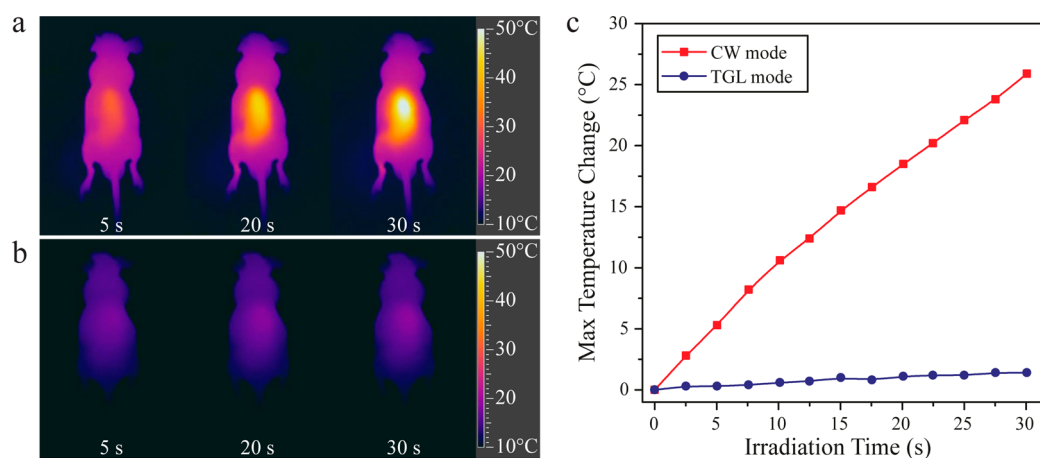


**Figure 2.** Comparison of *in vivo* imaging contrast between the filter-based approach and the time-gating approach. (a) Spectra of the excitation source, the UCNP luminescence, and the transmission of the band-pass filter. (b) The luminescence image of a Kunming mouse with subcutaneous injection of hydrophilic NaLuF<sub>4</sub>:Yb,Tm UCNP (200 μL, 1 mg/mL), obtained by the filter-based approach under CW 980 nm excitation. (c) The intensity profile along the line across the 16-bit grayscale image in part b. (d) The temporal configuration for time-gated imaging. (e) The time-gated image of the same Kunming mouse. (f) The intensity profile along the line across the 16-bit grayscale image in part e. The highest peak corresponds to the actual injection position. The images in parts b and e were captured by the EMCCD camera with an exposure time of 0.4 s and gain of 10, under an average 980 nm excitation intensity of 3.18 W/cm<sup>2</sup> measured on the object mouse. Note that the EMCCD camera was not saturated during the image acquisition.

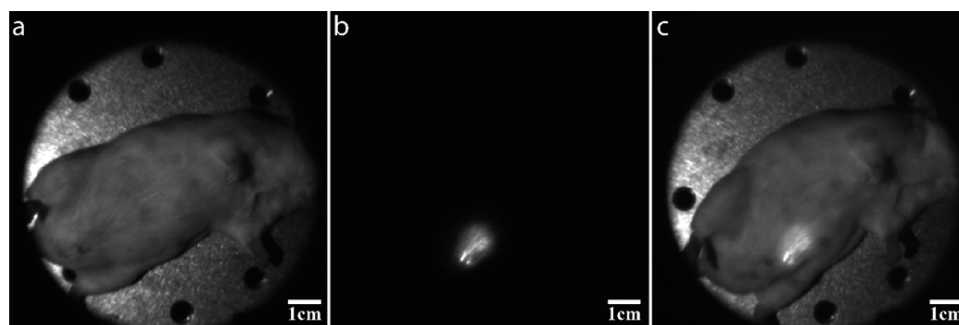
154 the maximum intensity recorded was 59 470; nevertheless, the  
 155 average intensity in the background area also reached 7309  
 156 yielding a signal-to-noise ratio of merely 8.13 (Figure 3c). By  
 157 contrast, the time-gating approach employed pulsed excitation  
 158 of identical peak intensity but 35% duration and gated  
 159 detection of 50% duty ratio with the same camera settings  
 160 (Figure 2d). The image, shown as Figure 2e, was taken  
 161 immediately after Figure 2b was captured to ensure fair  
 162 comparison, and a well-defined injection site was revealed  
 163 against the background area. Along the same line drawn across,  
 164 although the average intensity in the injection site decreased to

8160 (the outstanding peak intensity, 31 314, corresponds to  
 165 the actual injection position) due to the effectively reduced  
 166 excitation and detection time, that of the background area was  
 167 suppressed more substantially down to 263, so that an  
 168 enhanced signal-to-noise ratio of 31 was achieved (Figure 2f).  
 169 Further analysis over the entire images showed the overall  
 170 signal-to-noise ratio was improved by 12.4-fold using the  
 171 time-gating approach over the nontime-gated approach (see  
 172 Supporting Information S2 and Supporting Table 1).  
 173

The high optical background here associated with the  
 174 conventional approach arose from the strong scattering of the  
 175



**Figure 3.** Comparison of the thermal effect between the CW mode and the TGL mode. (a) The thermal images of a Kunming mouse under CW 980 nm laser illumination for 5, 20, and 30 s. (b) The thermal images of a Kunming mouse under pulsed 980 nm laser illumination employed in the TGL mode for 5, 20, and 30 s. (c) The maximum temperature elevation over the irradiated area as a function of irradiation time under the CW and TGL modes.



**Figure 4.** Dual-modal *in vivo* animal imaging. (a) A bright-field image of a Kunming mouse with subcutaneous injection of hydrophilic NaLuF<sub>4</sub>:Yb,Tm UCNP (200  $\mu$ L, 1 mg/mL) under a white LED illumination. (b, c) The time-gated luminescence image of the same Kunming mouse in (a) under 980 nm excitation in the absence (b) and presence (c) of the bright-field LED illumination. All images were taken by the EMCCD camera with exposure time of 0.4 s and gain of 10. The average 980 nm excitation intensity was 3.18 W/cm<sup>2</sup> measured on the object mouse.

176 excitation light from the animal that one optical filter failed to  
 177 block. It could be improved by adding more filters, but the  
 178 effect was still inferior to the time-gating approach (see  
 179 Supporting Information S3). One possible reason for that was  
 180 the scattering light had a variety of incident angles, which may  
 181 also change depending on the position of the animal, making it  
 182 difficult for the interference-type filters to suppress completely  
 183 due to their angle-dependent transmission/reflection spectra.  
 184 Substitution for absorption-type (color-glass) filters is also not  
 185 possible, as no suitable candidate is currently available to  
 186 separate 808 nm emission from 980 nm excitation for the  
 187 UCNP used here. Moreover, in the conventional approach the  
 188 background may increase further for animals with colored skin  
 189 and/or fur that introduce pigmentation-related NIR autofluor-  
 190 escence.<sup>41</sup> Nevertheless, the time-gating approach effectively  
 191 removed residual scattering of the excitation light as well as  
 192 autofluorescence regardless of its spectrum or incident angle, so  
 193 that the background was limited close to the electronic noise  
 194 level of the camera. On the other hand, while the excitation  
 195 intensity remained identical, the exposure duration to the  
 196 excitation light was reduced to 35% (70  $\mu$ s ON-time in every  
 197 200  $\mu$ s period) under the time-gated mode. This reduced the  
 198 thermal effect to the animals very effectively. As shown in  
 199 Figure 3, the maximum temperature increased over 25 °C on  
 200 the mouse in only 30 s under the CW laser irradiation (same

201 conditions as used in the luminescence imaging), while the  
 202 temperature change remained negligible for the time-gated  
 203 mode.

204 The high signal-to-noise ratio without spectral filtering  
 205 further allows the time-gated approach to be implemented  
 206 alongside bright-field visualization, which was demonstrated  
 207 using the same mice model. As shown in Figure 4, after  
 208 adjusting the relative brightness of the white LED light with  
 209 reference to the 980 nm laser excitation to ensure similar levels  
 210 of intensity were obtained for the respective bright-field and  
 211 time-gated luminescence images (Figure 4a,b), the time-gated  
 212 imaging was directly performed in the presence of the LED  
 213 light to visualize both the entire animal and the UCNP  
 214 injection site in real time (Figure 4c). This capability, which is  
 215 not suitable using the conventional approach (see Supporting  
 216 Information S4), offers significant potential for practical  
 217 applications, such as luminescence image-guided surgery.

## 218 CONCLUSIONS

219 We have realized time-gated luminescence imaging of  
 220 upconversion nanoparticles upon live small animals. In contrast  
 221 to the conventional filter-based approach that suffers from the  
 222 strong scattering of the excitation light, the time-gating  
 223 approach is capable of efficient elimination of such background,  
 224 allowing us to achieve a 12-fold enhancement in the signal-to-

225 noise ratio using Kunming mice injected with UCNPs as the *in*  
226 *vivo* animal model. The overall exposure was reduced to 35%,  
227 alleviating overheating as well as other side effects associated  
228 with the NIR excitation light. Apart from the Yb/Tm codoped  
229 UCNPs, the technique is applicable to other long-lived  
230 luminescent probes with lifetimes in the microsecond-to-  
231 millisecond region.<sup>46</sup> For example, the Nd-sensitized UCNPs  
232 that are excitable at 800 nm<sup>47–49</sup> can be used to further  
233 improve the temperature control as well as tissue penetration  
234 depth. Furthermore, the time-gated luminescence imaging can  
235 be conducted directly under bright-field visualization. These  
236 advancements alongside the low cost of our well-engineered  
237 instrumentation address the key issues to implement  
238 upconversion nanoparticles for deep-tissue NIR imaging in  
239 practice, paving the way for their use in biomedical diagnostics  
240 as well as multifunctional applications.

## 241 ■ ASSOCIATED CONTENT

### 242 ● Supporting Information

243 The Supporting Information is available free of charge on the  
244 ACS Publications website at DOI: 10.1021/acs.analchem.5b04626.  
245

246 Sample preparation, TEM image of oleate NaLu-  
247 F4:Yb,Tm UCNPs, upconversion spectrum of NaLu-  
248 F4:Yb,Tm UCNPs, evaluation of signal-to-noise ratio;  
249 effect on image contrast using multiple filters, and non-  
250 time-gated imaging under the both 980 nm excitation  
251 and bright-field illumination (PDF)

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### 256 Notes

257 The authors declare no competing financial interest.

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